

# Direct asynchronous Bayesian optimization of fast-ion confinement using dimensionality reduction

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# We should try harder at directly tracing alpha particles inside optimization

- Quasisymmetry, omnigenity,  $\Gamma_c$ , etc aren't that well correlated with what really matters: losses of alphas.
- Bindel, Landreman, & Padidar (2023) had success tracing alphas in the loop, & found promising new configurations with significant departures from QS/omnigenity.
- GPUs now allow tracing 25,000 particles for 0.1 s (physical time) in only ~4 minutes wallclock. Affordable in the loop.
- Don't worry about thermal neoclassical (e.g.  $\epsilon_{\text{eff}}$ ). Configurations with good alpha confinement have good enough  $\epsilon_{\text{eff}}$ ; converse is not true (e.g. W7-X).

# For alphas in the loop, need a well-scaled parameter space

- Due to Monte-Carlo noise & chaos, focus on derivative-free optimization algorithms.
- Need d.o.f.s to be scaled comparably.
- Many algorithms (e.g. Bayesian) need box constraints. Hard to pick these with the usual Fourier representation.
- Derivative-free methods scale poorly with # of dimensions. Try dimensionality reduction.
- Here: 2 new parameter spaces, both based on dataset of boundary shapes.

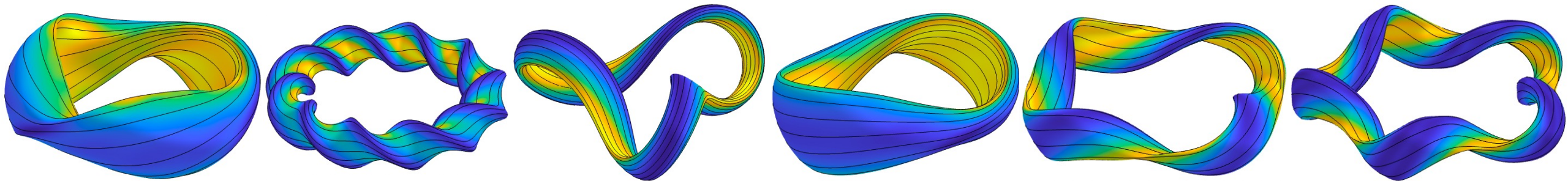
# Diverse dataset of known stellarator boundaries

Combine 3 groups:

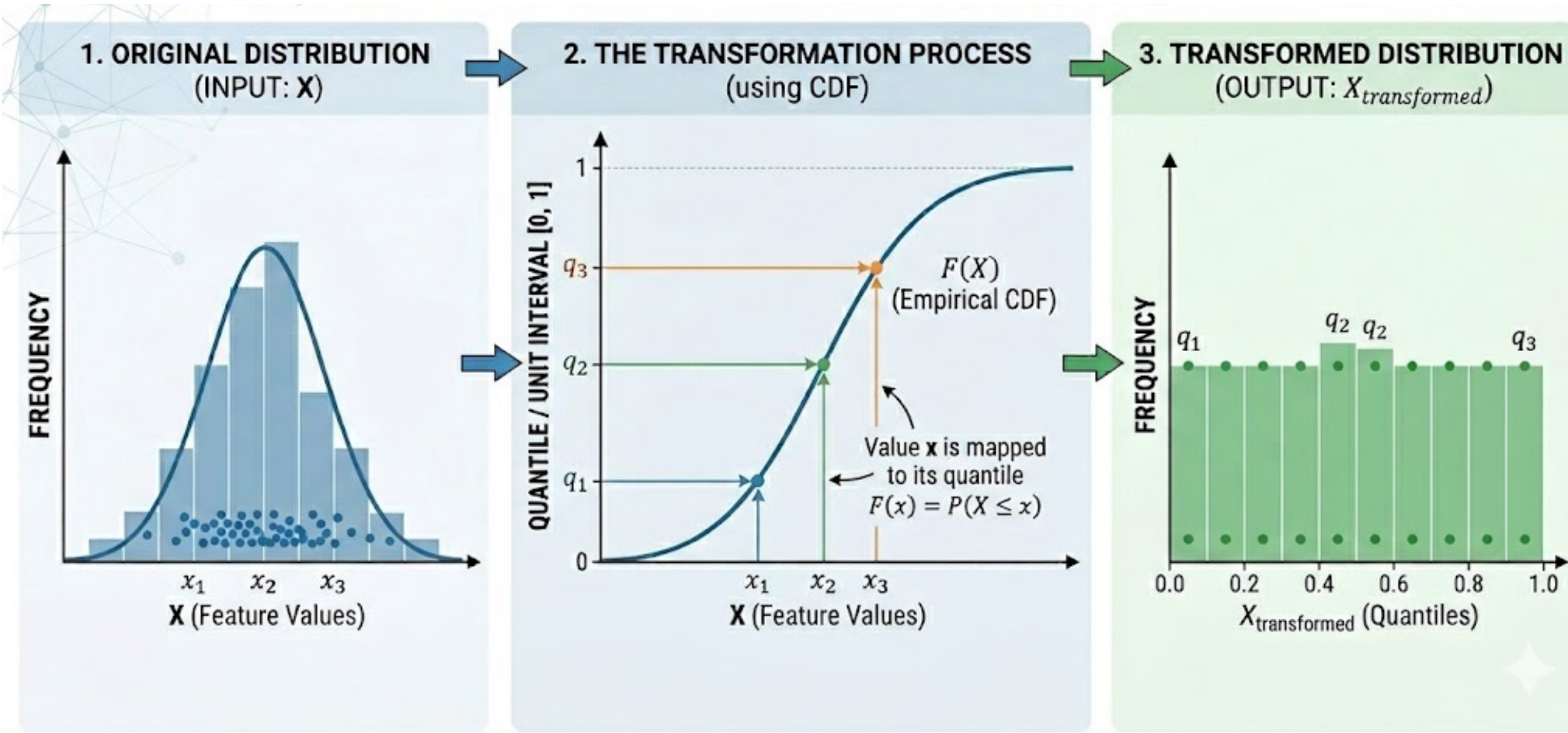
- 1) Famous devices (W7-X, LHD, HSX, NCSX, TJ-II, CTH, CNT, ...)
- 2) QUASR (QA & QH). Pick configs with lowest QS error at each aspect  $\leq 10$ , iota, nfp. Filter: vmec++ converges at  $\beta=2\%$
- 3) ConStellation (QI). Filter for aspect  $\leq 10$ , vmec++ converges at  $\beta=2\%$ , Mercier-stable or nearly so.

Systematize orientation ( $\theta=0$  at outboard, bean at  $\phi=0$ , etc.)

Apply spectral condensation to all, to systematize  $\theta$ .



# Use Quantile Transformation to map between distribution & [0,1]



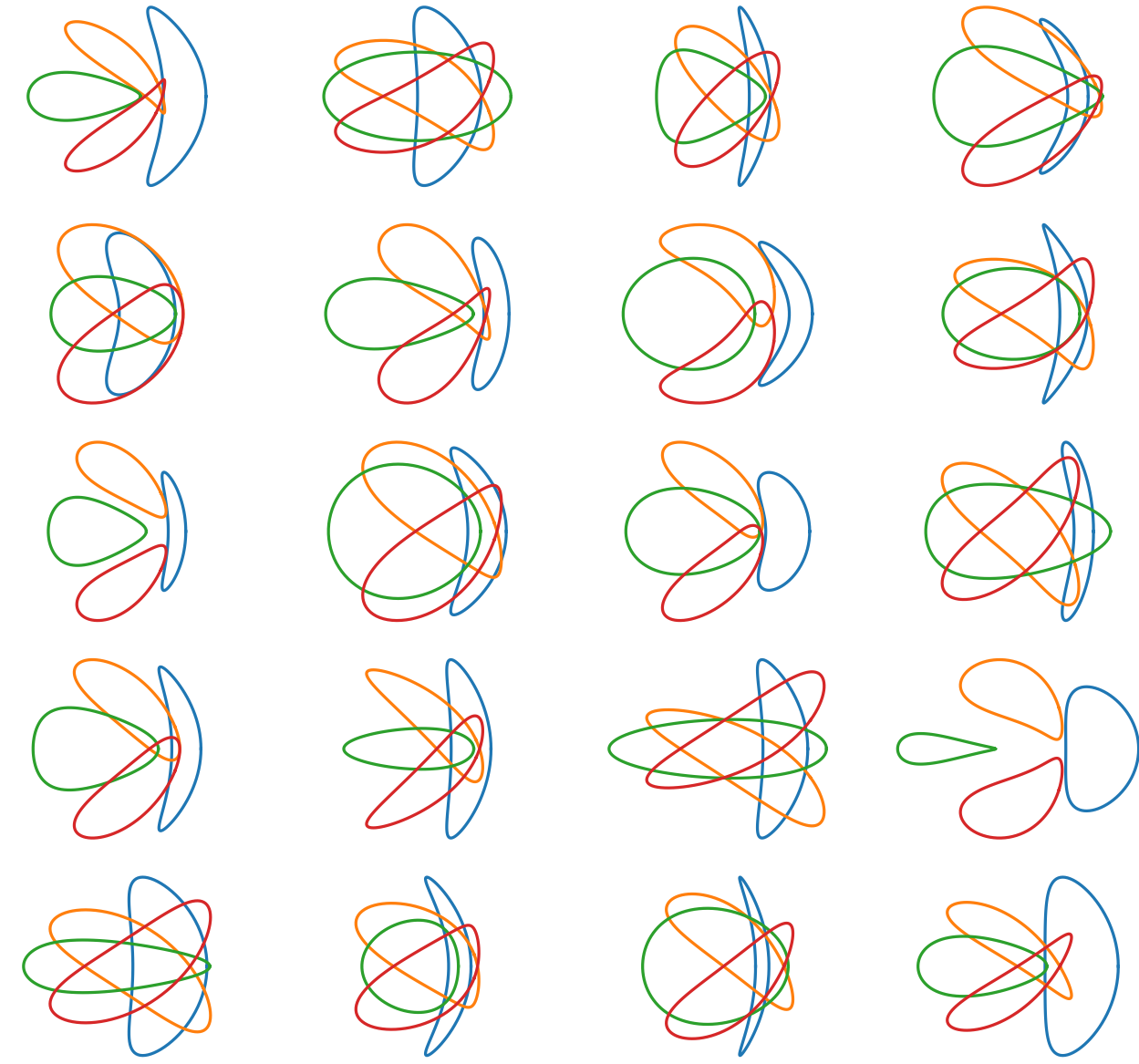
Original variable

← Inverse map

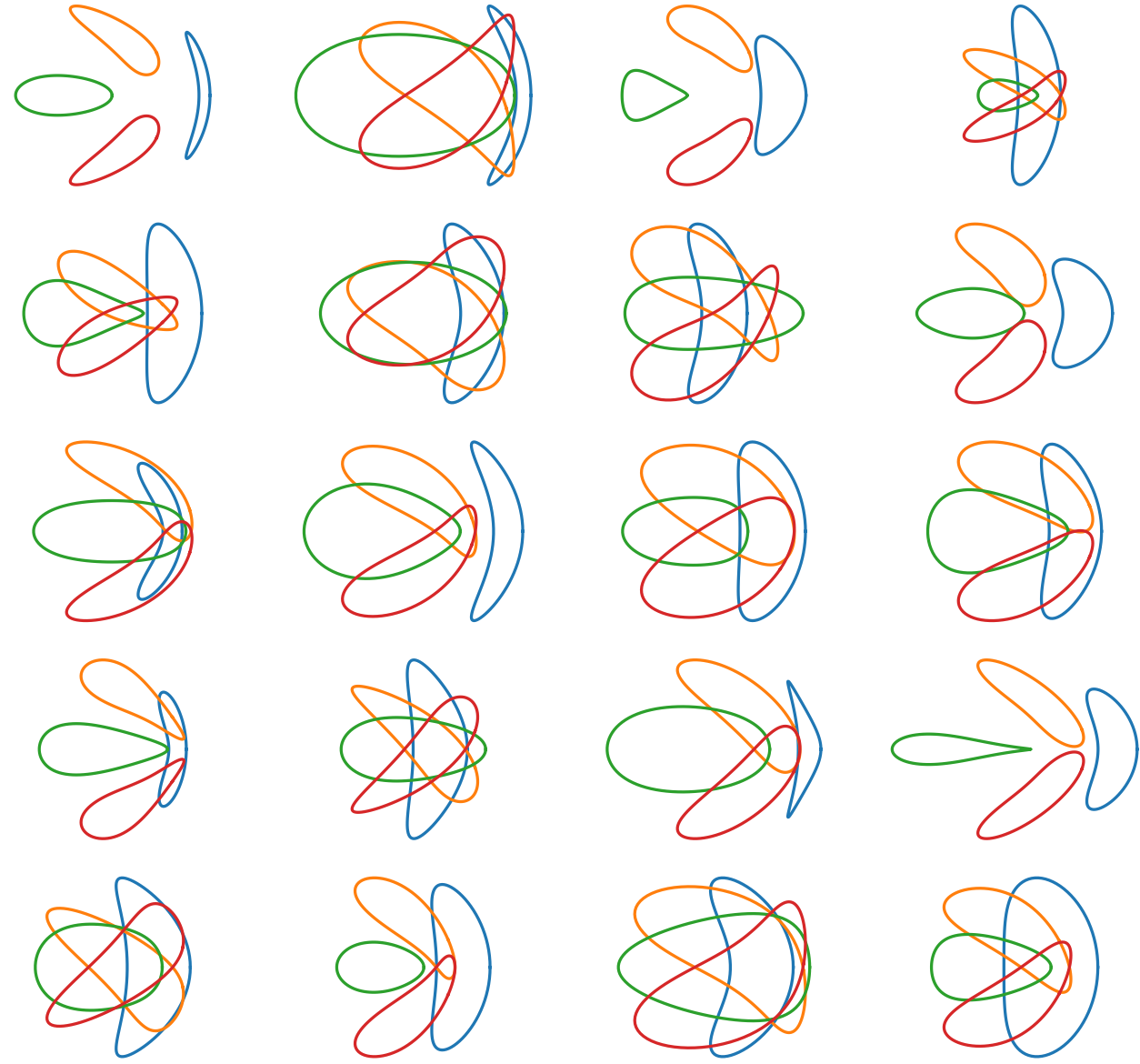
d.o.f.s for optimization

# New space 1: Apply Quantile Transform to Garabedian $\Delta_{mn}$ 's

$m_{\max} = n_{\max} = 2$



$m_{\max} = n_{\max} = 3$

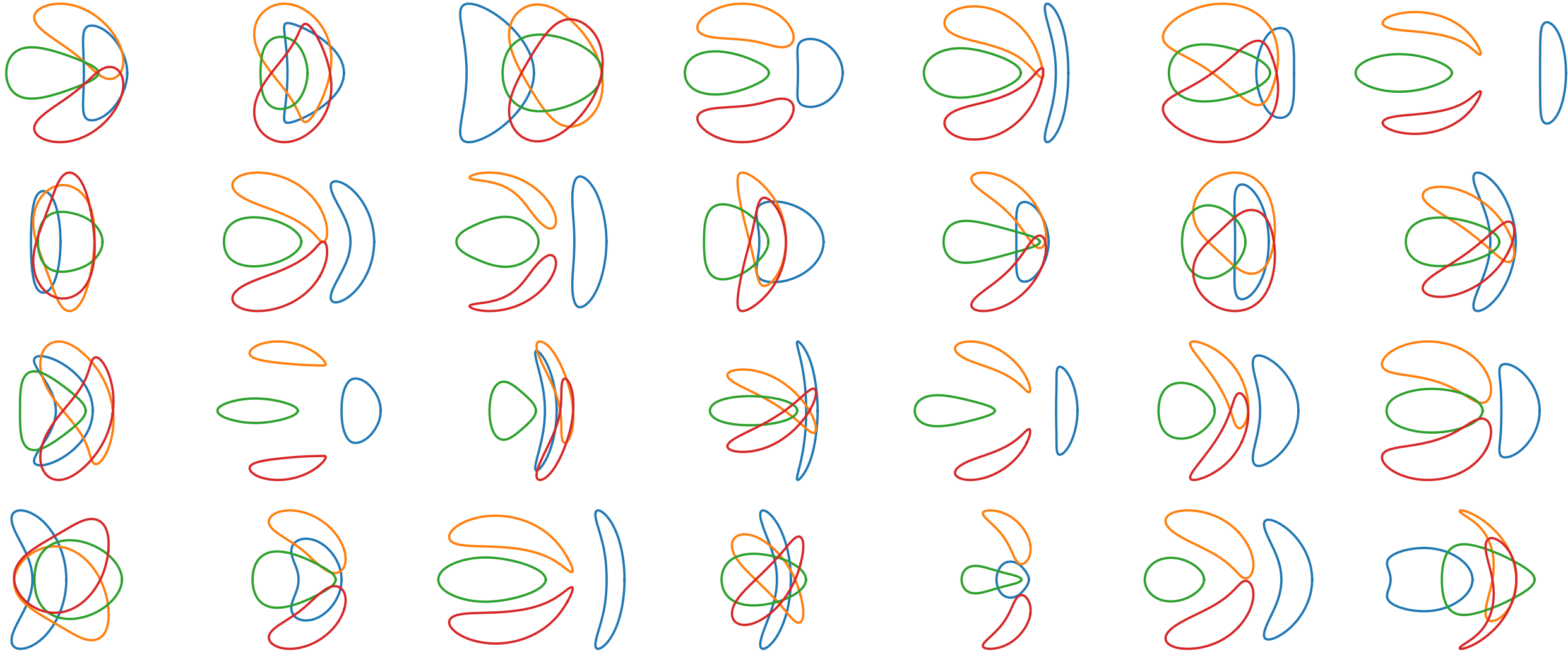


# New space 1: Apply Quantile Transform to Garabedian $\Delta_{mn}$ 's

- Effective prior probability: uniform on unit hypercube.
- Natural box constraints: extremes of the data.
- Robust to outliers in the data.
- Major & minor radius (hence aspect ratio) are matched exactly. No need for aspect penalty or constraint, 1 fewer d.o.f.
- Could apply method to VMEC or DESC Fourier amplitudes instead.
- Gives highly shaped boundaries ("expressive"), with few self-intersections or equilibrium failures.

# New space 2: principal components

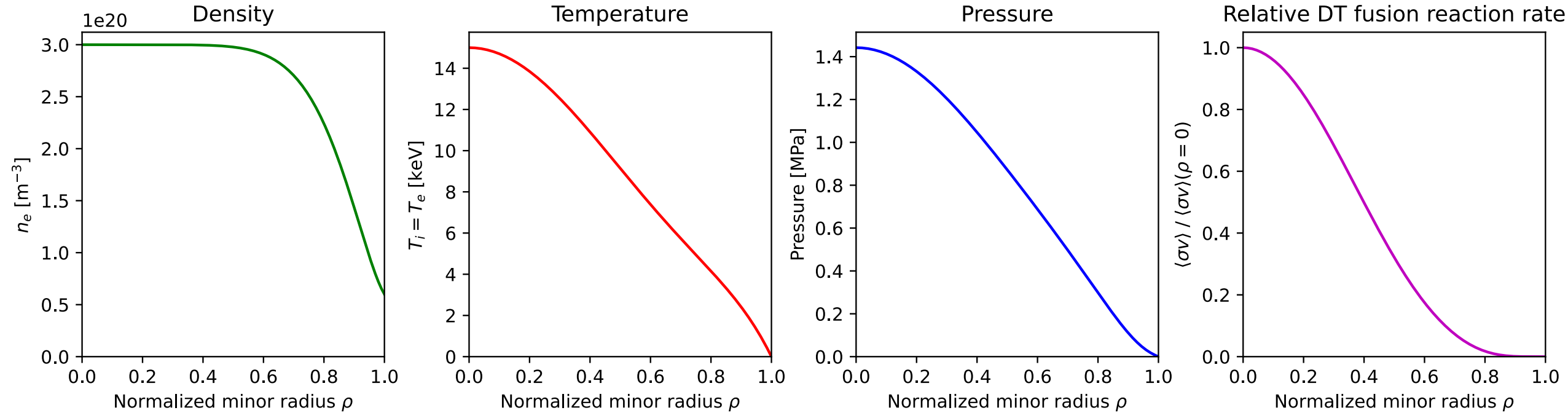
- Apply PCA to boundary (R,Z) values, then apply QuantileTransform to PC amplitudes.
- Same advantages as space 1, & also dimensionality reduction. 10 PCs shown here:



# Plausible profiles & scaling for alpha tracing

$$n_e(\rho) = (3 \times 10^{20} \text{ m}^{-3})(1 - 2\rho^8 + 1.2\rho^{12})$$

$$T_i(\rho) = T_e(\rho) = (15 \text{ keV})(1 - 2\rho^2 + 2\rho^4 - \rho^6)$$



$$\text{Size scaling: } a = (0.31 \text{ m}) / (\text{aspect})^{0.38}$$

Fits Stellaris ( $a=1.3\text{m}$ ), Infinity Two ( $a=1.3\text{m}$ ), Helios ( $a=1.8\text{m}$ ), ARIES-CS ( $a=1.7\text{m}$ )

Scale  $B$  so  $B_{\text{max}} = 12 \text{ T}$ . Comparable to Stellaris & Infinity Two.

$B_{\text{max}}$  more meaningful constraint than  $\langle B \rangle$ . Gives  $\langle \beta \rangle = 1.5\% - 3\%$ .

# Optimization problem setup

- Alpha tracing using FIRM3D GPU "CATAPULT" method:  
`github.com/ColumbiaStellaratorTheory/firm3d`.
- Trace 25,000 particles for 0.1 sec physical time, source  $\propto$  fusion rate, no collisions.
- Objective allows early termination if confinement is bad. Natural multi-fidelity method.

Time to reach loss of  $M = 2\%$

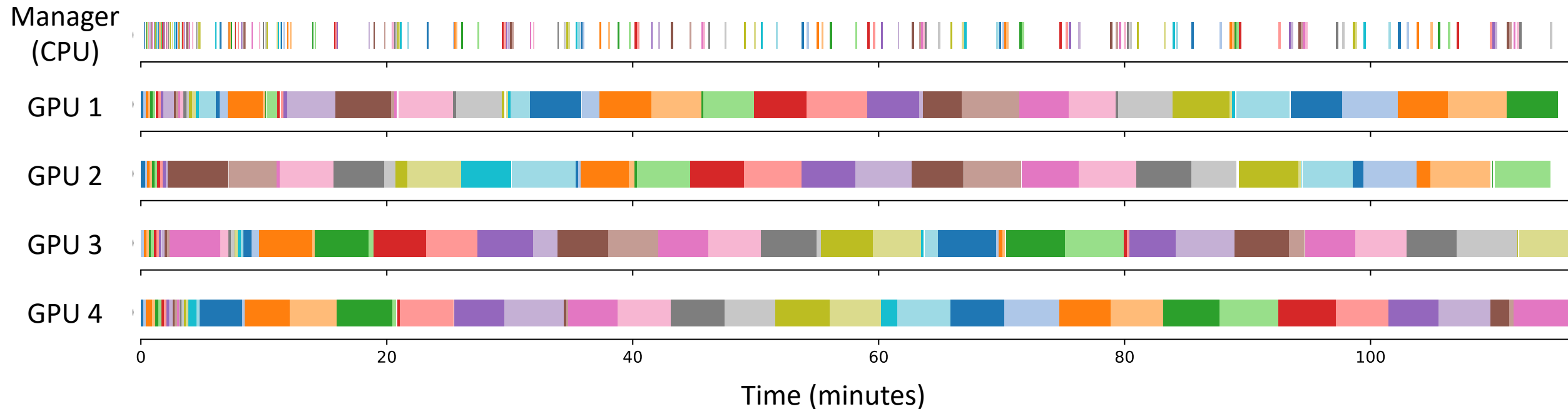
$$f = \begin{cases} -\log_{10}(t_M) & \text{if } L(t_{max}) > M, \\ \log_{10}(L(t_{max}) + \epsilon) - \log_{10}(t_{max}) - \log_{10}(M + \epsilon) & \text{if } L(t_{max}) \leq M. \end{cases}$$

If loss  $< 2\%$  at  $t_{max}$ , penalize loss at  $t_{max}$

keep  $f$  continuous

# Asynchronous Bayesian optimization

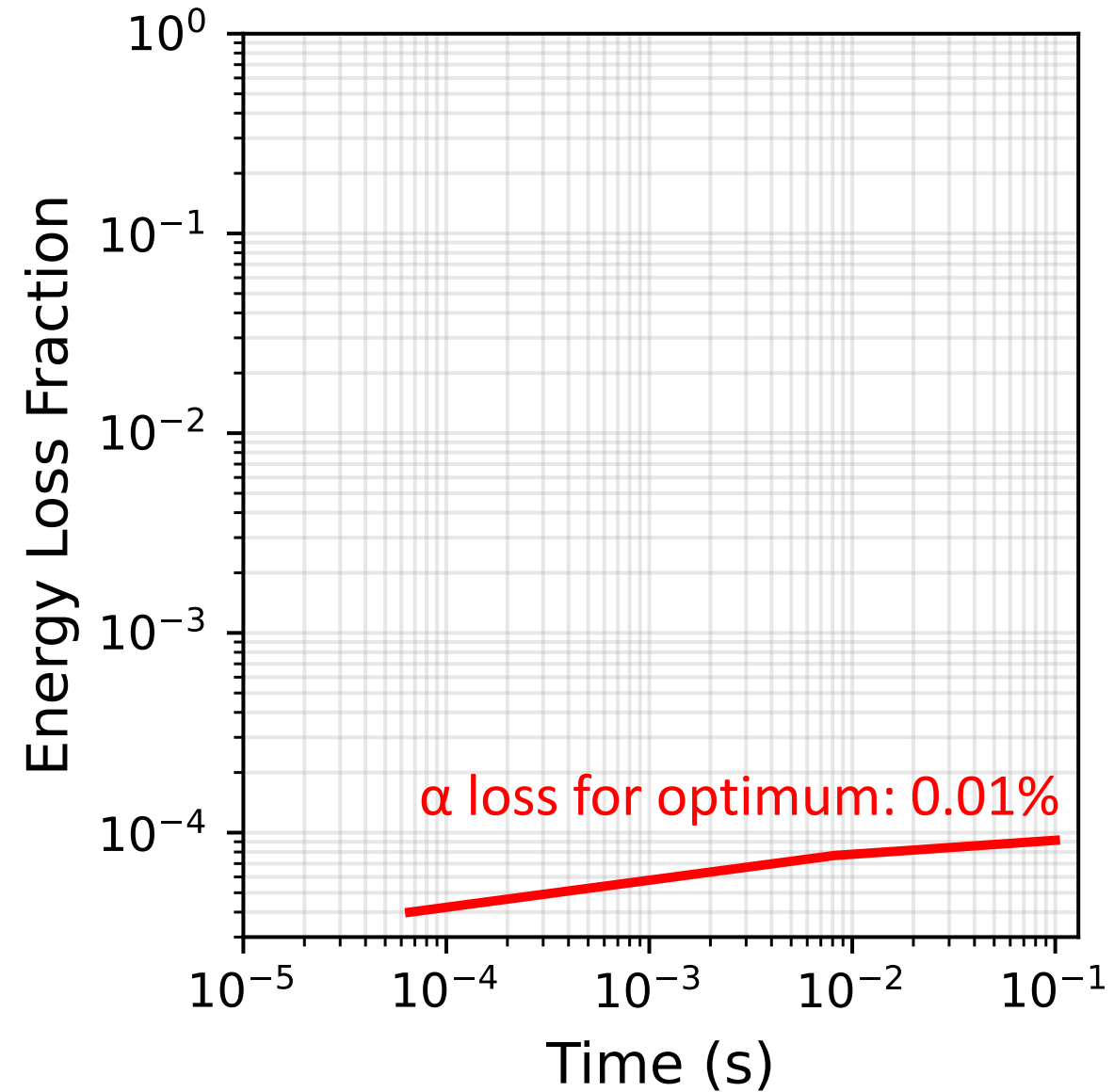
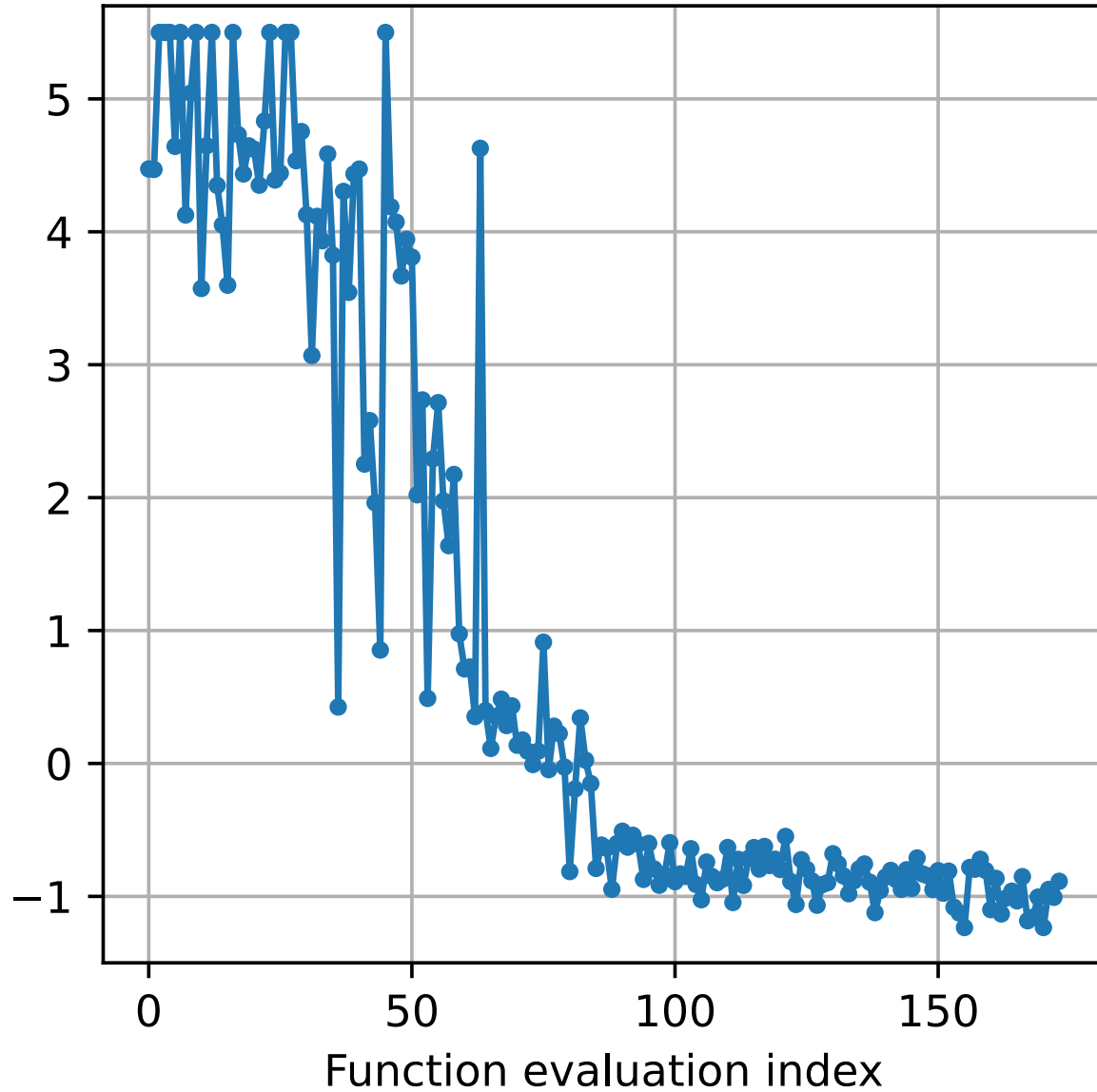
- Using Ax library from Meta.
- Method from Hvarfner (2024): “Vanilla Bayesian Optimization Performs Great in High Dimensions”.
- Use all 4 GPUs on 1 node of Perlmutter (Nvidia A100s) for 2 hrs.



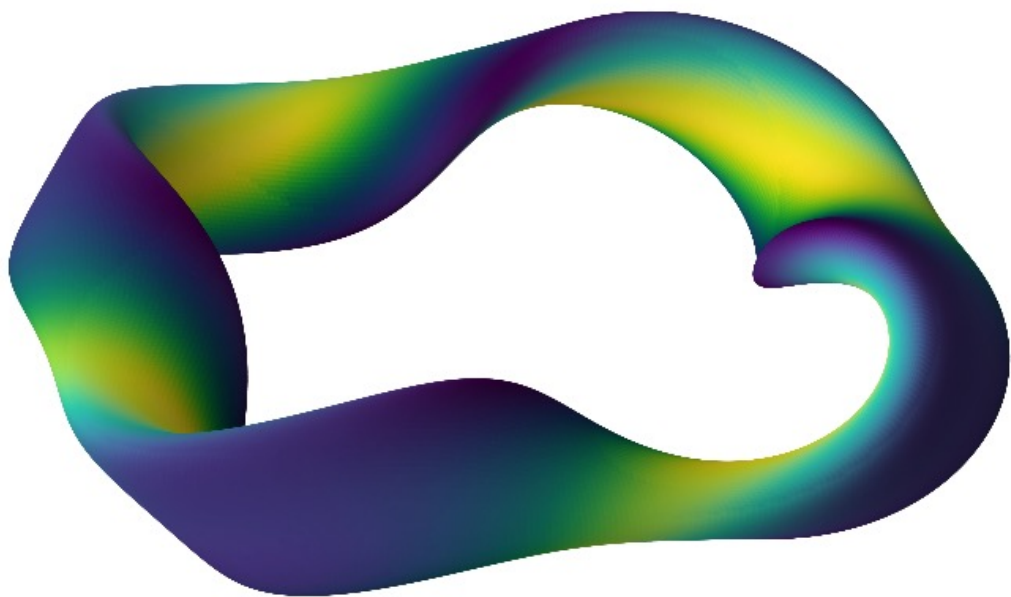
# New configuration 1

Garabedian space,  $m, n \leq 1$ . # dofs = 7

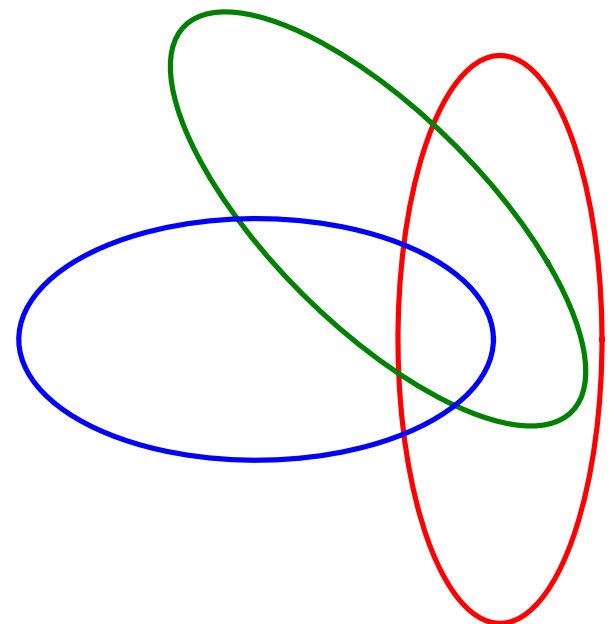
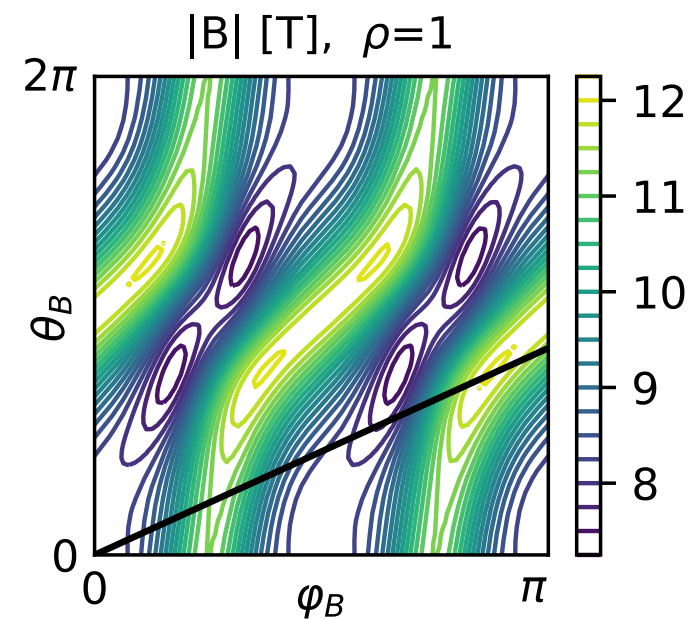
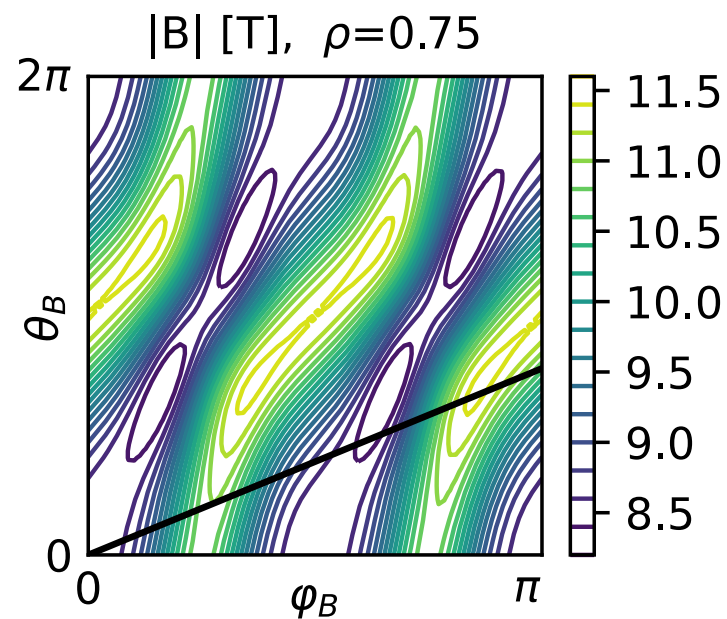
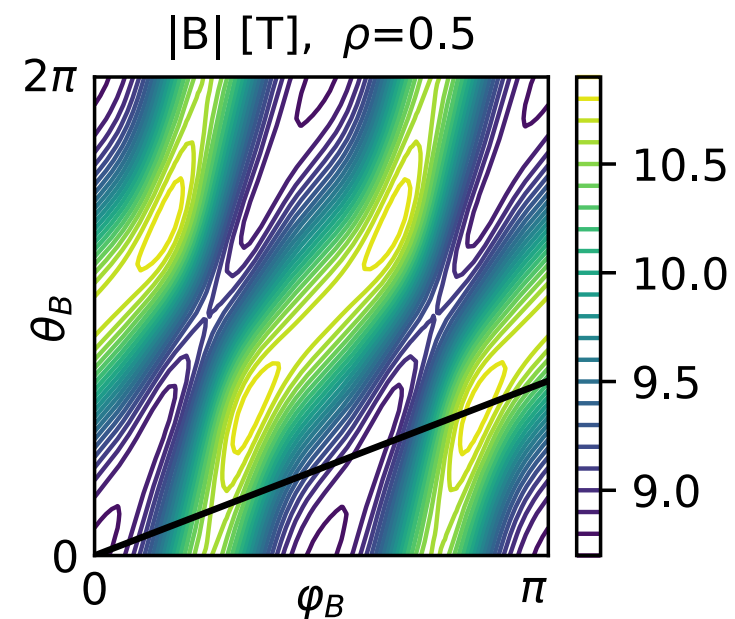
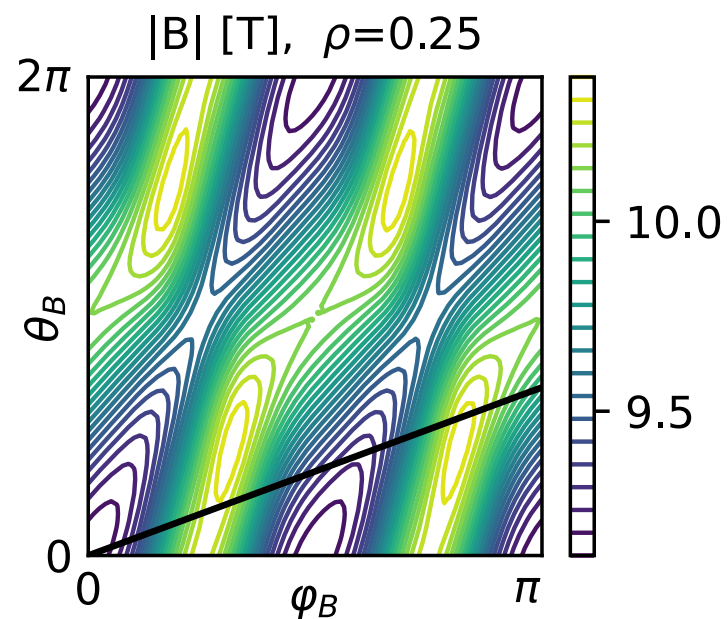
Optimization history



# New configuration 1



QH-ish but far from ideal QH.

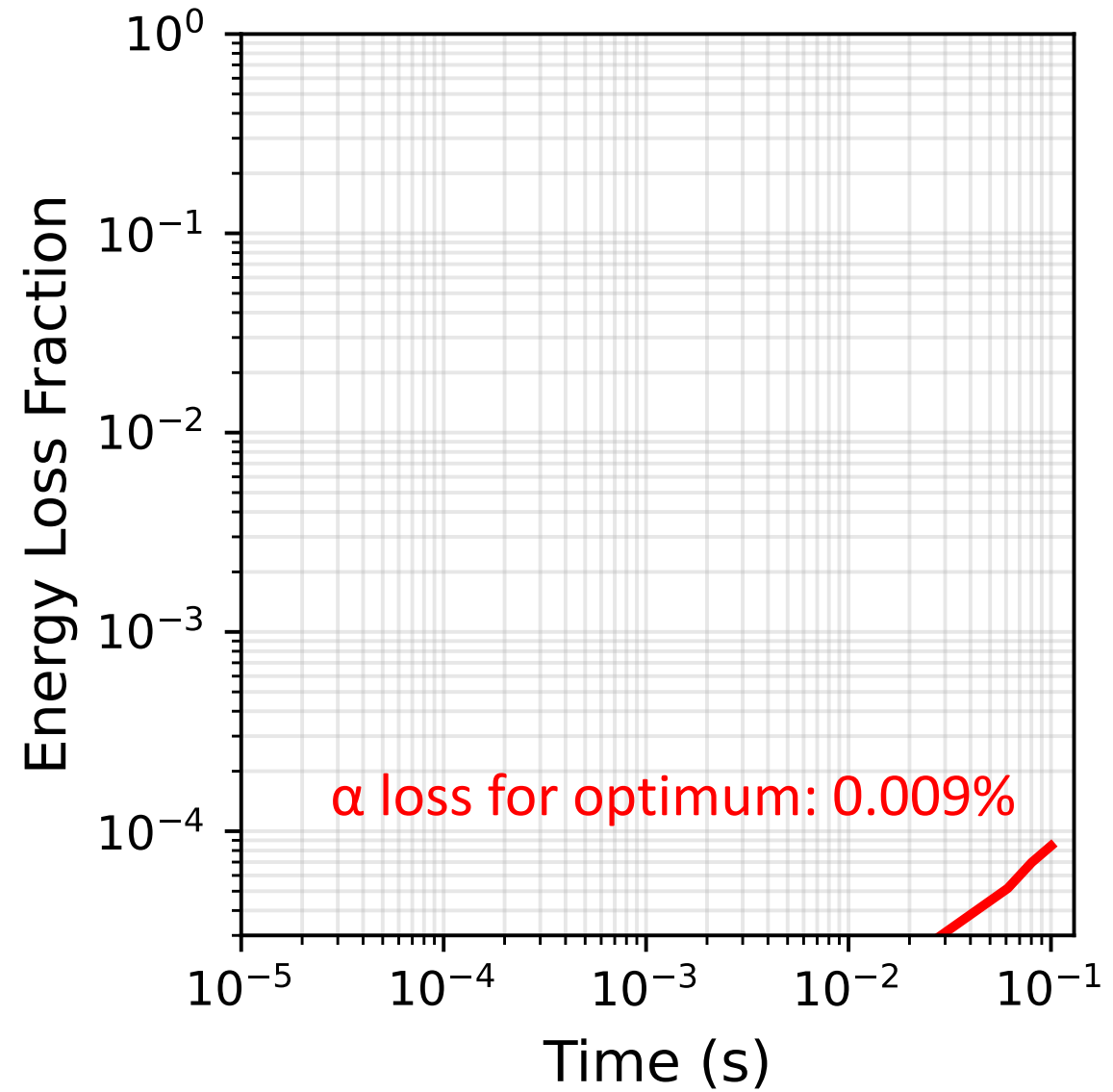
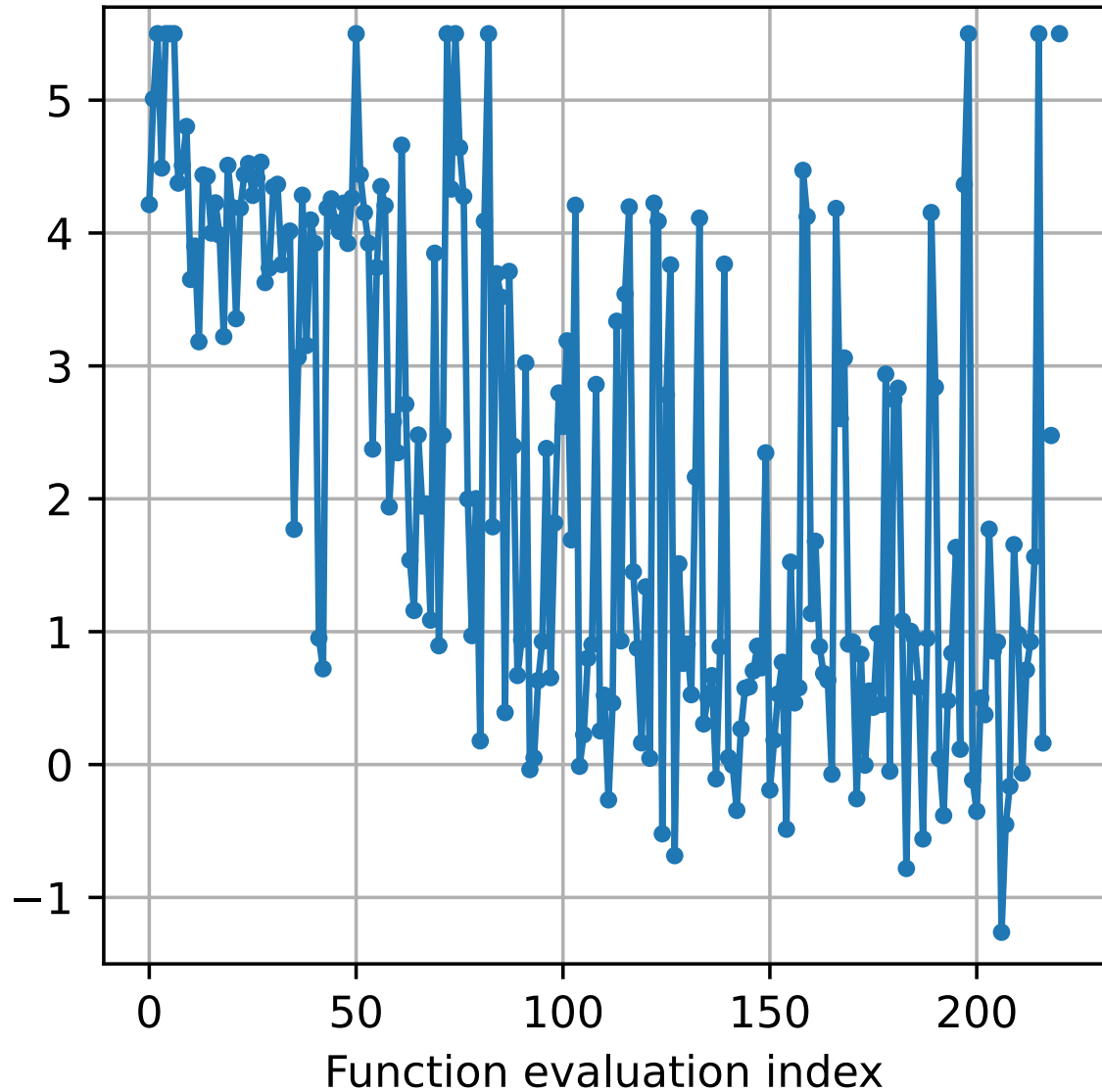


Aspect ratio = 6  
 $\langle \beta \rangle = 1.5\%$

# New configuration 2

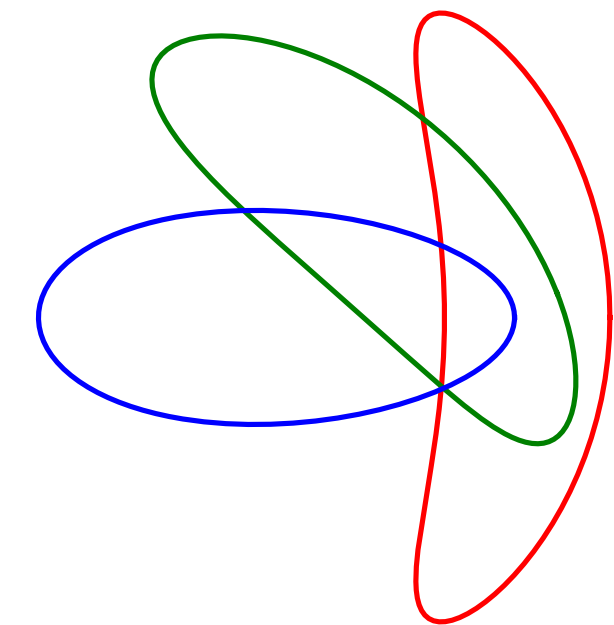
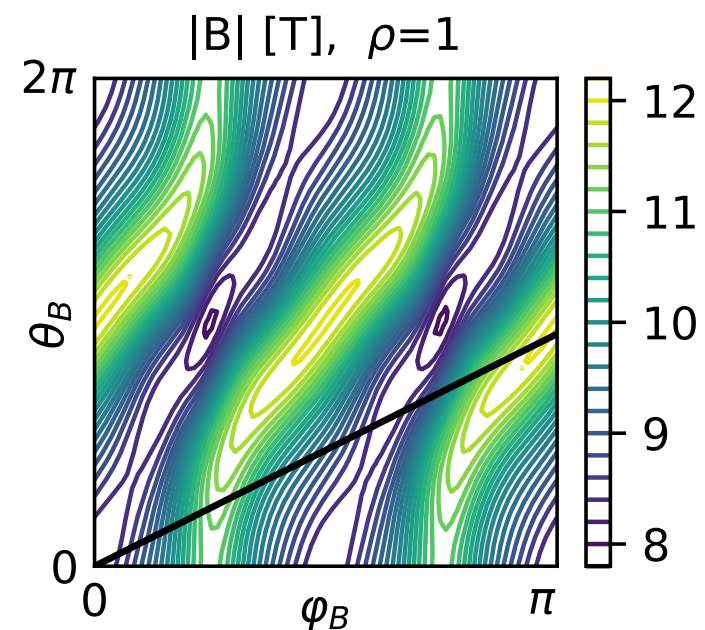
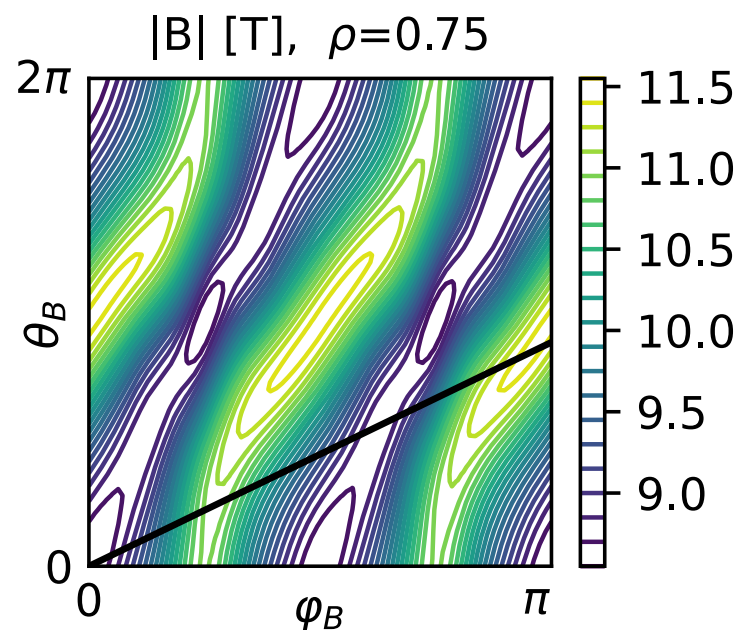
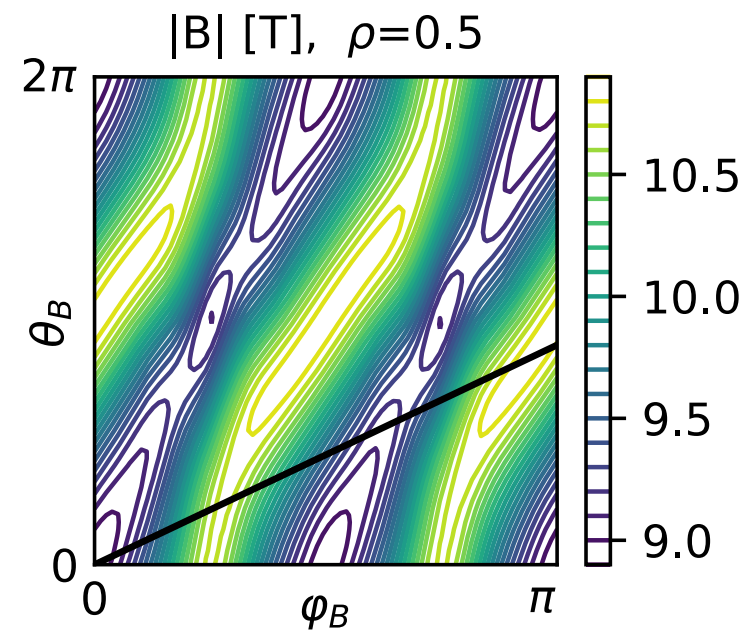
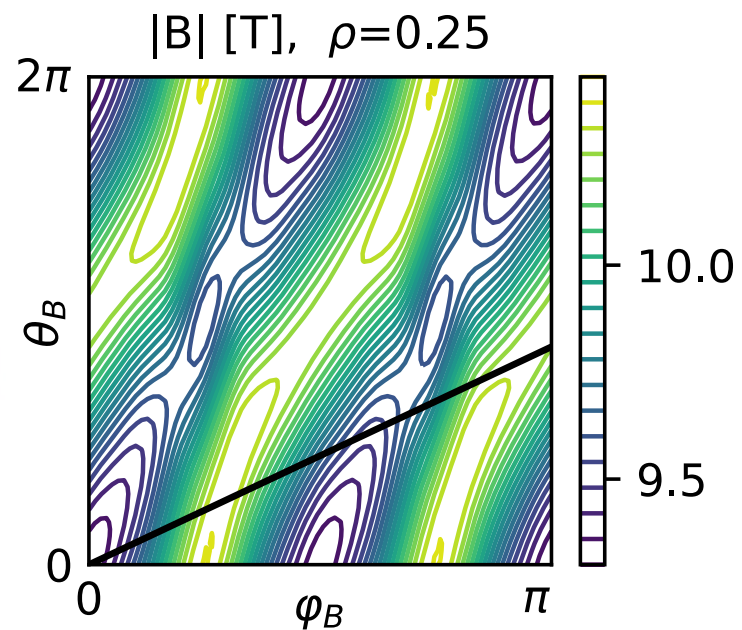
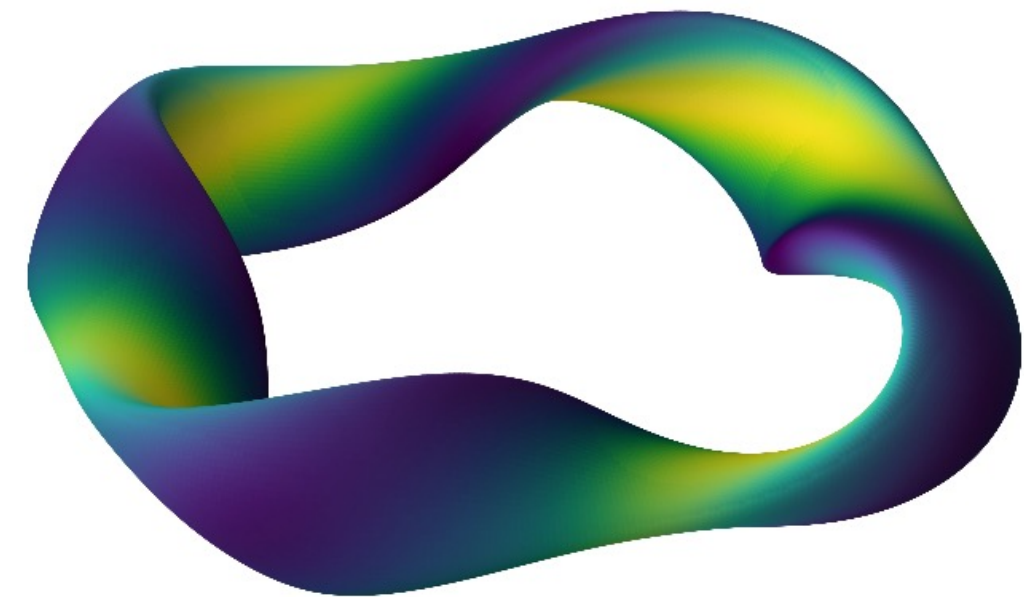
Garabedian space,  $m, n \leq 2$ . # dofs = 23

Optimization history



# New configuration 2

QH-ish but far from ideal QH.

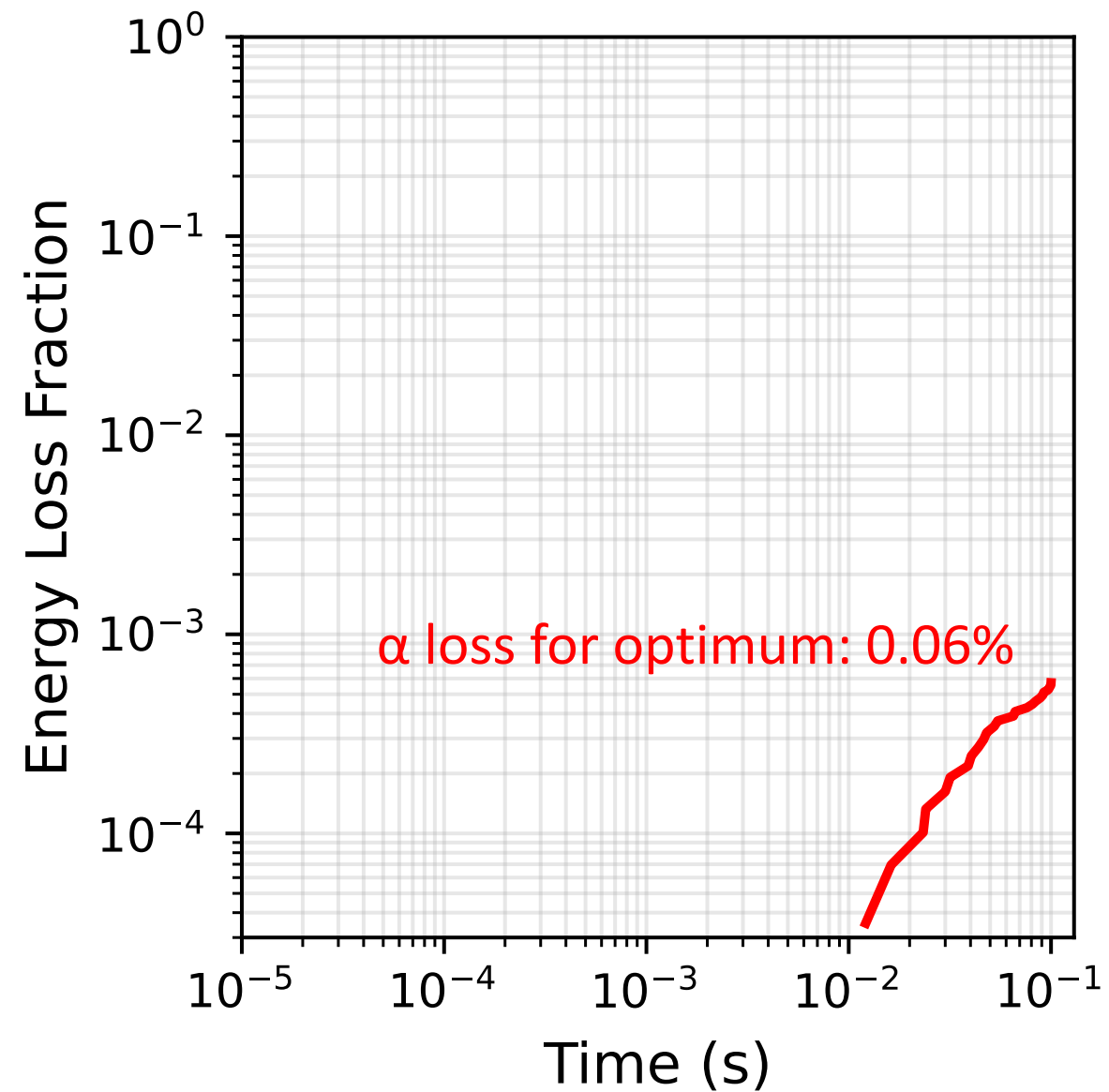
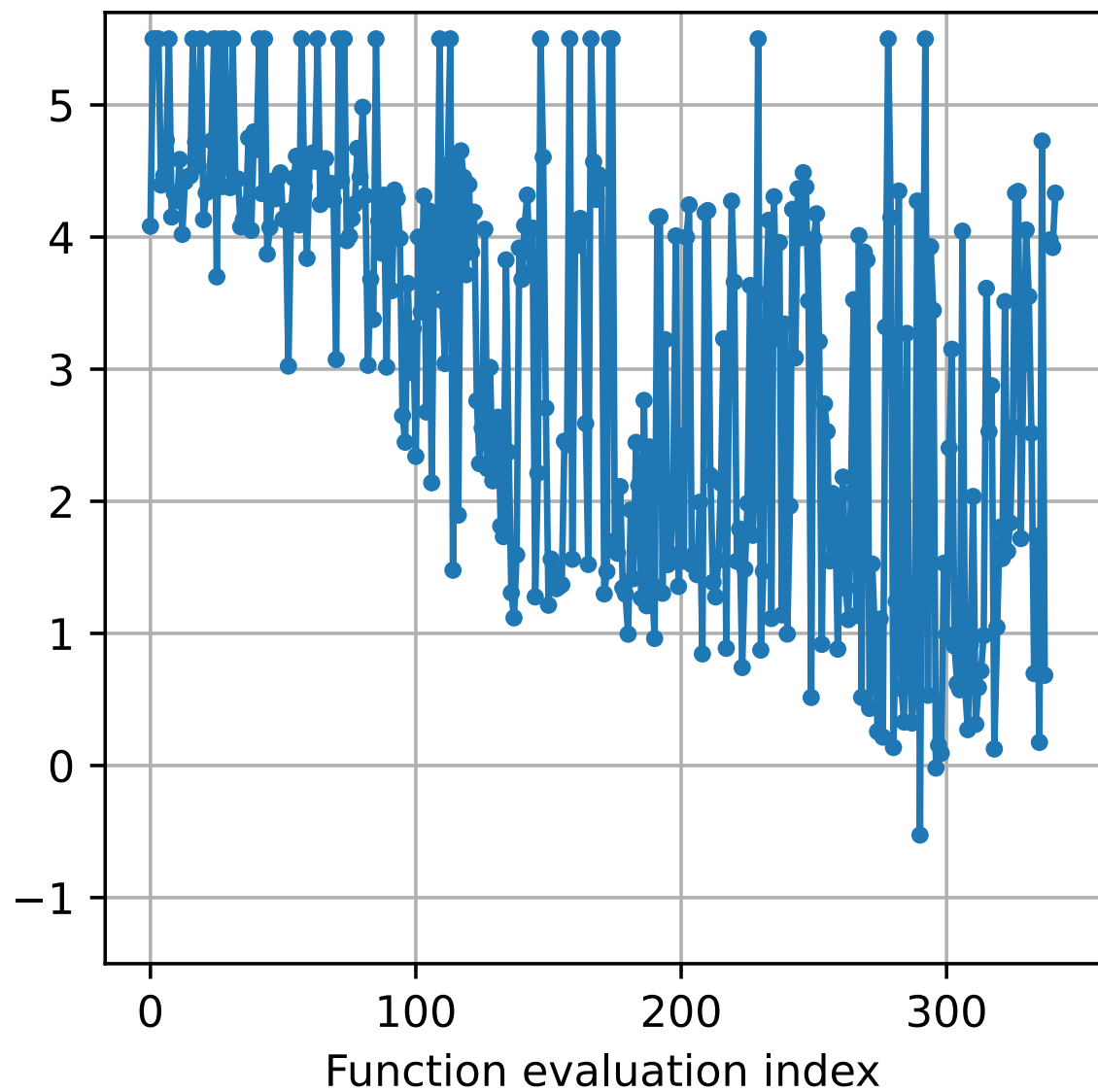


Aspect ratio = 6  
 $\langle \beta \rangle = 1.4\%$

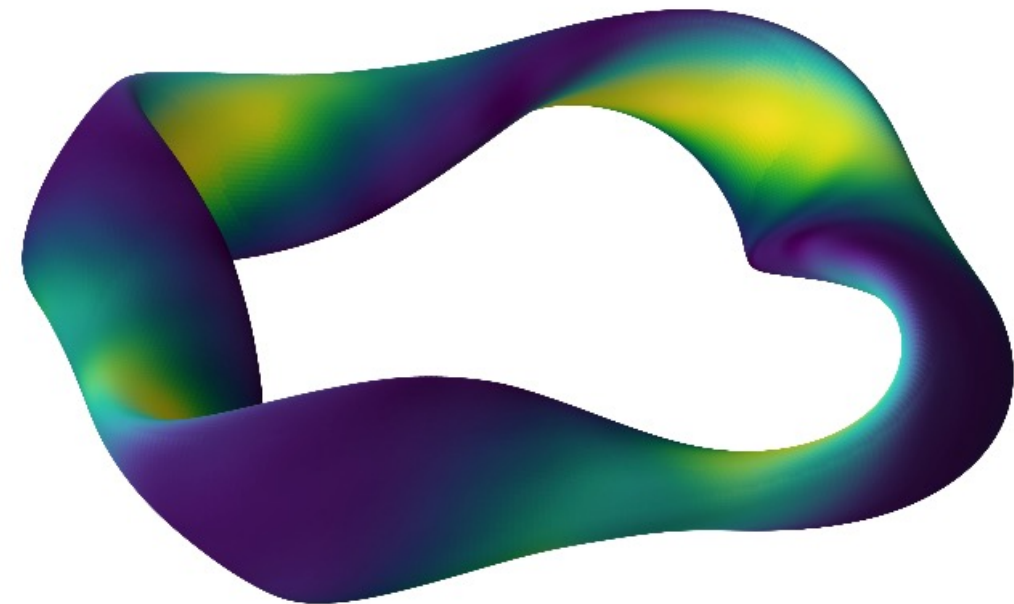
# New configuration 3

PCA space, 20 components.

Optimization history

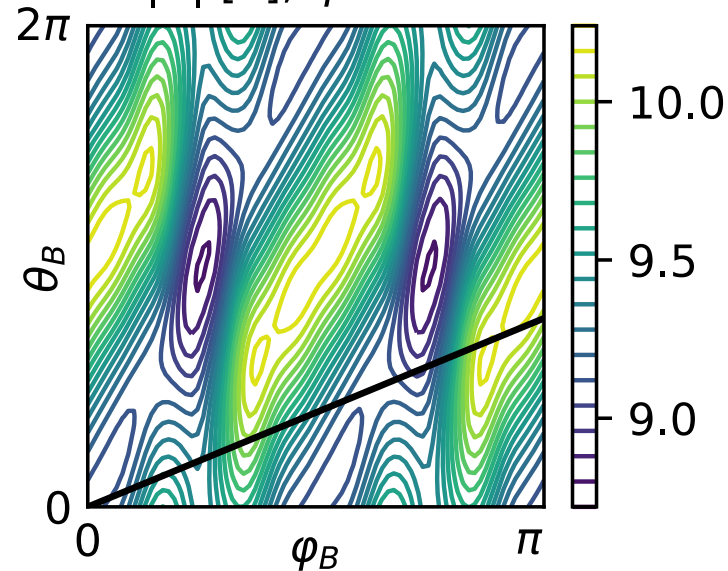


# New configuration 3

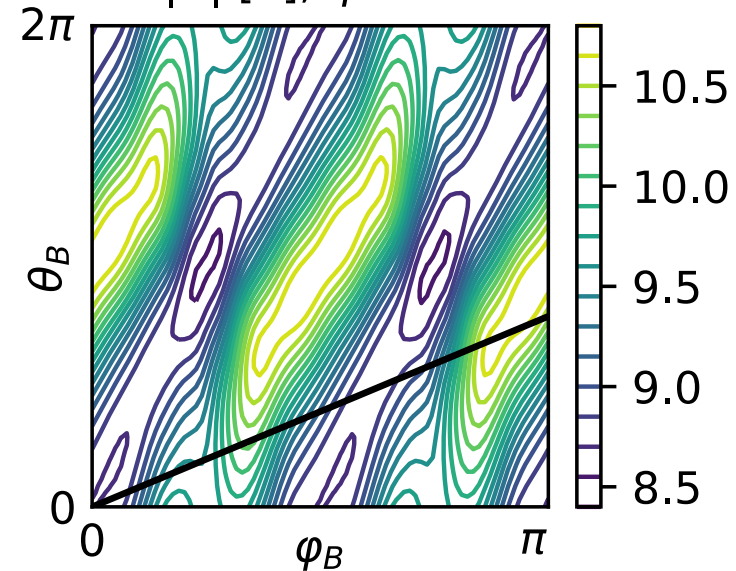


Looks like piecewise omnigenity

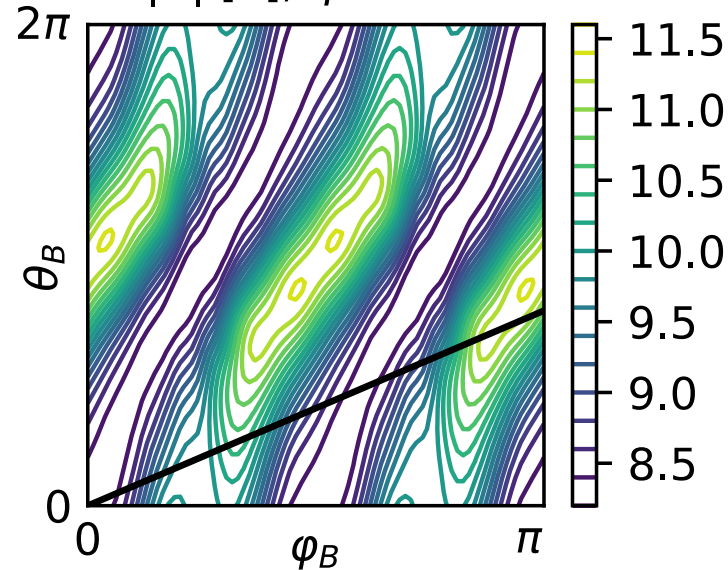
$|B|$  [T],  $\rho=0.25$



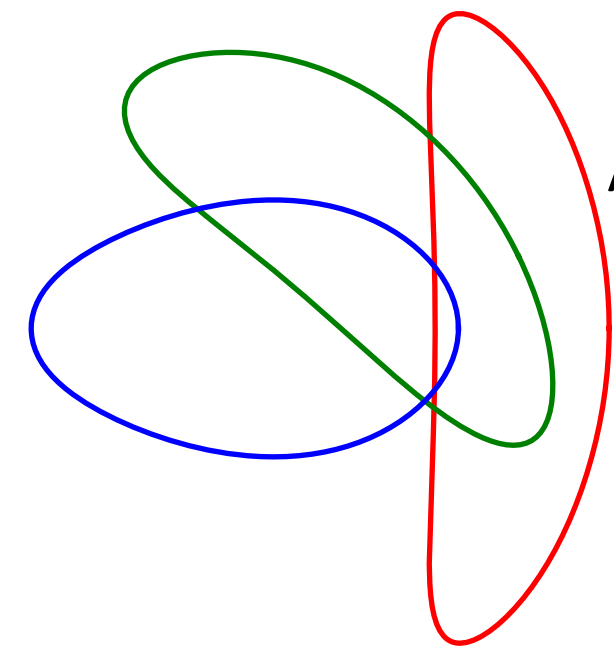
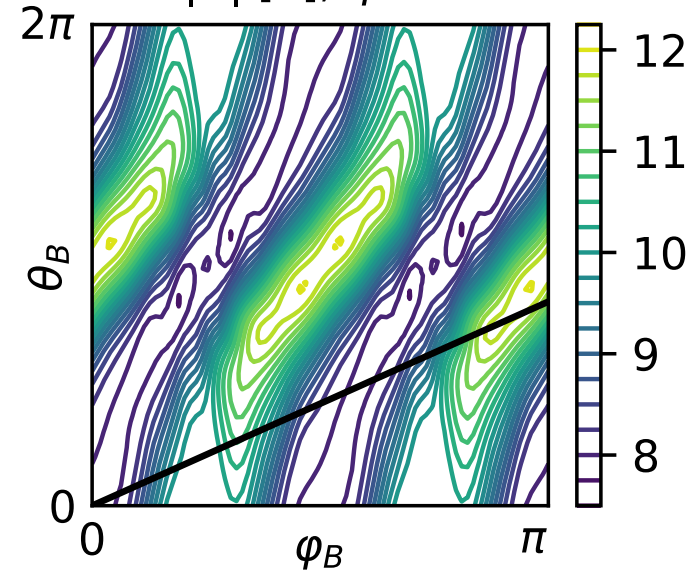
$|B|$  [T],  $\rho=0.5$



$|B|$  [T],  $\rho=0.75$



$|B|$  [T],  $\rho=1$



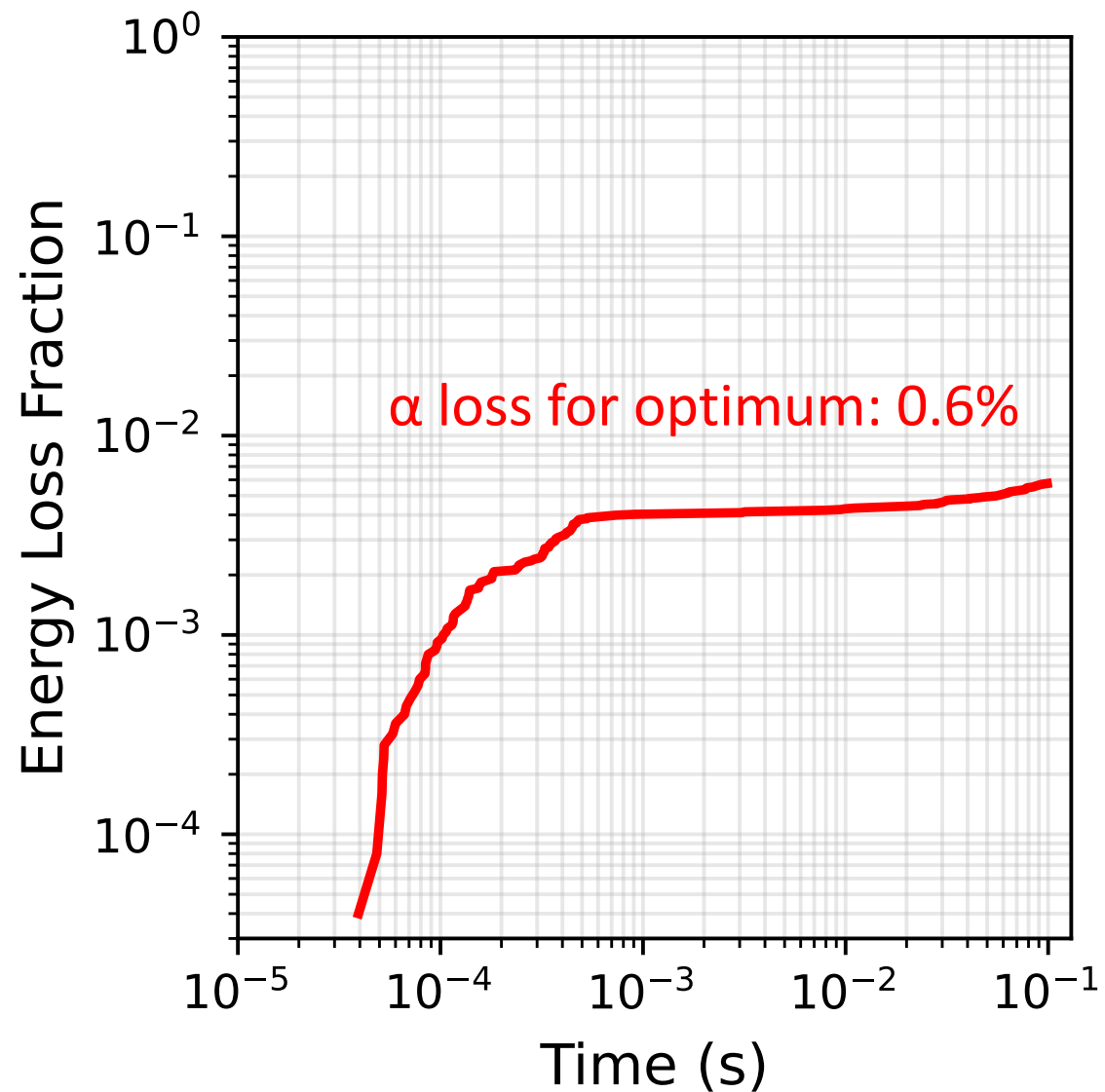
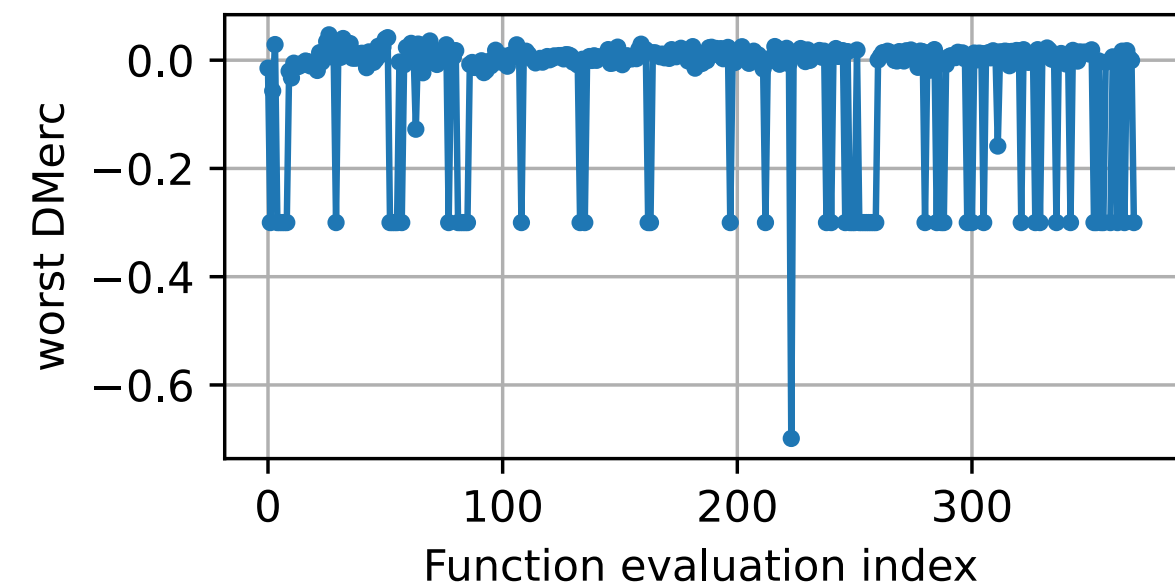
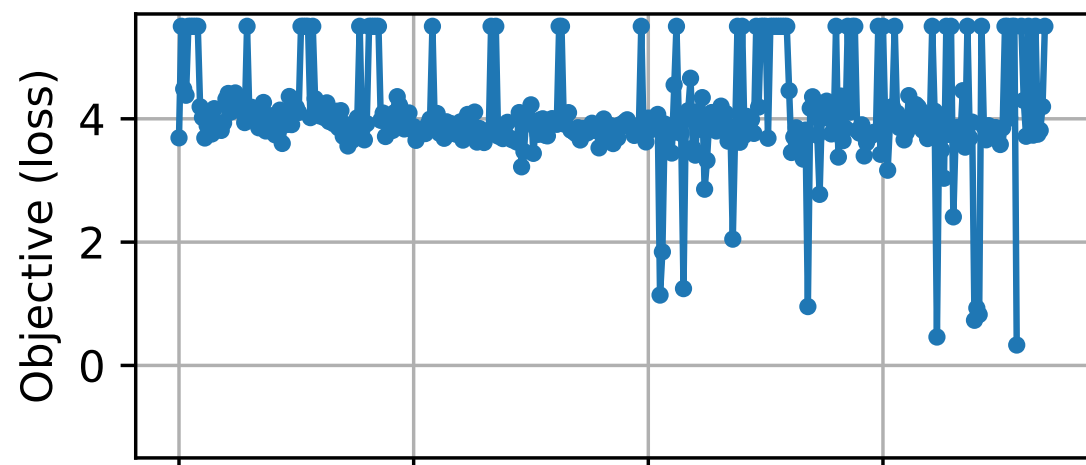
Aspect ratio = 6

$\langle \beta \rangle = 1.5\%$

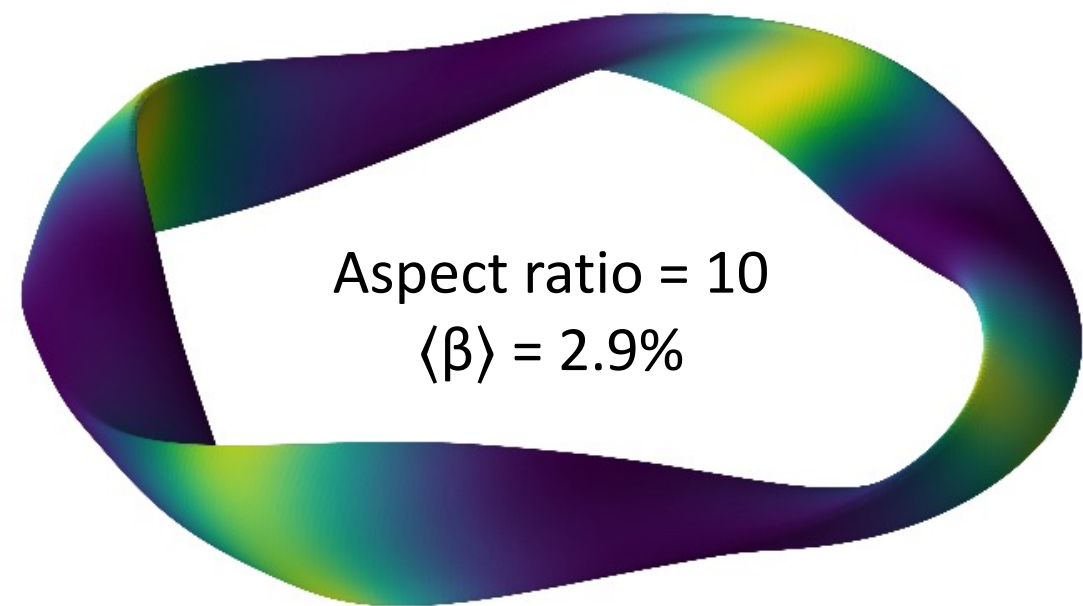
# New configuration 4

Garabedian space,  $m, n \leq 2$ . # dofs = 23. Include Mercier stability constraint.

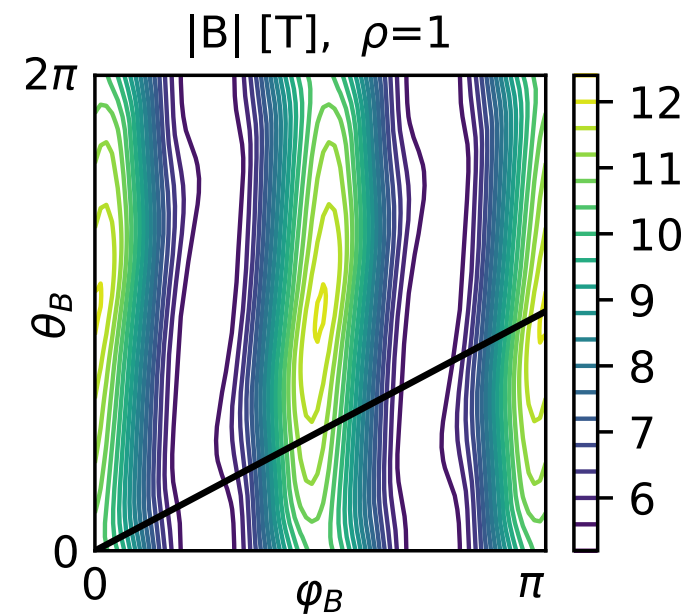
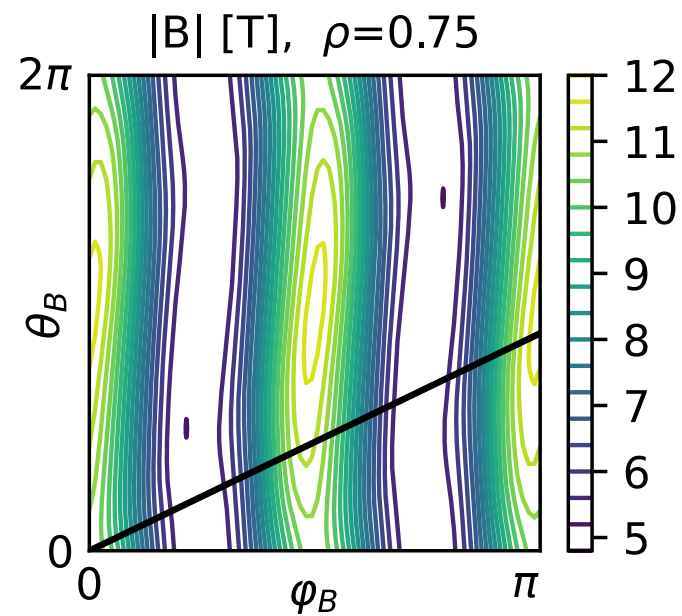
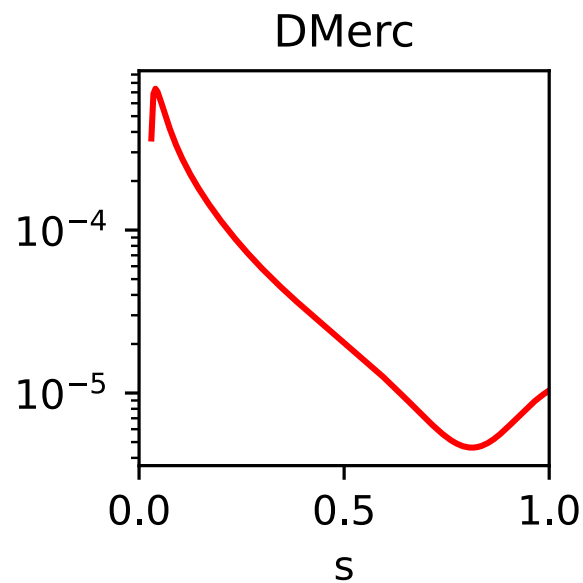
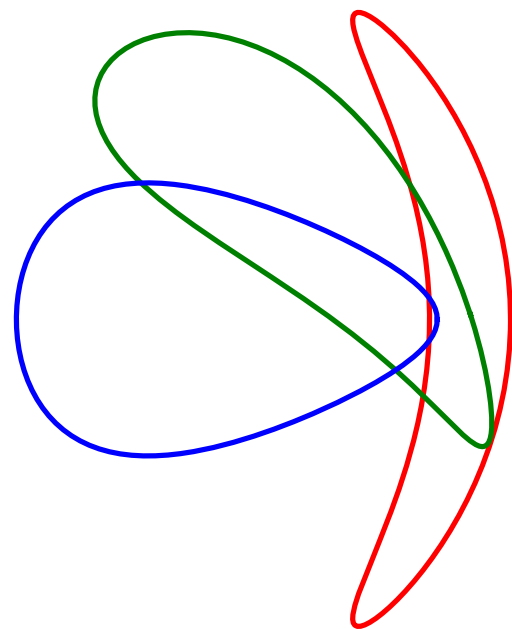
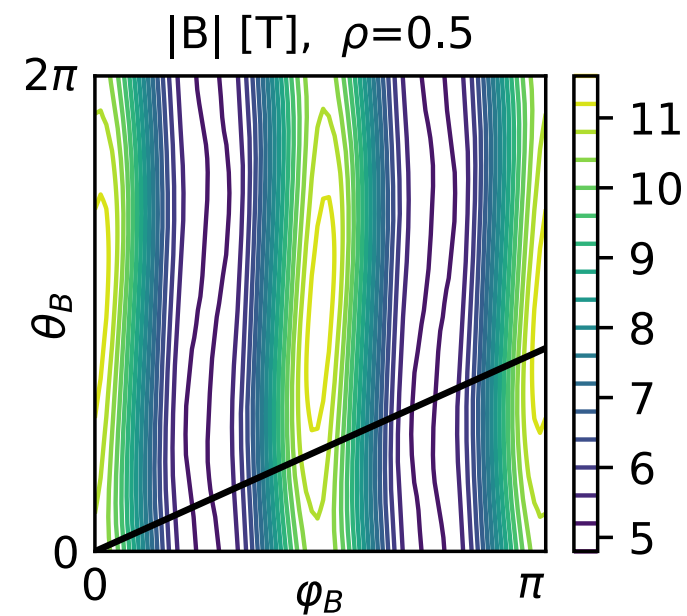
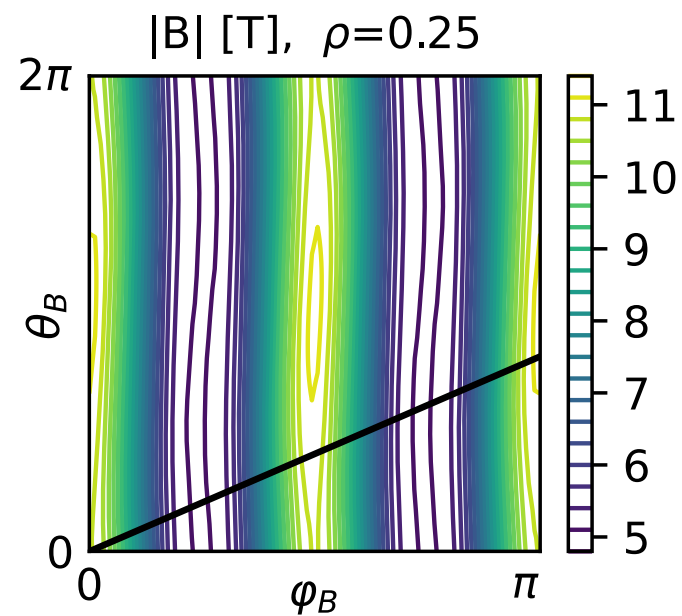
Optimization history



# New configuration 4



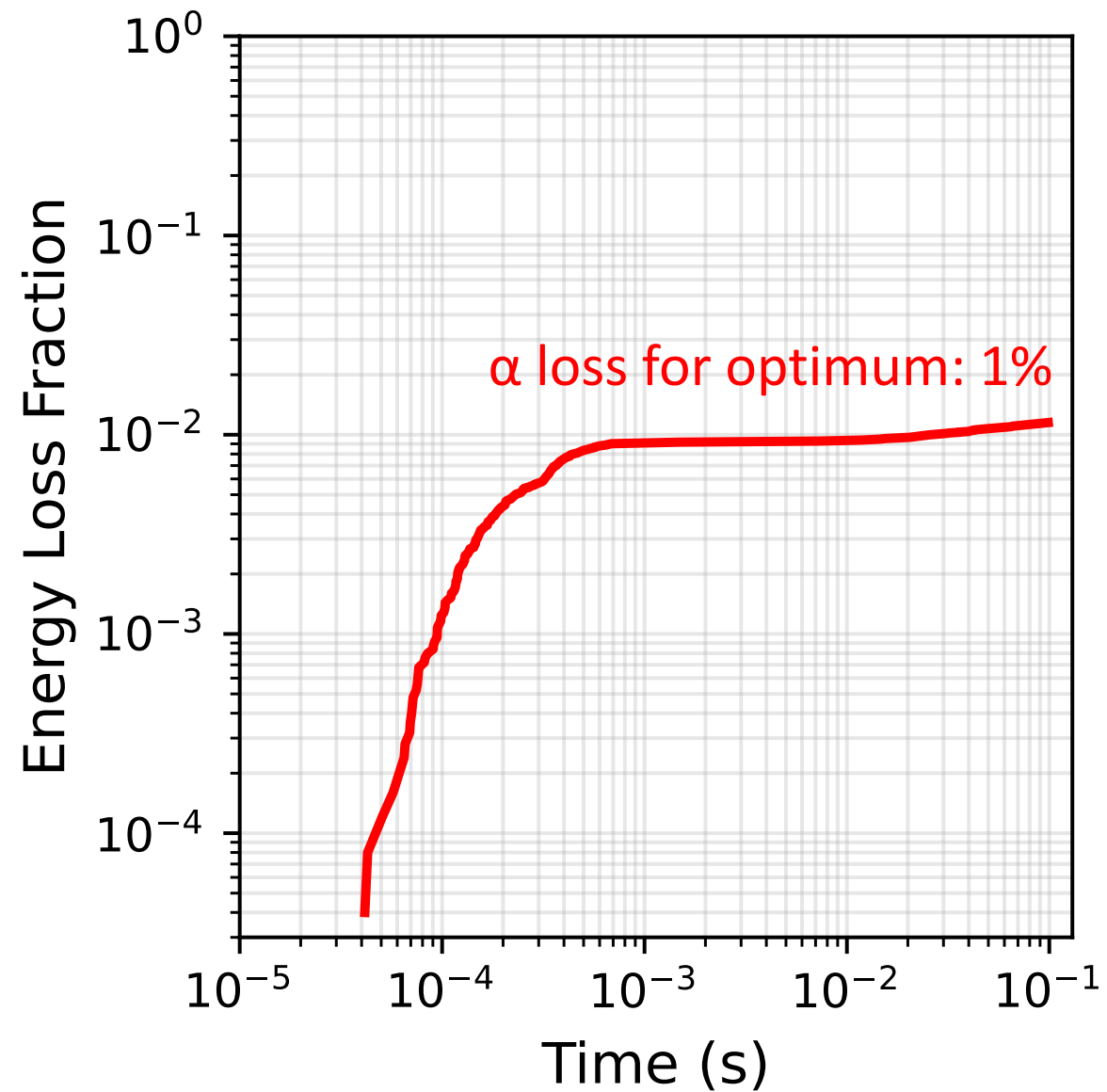
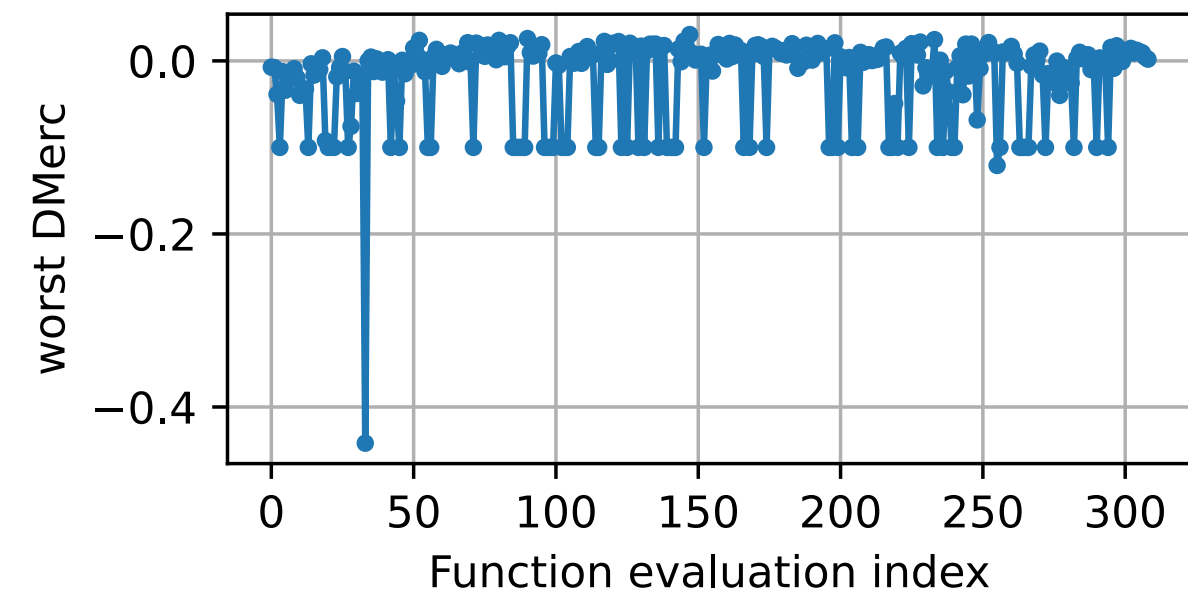
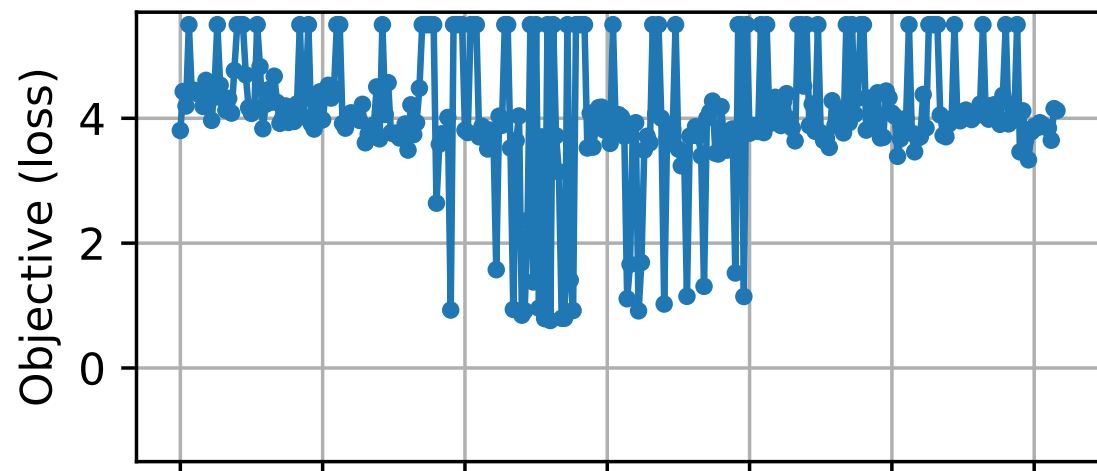
Rediscovered QI, with no QI objective.



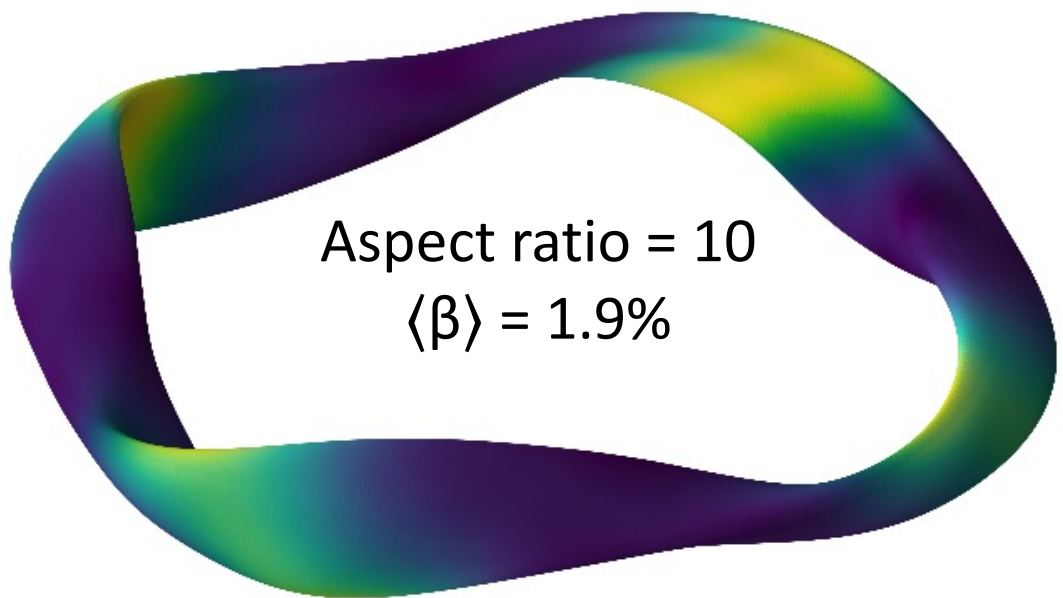
# New configuration 5

PCA space, 25 components. Include Mercier stability constraint.

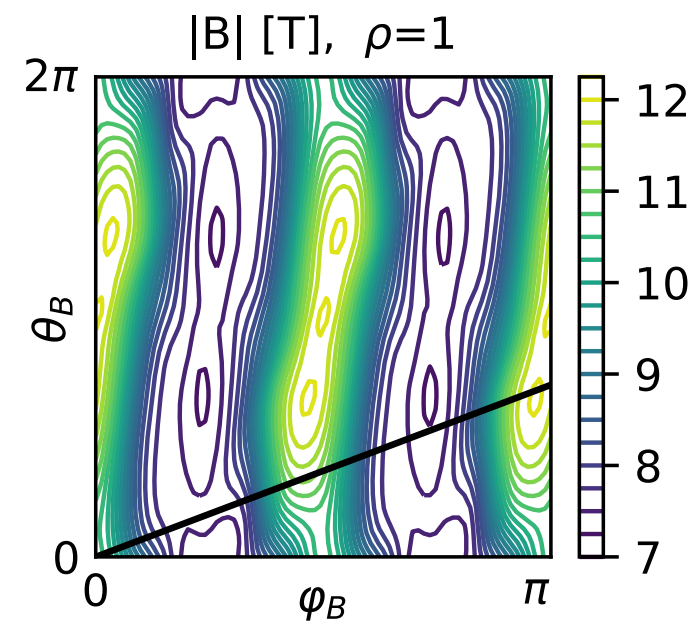
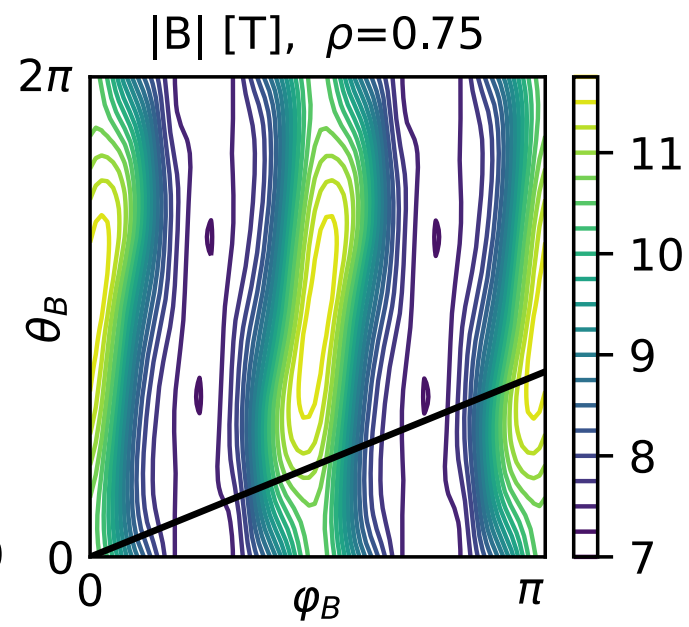
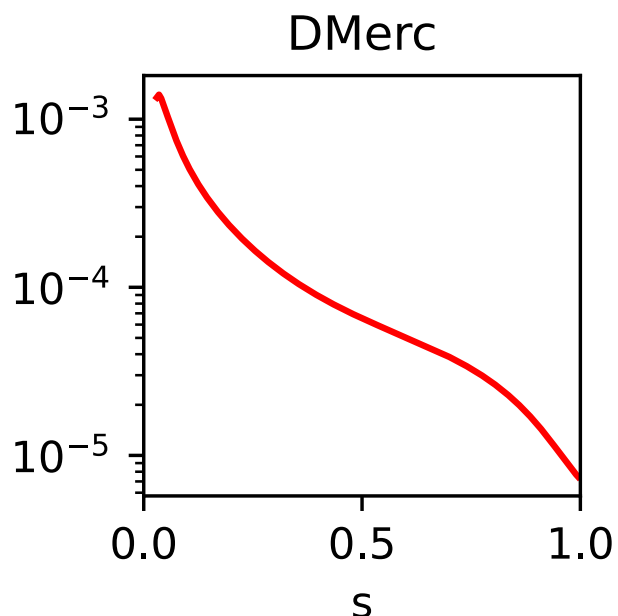
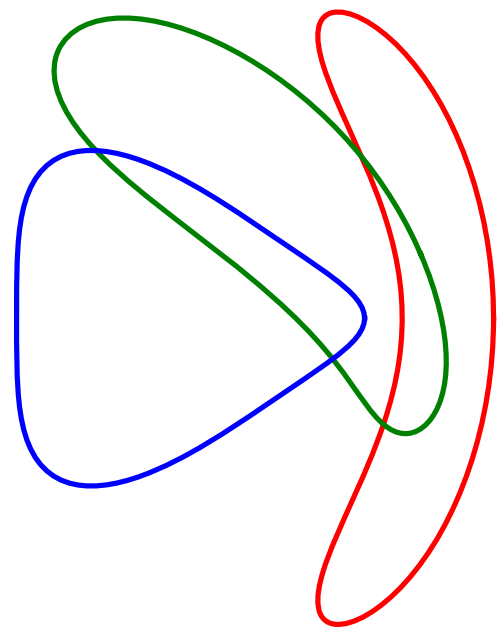
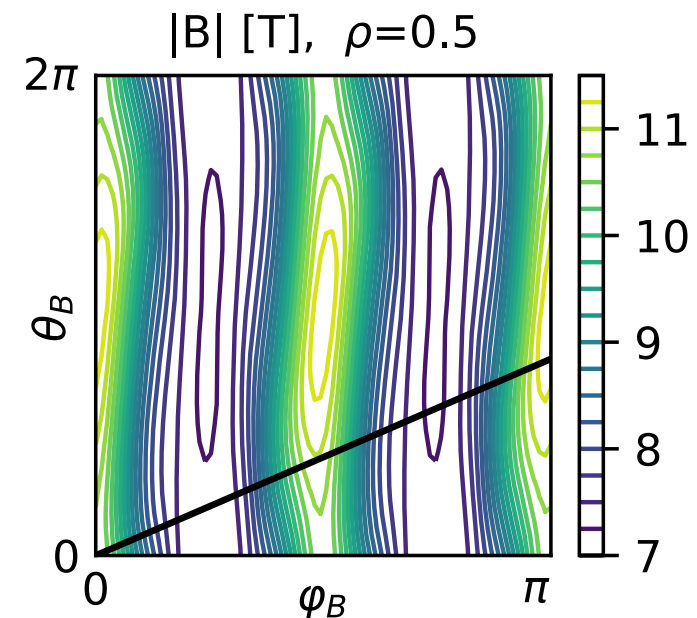
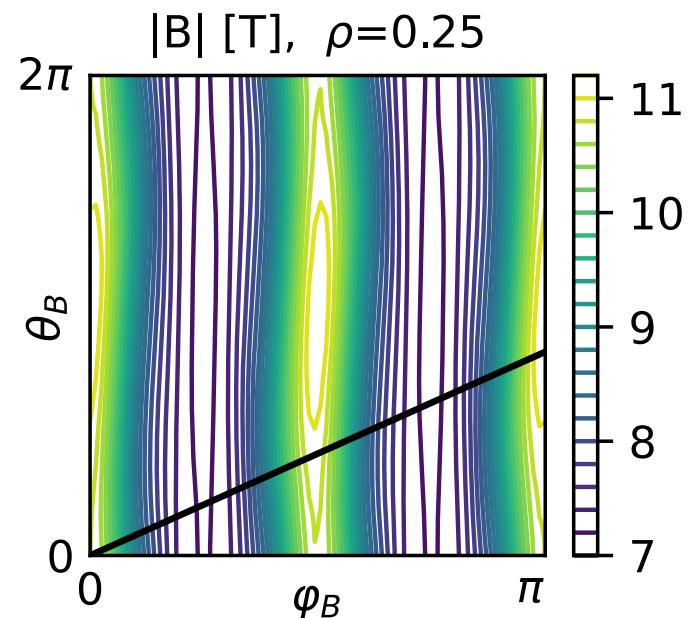
Optimization history



# New configuration 5

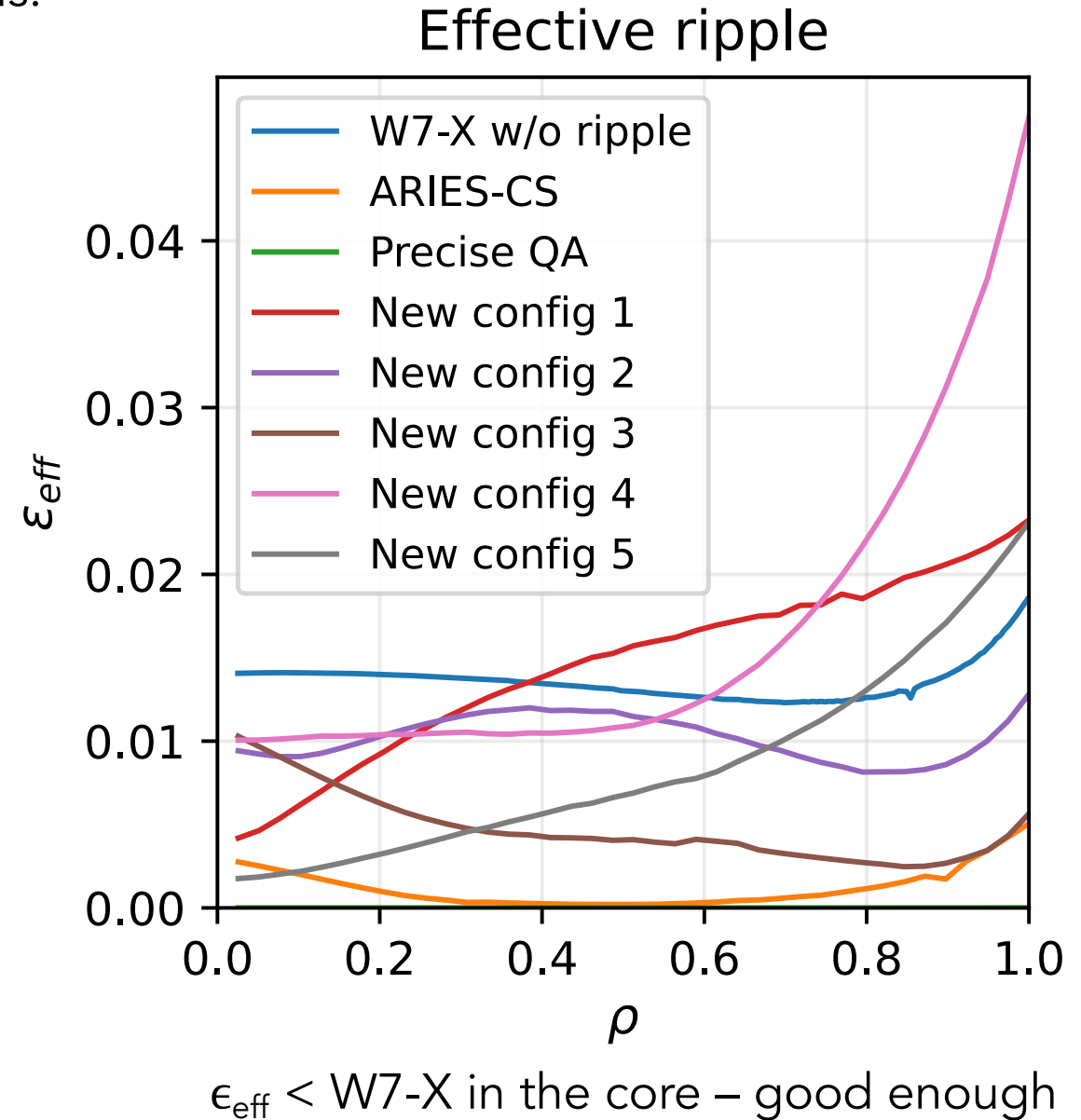
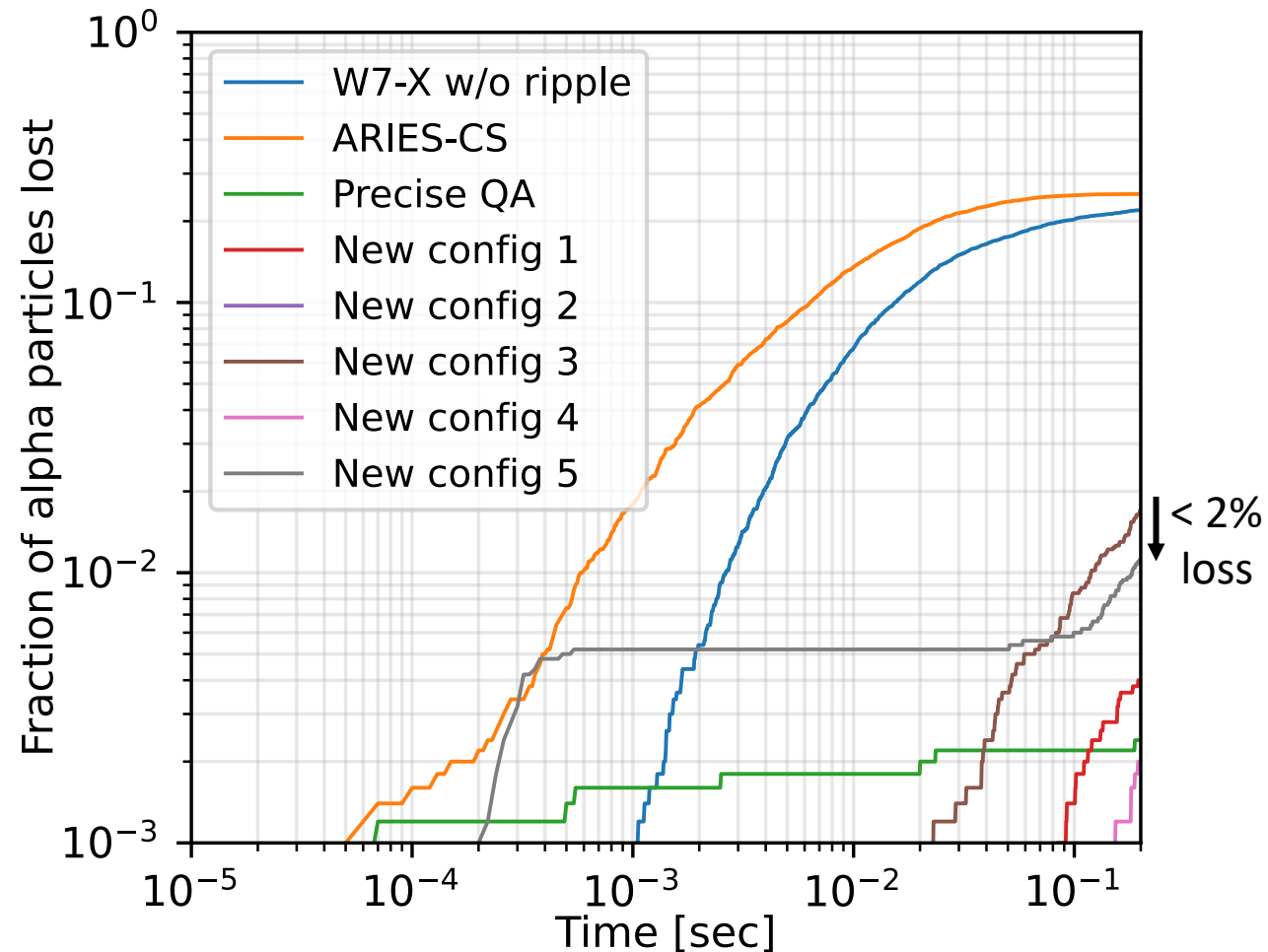


Rediscovered QI, with no QI objective.



# New configs also perform well on other benchmarks

- As in Landreman & Paul PRL (2022) & later publications.
- All configs scaled to ARIES-CS:  $a = 1.7$  m,  $\langle B \rangle = 5.9$  T
- Initialize particles at  $s = 0.25$ .



# Conclusions

- These 2 new parameter spaces are nice for stage-1 optimization: few equilibrium failures despite strong shaping, & natural box constraints.
- It's feasible to directly trace alphas inside the optimization loop.
- Configurations exist with excellent confinement that are far from ideal QA/QH/QI!

# Future work

- Add constraints/objectives for iota, bootstrap current,  $L_{\nabla B}$ , ballooning, nonlinear gyrokinetics, etc.
- Compare optimization algorithms.
- Fine-tune configurations using local optimization.
- Systematically explore dependences on nfp, aspect ratio, etc