

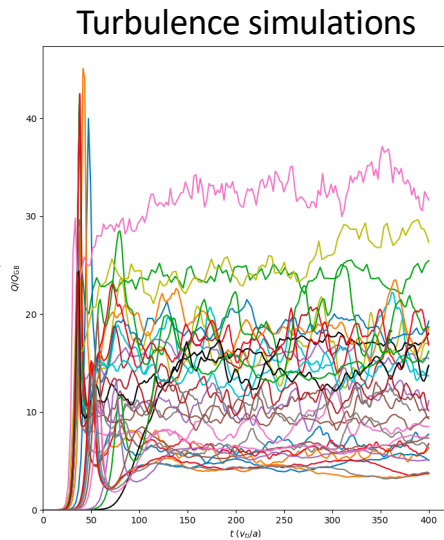
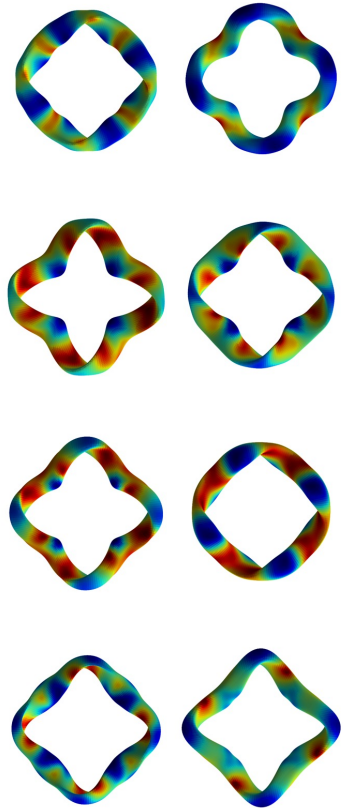
# How does magnetic geometry affect turbulence?

## An interpretable machine learning approach

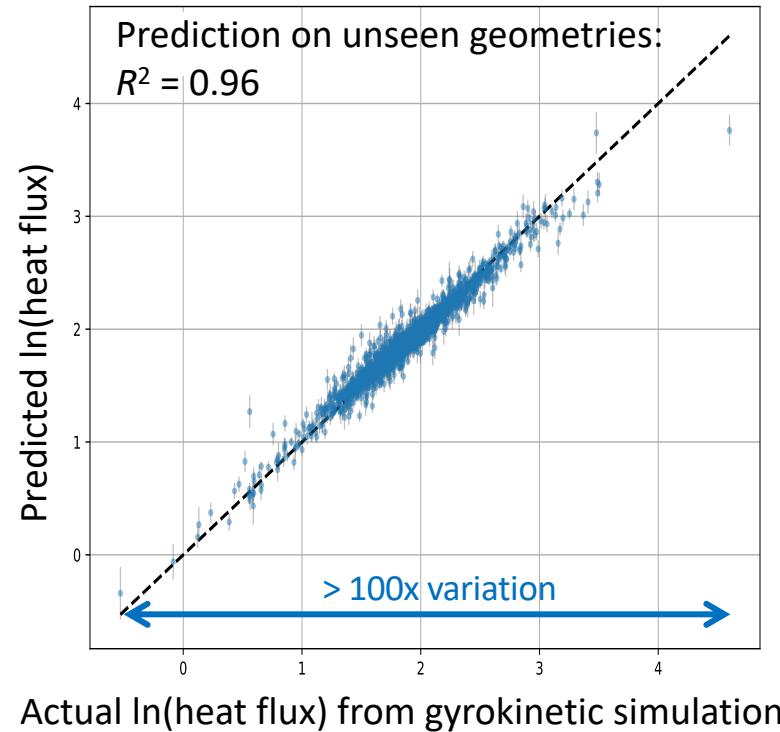
M Landreman, I Abel, G Abreu, C Alves, P Balaprakash, R M Churchill, R Conlin,  
W Dorland, G Hammett, S Hurwitz, B Jang, R Jorge, A Kaptanoglu

*Supported by the US DOE StellFoundry SciDAC & G.H. Distinguished Scientist Fellow Award*

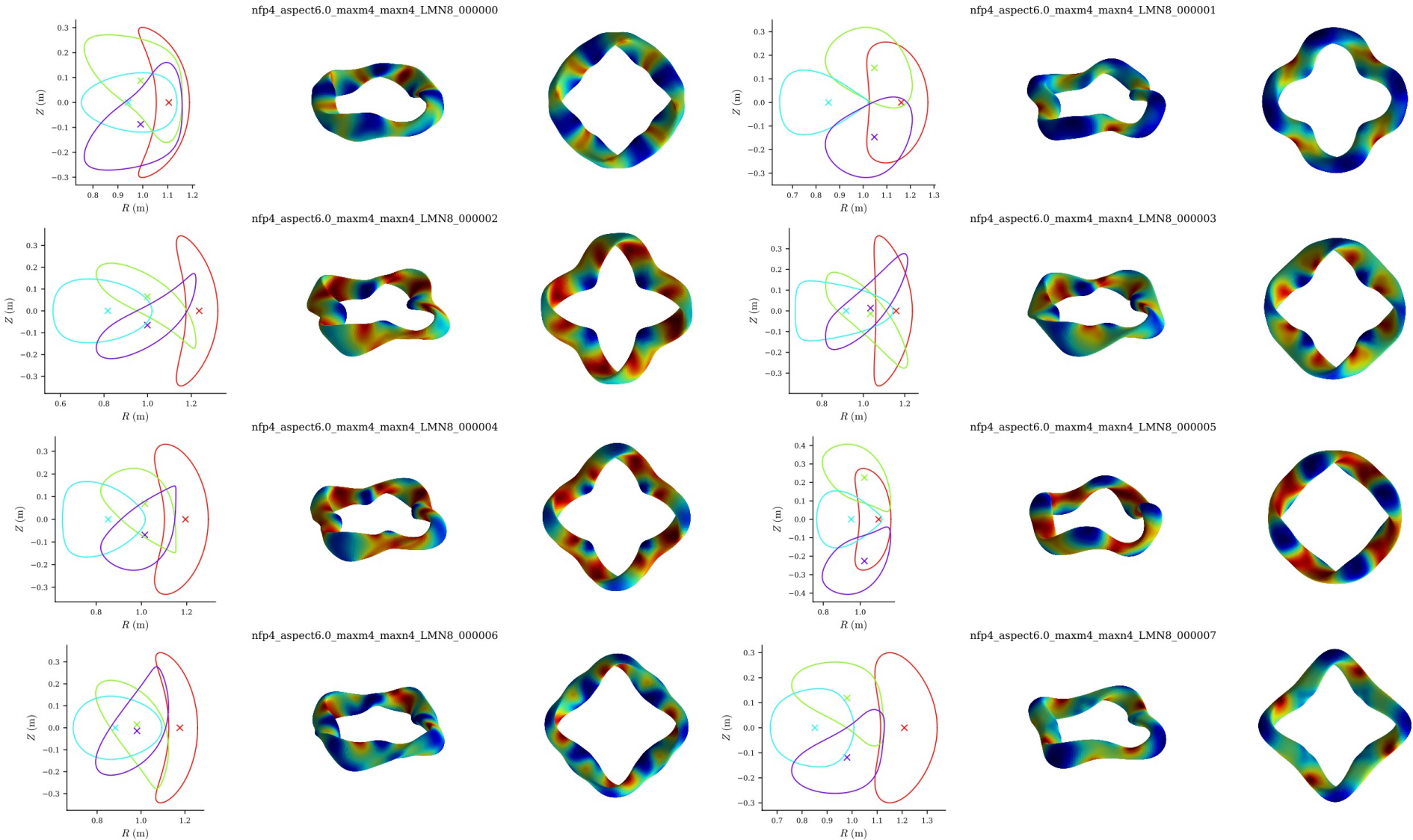
# Applying machine learning to a set of random stellarator geometries, we can predict the geometry-dependence of turbulence



Regression

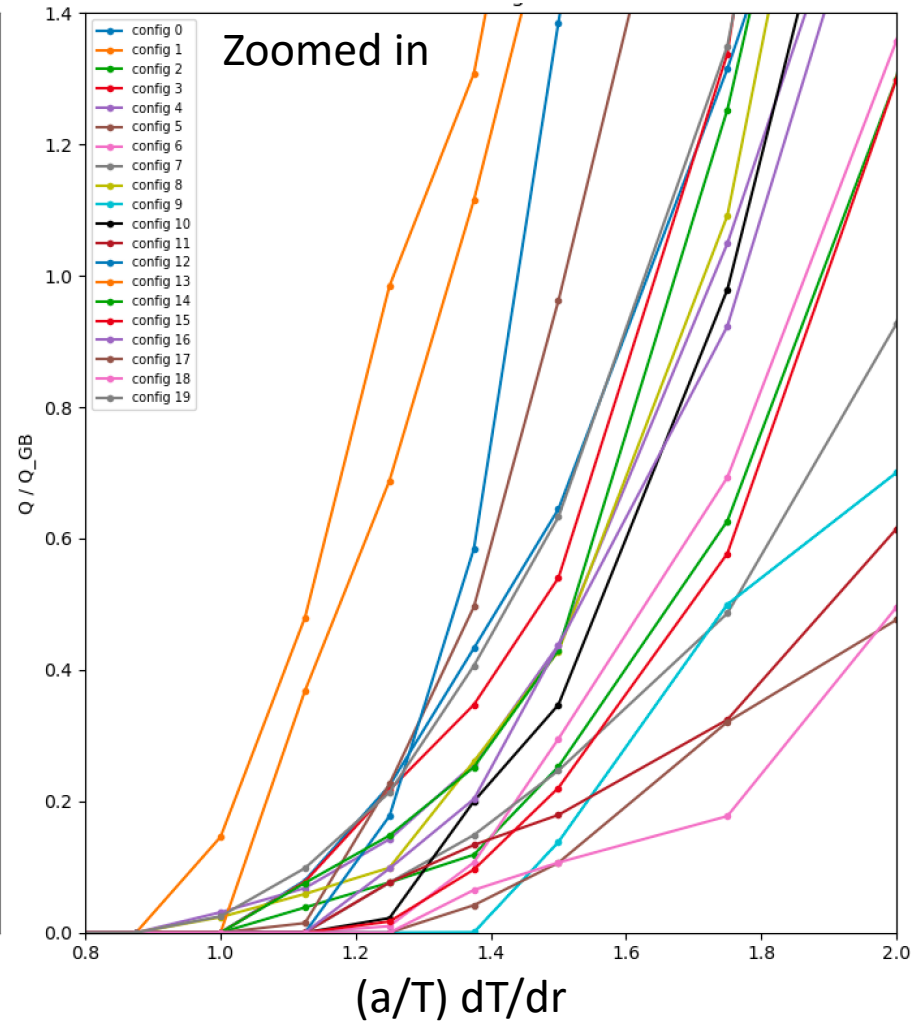
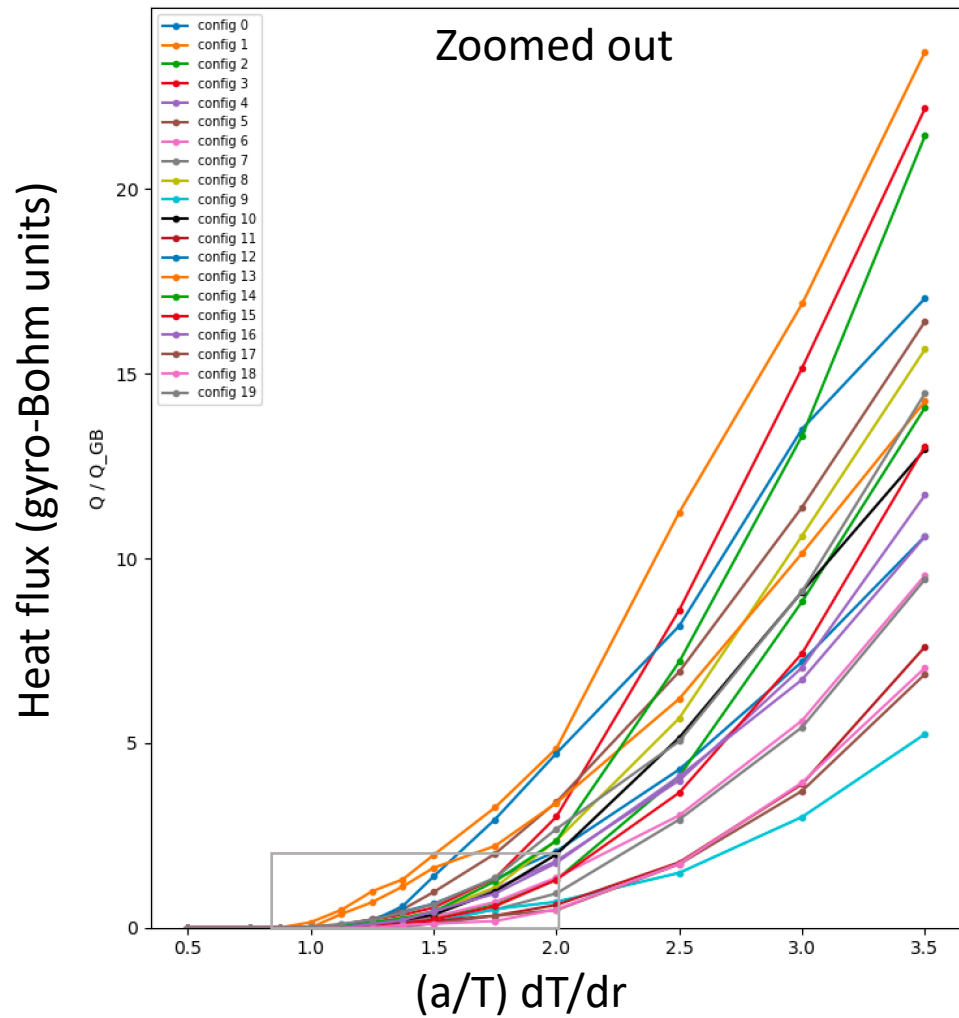


# Step 1: Generate equilibria with random geometries



- Each RBC and ZBS coefficient sampled from normal distribution, fit to collection of well-known stellarators.
- All aspect ratio 6, same major & minor radius, same toroidal flux, so identical gyro-Bohm normalizations.

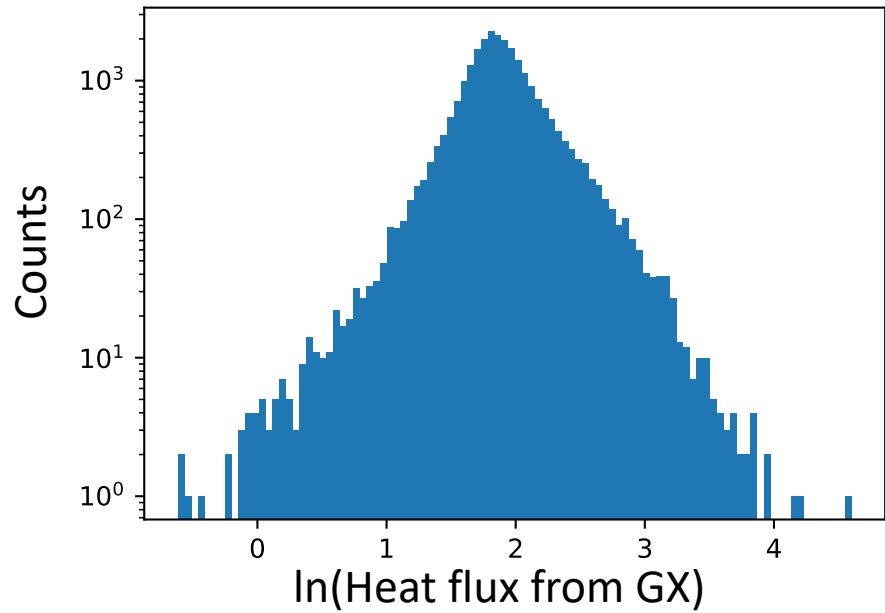
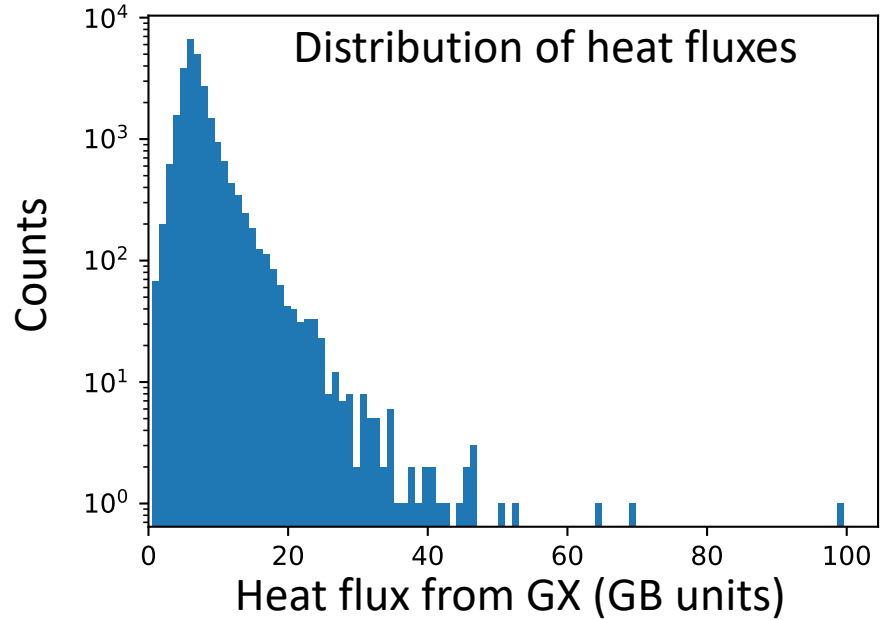
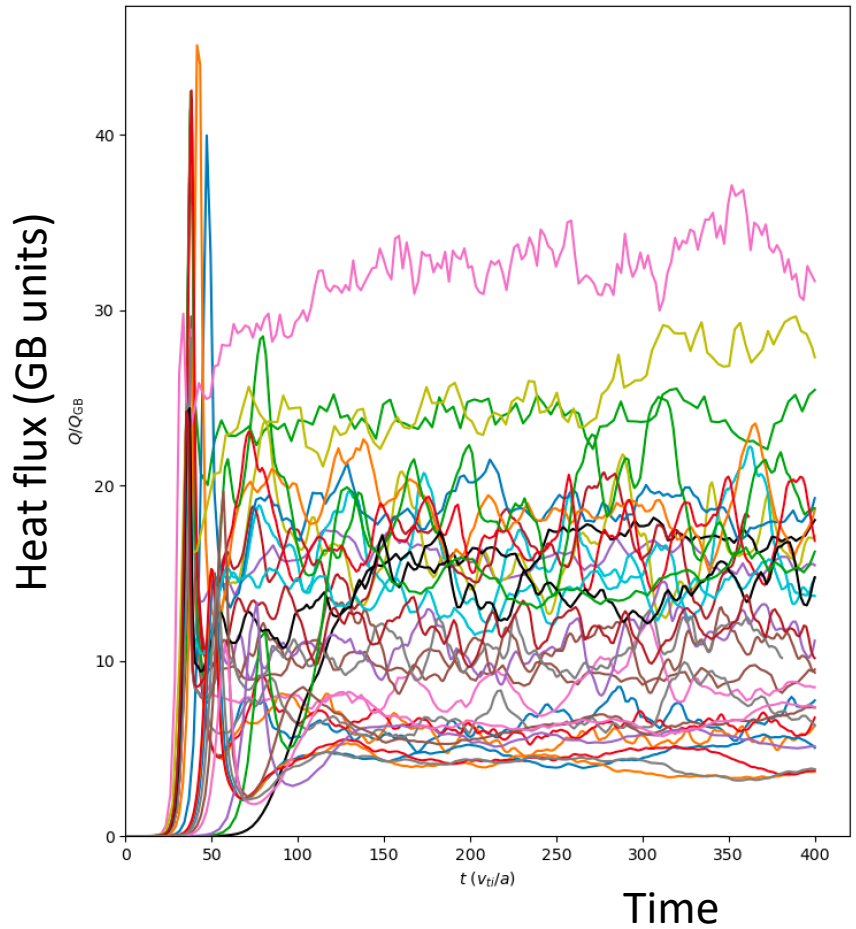
$dT/dr$  scanned in nonlinear GX turbulence simulations for a few configurations. Critical gradient and stiffness are correlated.





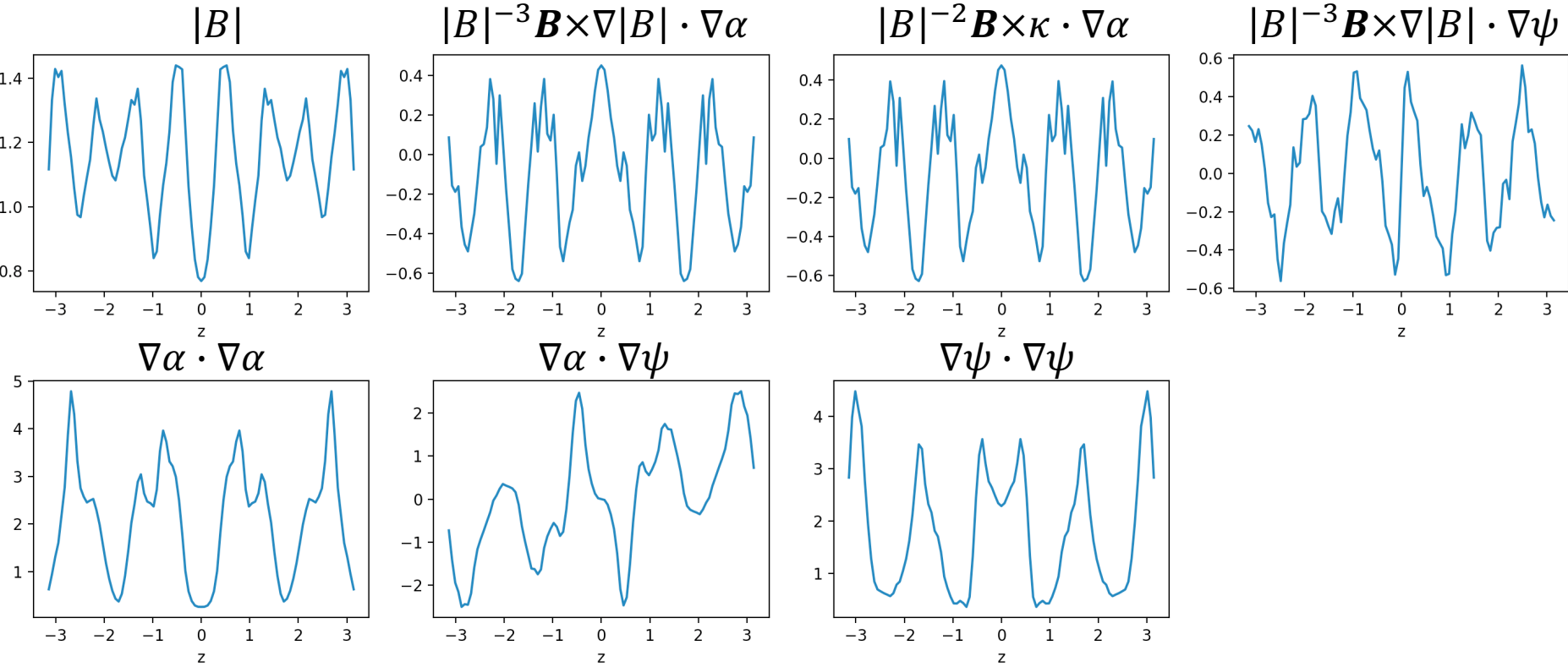
# Nonlinear turbulence simulations run with GX in every equilibrium

- Fixed  $(a/T) dT/dr = 3$ , adiabatic electrons.
- $\sim 8$  minutes to get heat flux on 1 GPU
- So far  $N > 32k$  (8k equilibria \* 4 flux tubes in each).

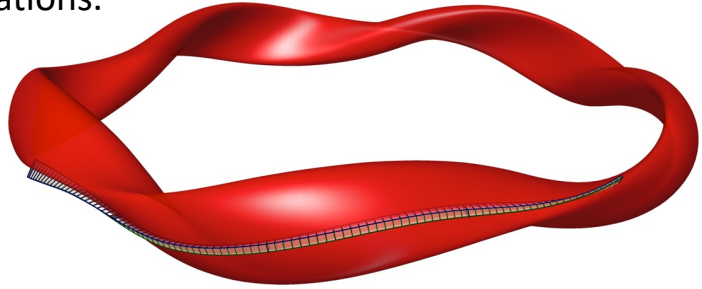
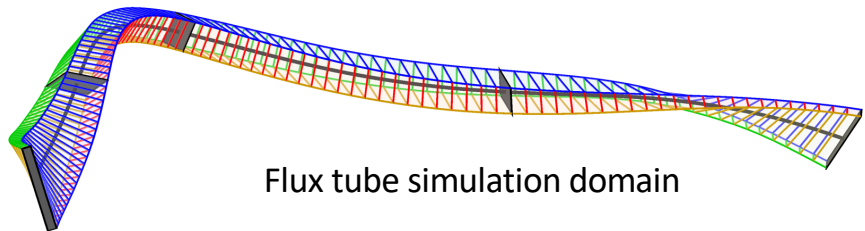


# Raw feature space: 7x 1D functions that enter the turbulence simulations

$$\mathbf{B} = \nabla\psi \times \nabla\alpha$$

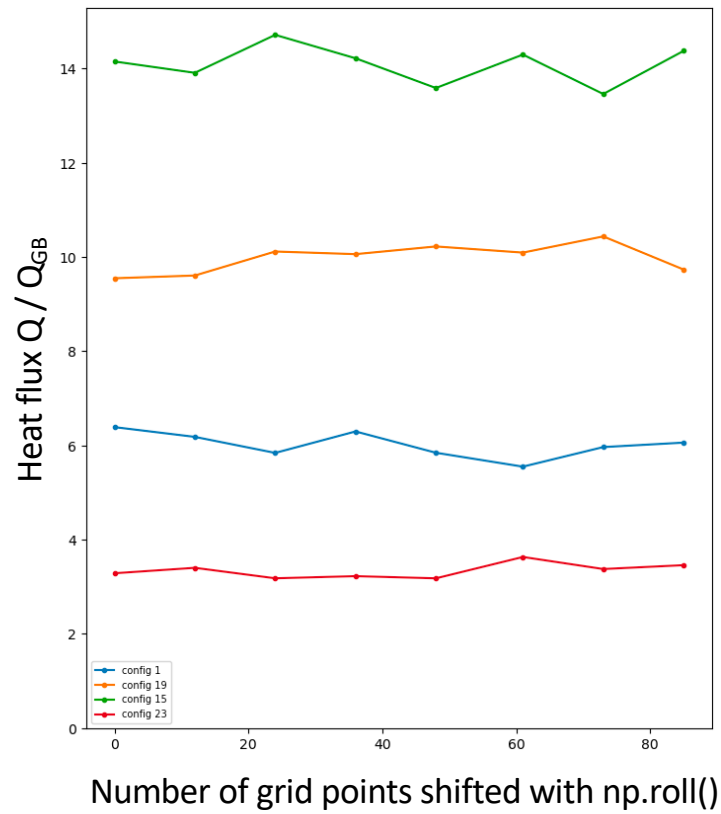
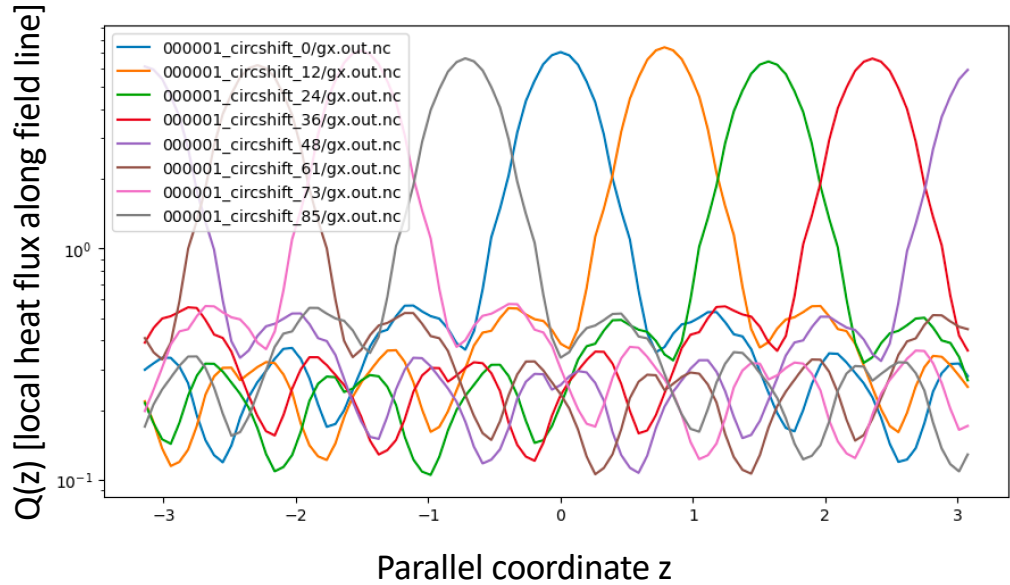


$\mathbf{b} \cdot \nabla z$  is constant and the same for all configs, as are tube lengths in meters, so Fourier modes ( $k_{||}$ ) can be compared between configurations.



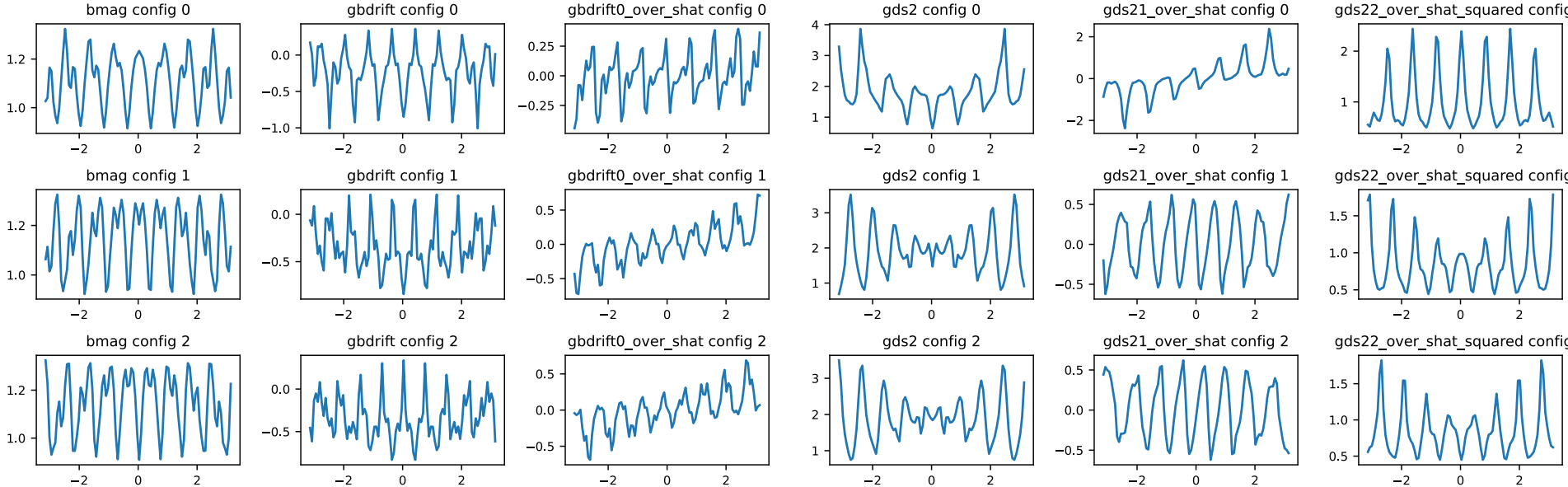
Raw features should *not* be directly fed to classical regression or fully-connected neural network, since model should be translation-invariant

- GK equation, hence heat flux, is invariant under periodic translation of the raw features.
- Similar to computer vision, where convolutional neural networks give approximate translation-invariance.
- Demo: apply `np.roll()` to GX geometry input arrays, then re-run GX

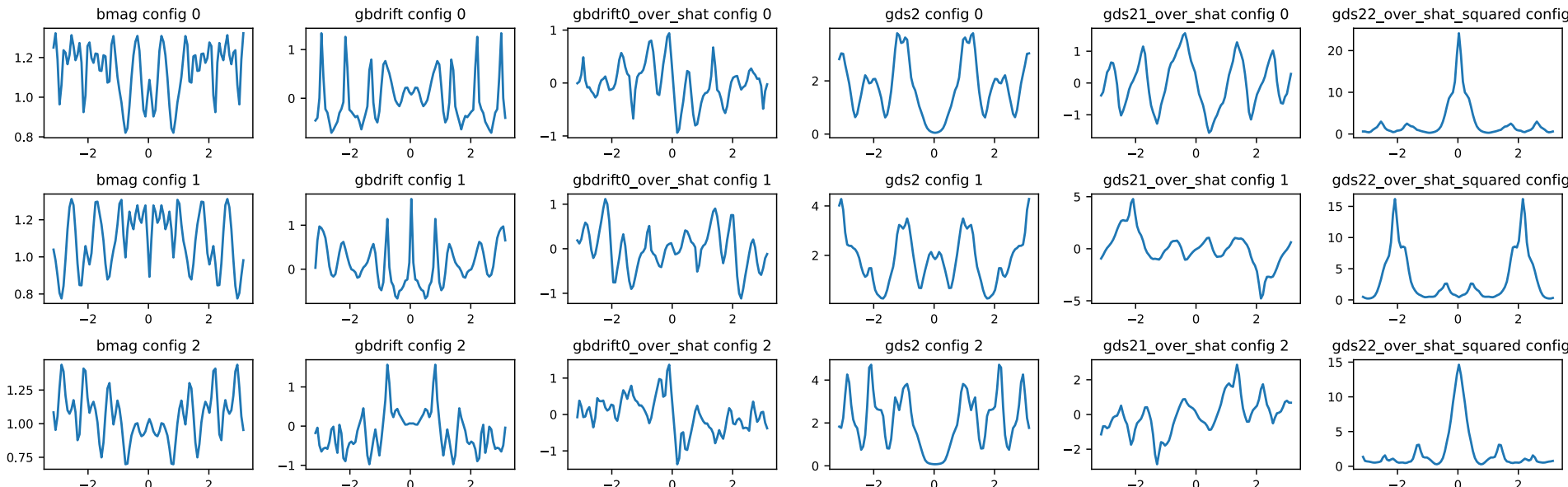


# The configurations with lowest & highest heat flux have distinctive features

## Smallest heat flux:

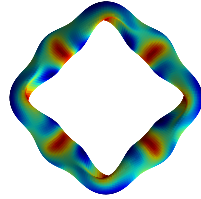
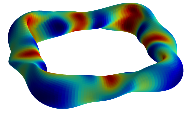
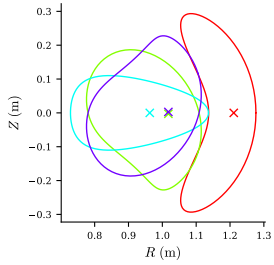


## Largest heat flux:

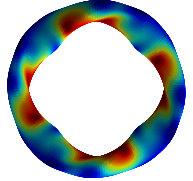
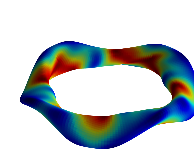
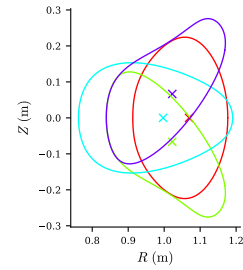


# Configurations with smallest heat flux

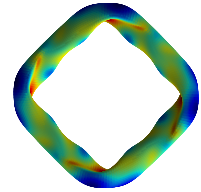
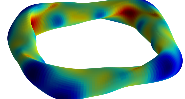
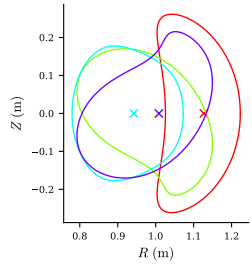
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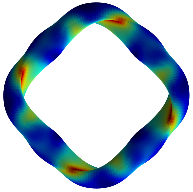
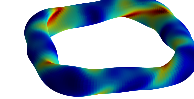
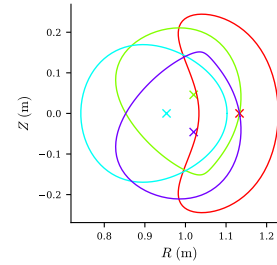
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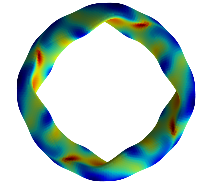
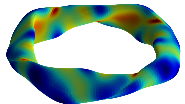
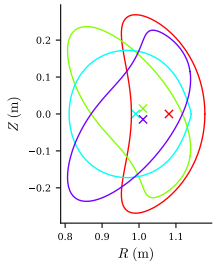
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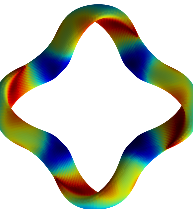
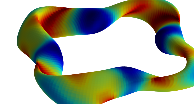
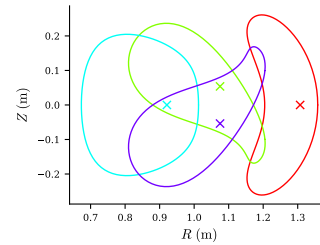
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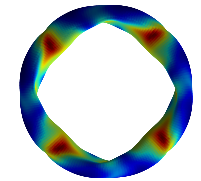
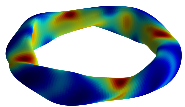
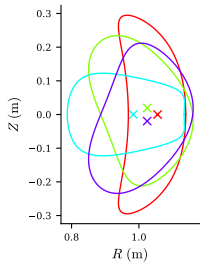
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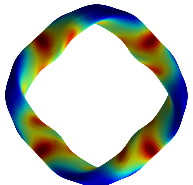
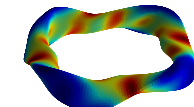
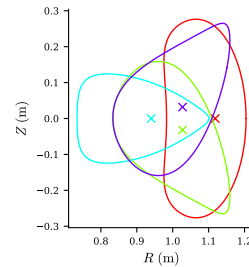
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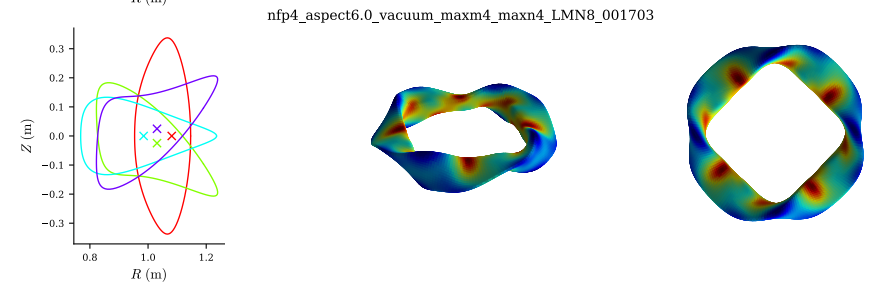
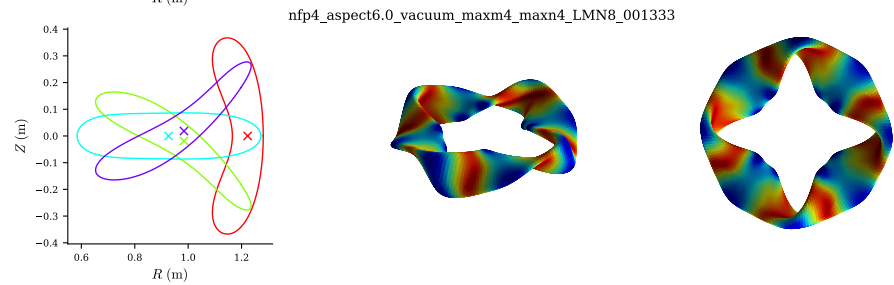
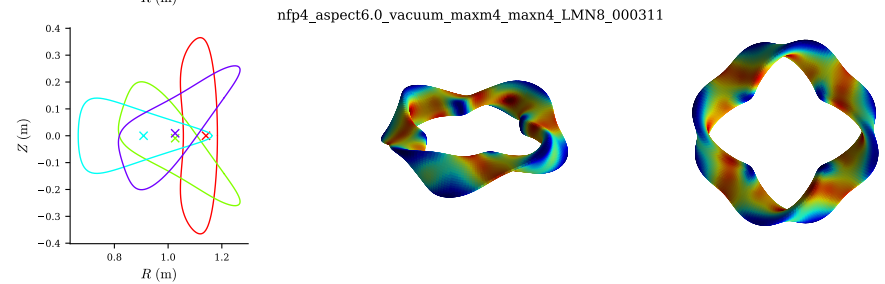
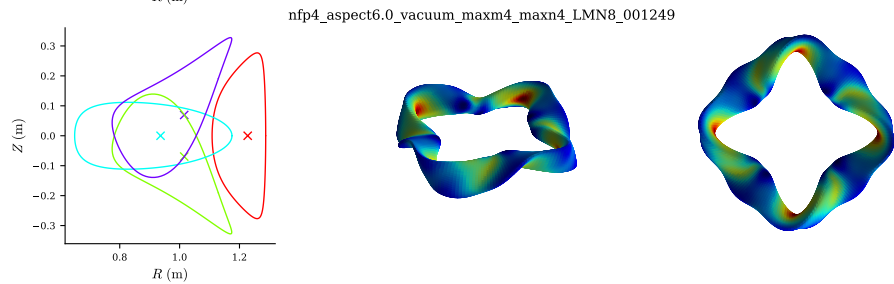
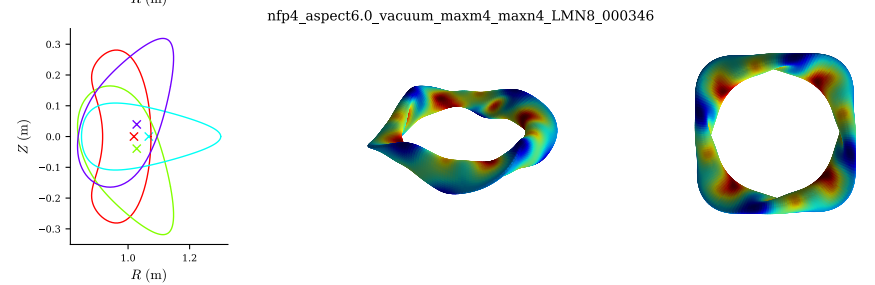
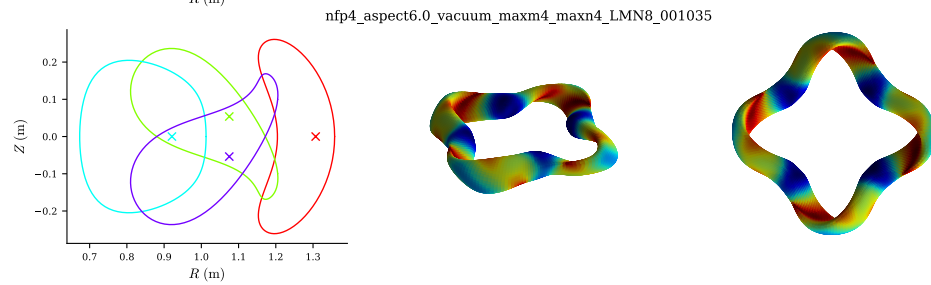
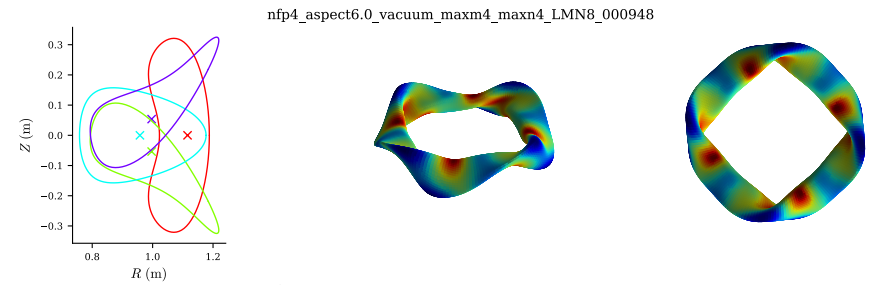
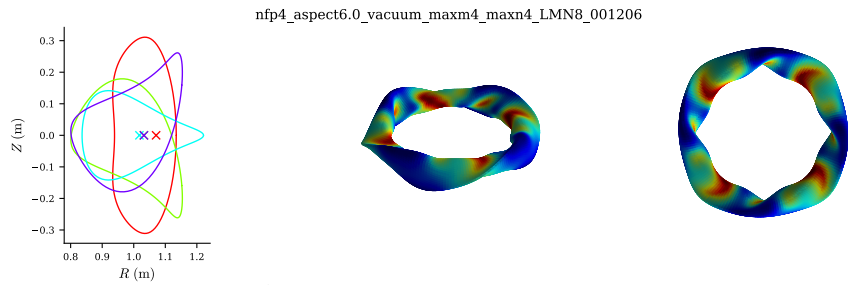
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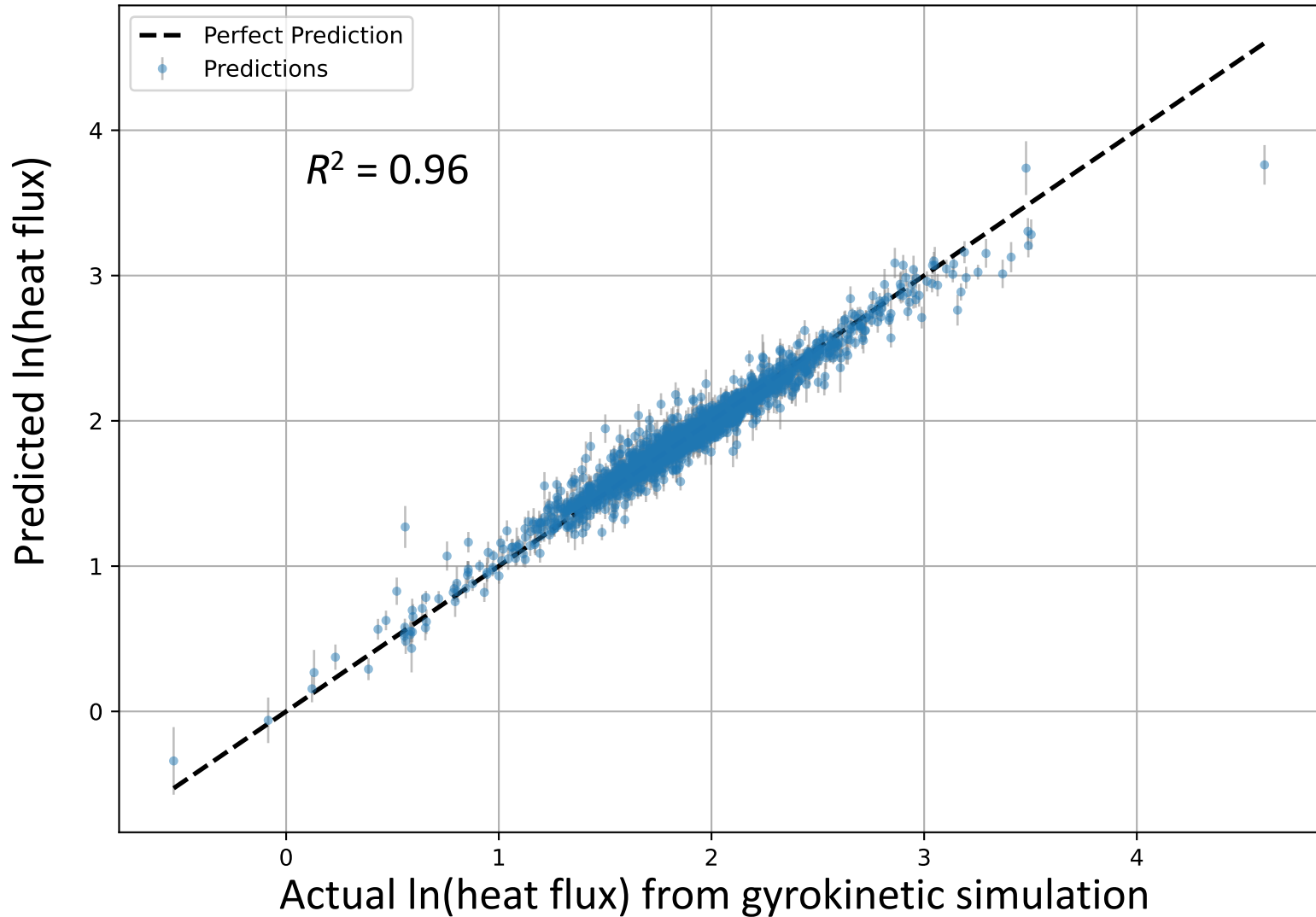


# Configurations with largest heat flux





# Convolutional neural networks give a very accurate prediction of the turbulence



# A more interpretable ML approach: Feature engineering & selection

- Supplement the original GX inputs ( $|B|$ ,  $\mathbf{B} \times \nabla B \cdot \nabla \alpha$ ,  $|\nabla \alpha|^2$ , ...) with local shear =  $d/dz (\nabla \psi \cdot \nabla \alpha / |\nabla \psi|^2)$ .
- Make many combinations of these “raw features”, giving new functions of  $z$  (e.g.  $|\nabla \psi|^2 * \mathbf{B} \times \nabla B \cdot \nabla \psi$ ).
- To each, apply many different reductions over  $z$  that preserve translation-invariance (e.g. max, mean, etc). The results become the features to use for machine learning.
- Discard any features that have the same value for  $> 95\%$  of the data.
- Apply forward sequential feature selection to pick out the few features that contribute most to  $R^2$ .

# Feature set

Start with GX inputs, local shear, & inverses of the positive-definite quantities:

$F = \{B, B^{-3}\mathbf{B}\times\nabla B\cdot\nabla\alpha, B^{-3}\mathbf{B}\times\nabla B\cdot\nabla\psi, |\nabla\alpha|^2, \nabla\psi\cdot\nabla\alpha, |\nabla\psi|^2, \text{localShear}, 1/B, 1/|\nabla\alpha|^2, 1/|\nabla\psi|^2\}$ . Use vacuum fields to reduce raw features by 1 since  $\mathbf{B}\times\boldsymbol{\kappa}\cdot\nabla\alpha = \mathbf{b}\times\nabla B\cdot\nabla\alpha$ .

$C(F) =$  all pairwise products in  $F$  (excluding  $x/x$  for  $x$  in  $B, |\nabla\alpha|^2, |\nabla\psi|^2$ ).

$M(F) = \{\text{Heaviside}(x), \text{Heaviside}(-x)\}$  for each  $x$  in  $F$  that can be both  $>0$  and  $<0$ :  
 $B^{-3}\mathbf{B}\times\nabla B\cdot\nabla\alpha, B^{-3}\mathbf{B}\times\nabla B\cdot\nabla\psi, \nabla\psi\cdot\nabla\alpha, \text{localShear}$

$J = \{1, 1/B\}$ , in case Jacobian  $\propto 1/B$  helps.

Reductions:  $R = \{\text{min}, \text{max}, \text{max-min}, \text{mean}, \text{median}, \text{RMS}, \text{variance}, \text{skewness}, \text{quantiles } 0.1..0.9, \text{abs of fft coefficients } 1-3, k_{||} \text{ with largest amplitude, expected } k_{||}, \text{count above } [-2, -1.5, \dots 6]\}$

Features:  $R(F * J), R(M * F * J), R(C * J), R(M * C * J)$

Includes e.g.  $\text{mean}[|\nabla\psi|^2 * \text{Heaviside}(\mathbf{B}\times\nabla B\cdot\nabla\alpha)]$ , similar to Goodman et al (2024)

$\Rightarrow$  22,446 features

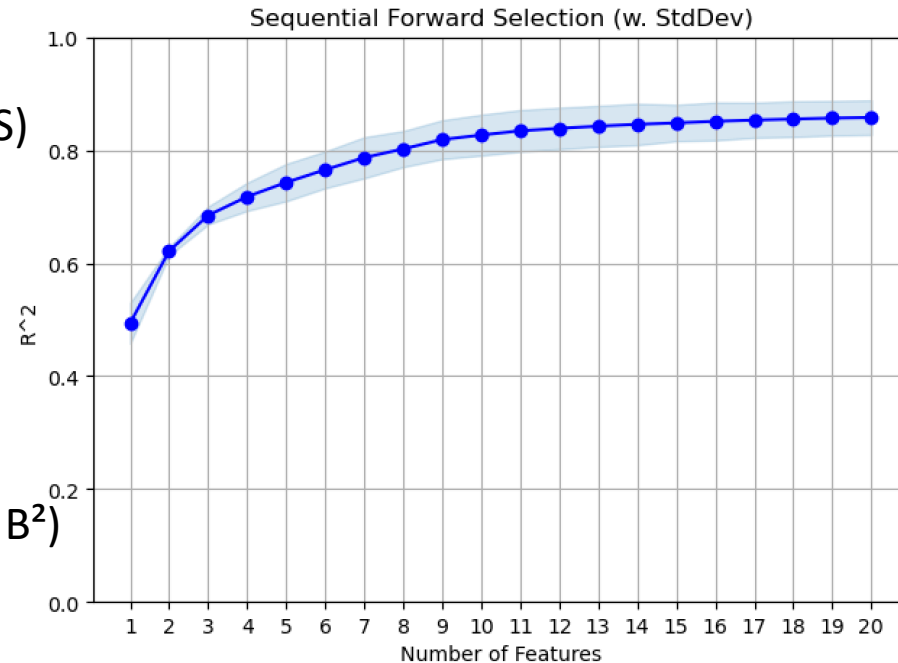
# Apply forward sequential feature selection

## Features added when using LightGBM:

- 1:  $\text{meanSquared}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) * |\nabla \psi|^2 / B^2)$
- 2:  $\text{mean}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) * (\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) / B^5)$
- 3:  $\text{argmax\_kpar}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) / B^2)$
- 4:  $|\text{FFTCoefficient1}(\mathbf{B})|$
- 5:  $\text{quantile0.2}(\text{Heaviside}(-\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) * |\nabla \psi|^2 * S)$
- 6:  $\text{mean}(\text{Heaviside}(-S) * (\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) / B^4)$
- 7:  $|\text{FFTCoefficient1}(B^{-3} \mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi)|$
- 8:  $\text{mean}(B * |\nabla \psi|^2)$

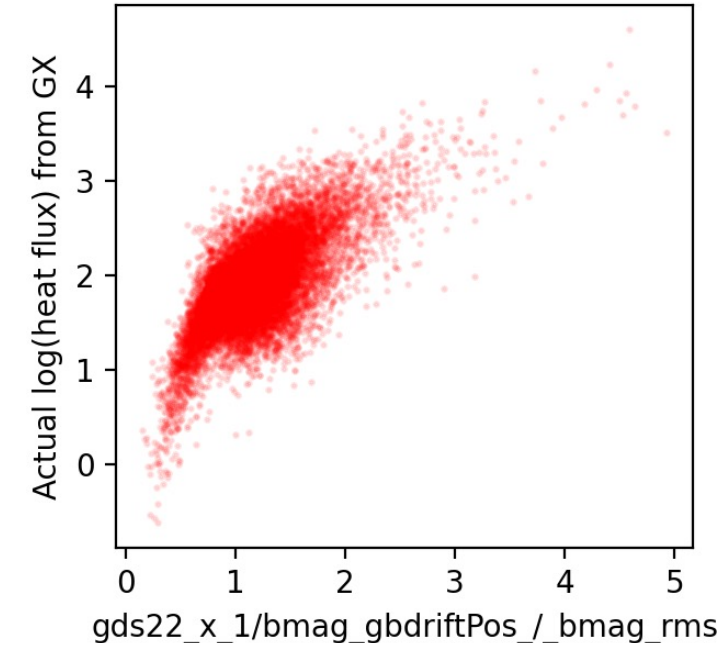
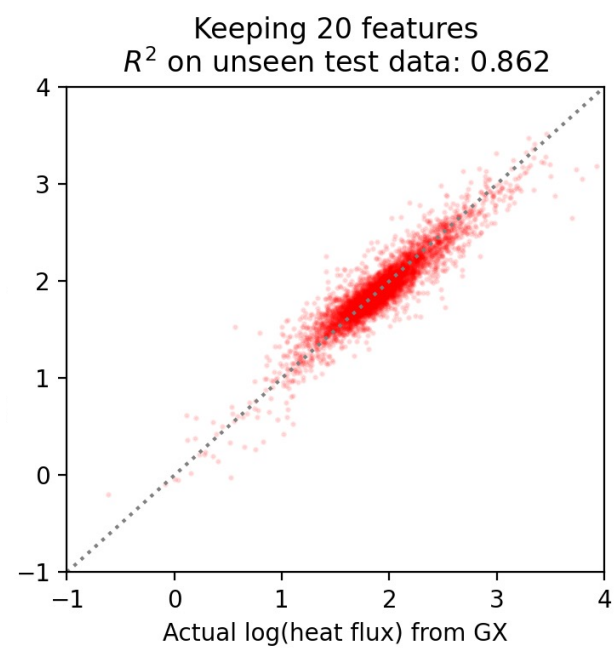
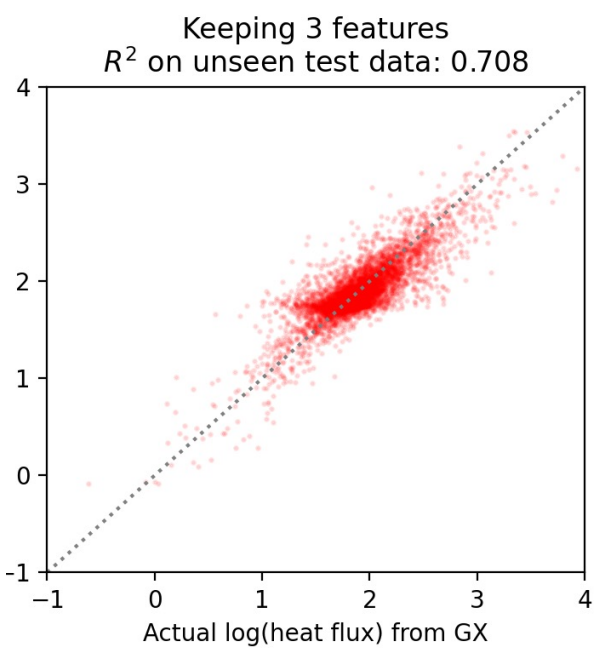
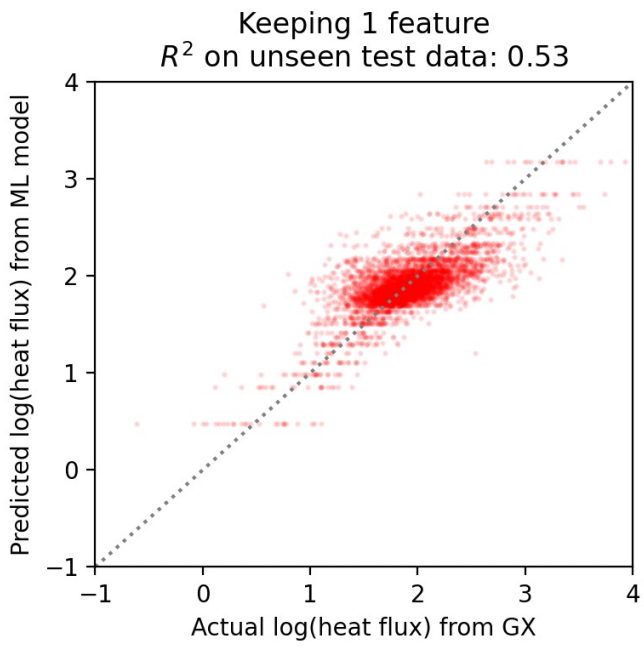
## Features added when using XGBoost:

- 1:  $\text{meanSquared}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) * |\nabla \psi|^2 / B^2)$
- 2:  $\text{mean}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) * (\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) / B^5)$
- 3:  $\text{argmax\_kpar}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) / B^2)$
- 4:  $\text{quantile0.4}(\text{Heaviside}(-S) * S * |\nabla \psi|^2 / B)$
- 5:  $|\text{FFTCoefficient1}(B^{-3} \mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi)|$
- 6:  $\text{mean}((\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) / B^2)$
- 7:  $\text{mean}(\text{Heaviside}(\nabla \psi \cdot \nabla \alpha) * B * |\nabla \psi|^2)$
- 8:  $|\text{FFTCoefficient2}(B^{-3} \mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi)|$



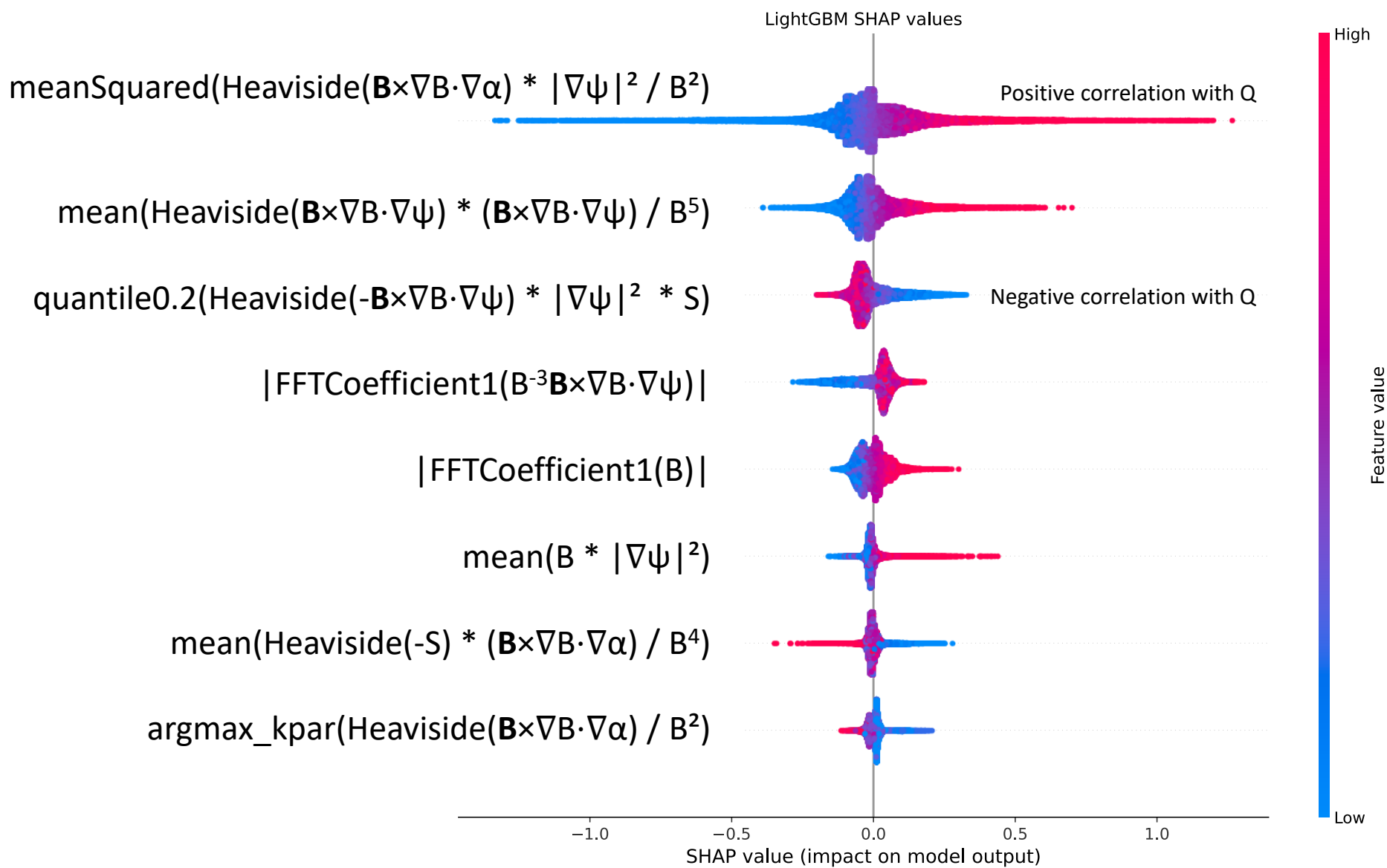
$$S = \text{local shear} = d/dz (\nabla \psi \cdot \nabla \alpha / |\nabla \psi|^2).$$

# Results of forward sequential feature selection



← No regression model,  
Just showing correlation with just the 1 top feature

# Shapley values show the sign and magnitude of each feature's effect





# The most important features are consistent with recent quantities suggested from theory

## Top features selected:

1:  $\text{meanSquared}(\underbrace{\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha)}_{\text{Where there is bad curvature,}} * \underbrace{|\nabla \psi|^2}_{\text{local temperature gradient (squared) in real space}} / \underbrace{B^2}_{\text{Jacobian (squared)}})$ , positive correlation with Q

Similar to ideas in Stroteich (2022), Goodman (2024).

2:  $\text{mean}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) * (\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \psi) / B^5)$ , positive correlation with Q

Consistent with Nakata (2022):

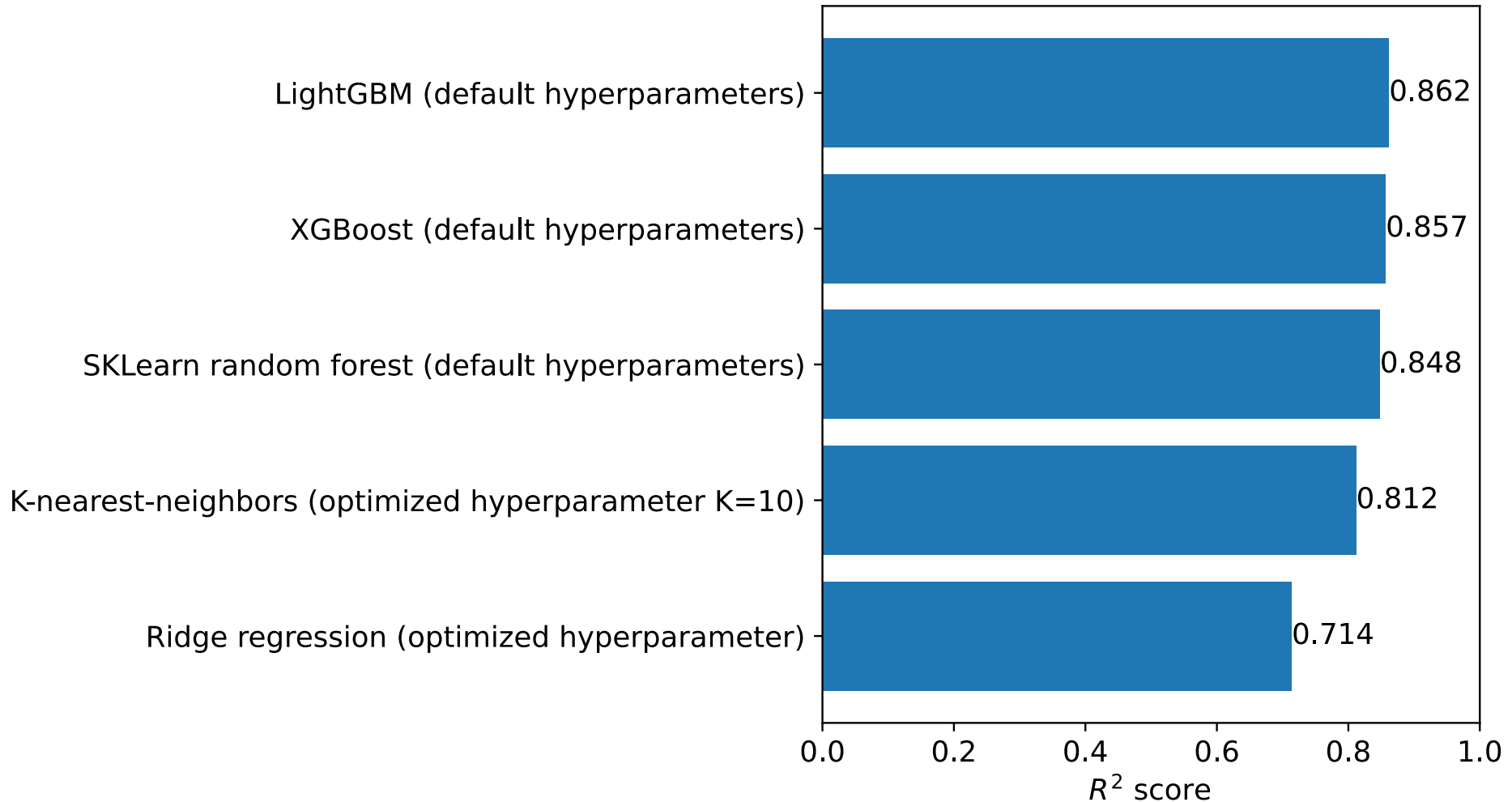
Larger geodesic curvature (radial drift)  $\rightarrow$  smaller zonal flows  $\rightarrow$  higher Q

3:  $\text{argmax}_{k_{\parallel}}(\text{Heaviside}(\mathbf{B} \times \nabla \mathbf{B} \cdot \nabla \alpha) / B^2)$ , negative correlation with Q

Dominant  $k_{\parallel}$  of the bad curvature - possibly related to critical balance?

Sign of correlation is consistent with Barnes et al PRL (2011).

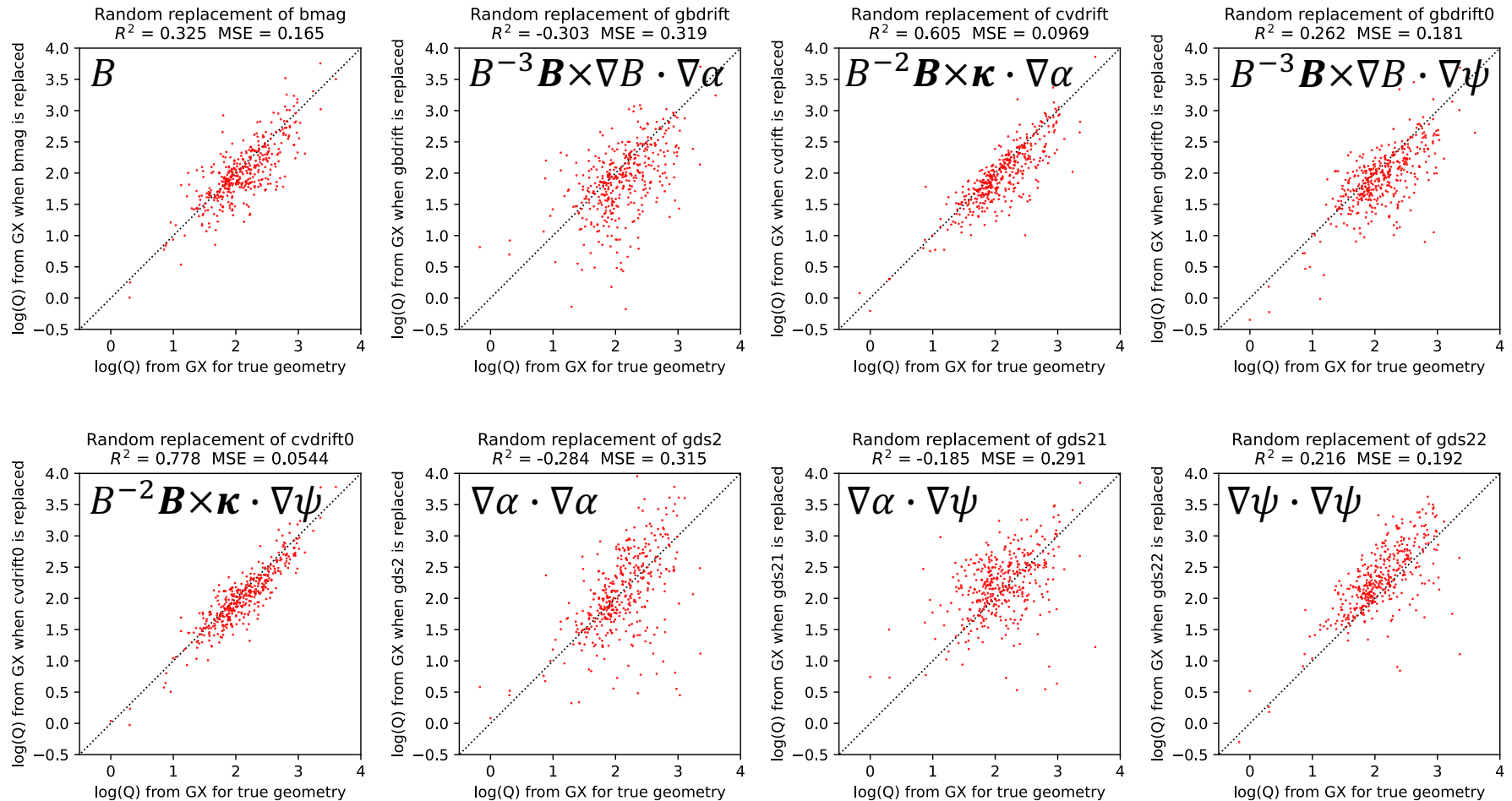
# Other classical ML regression methods work also but are somewhat less accurate



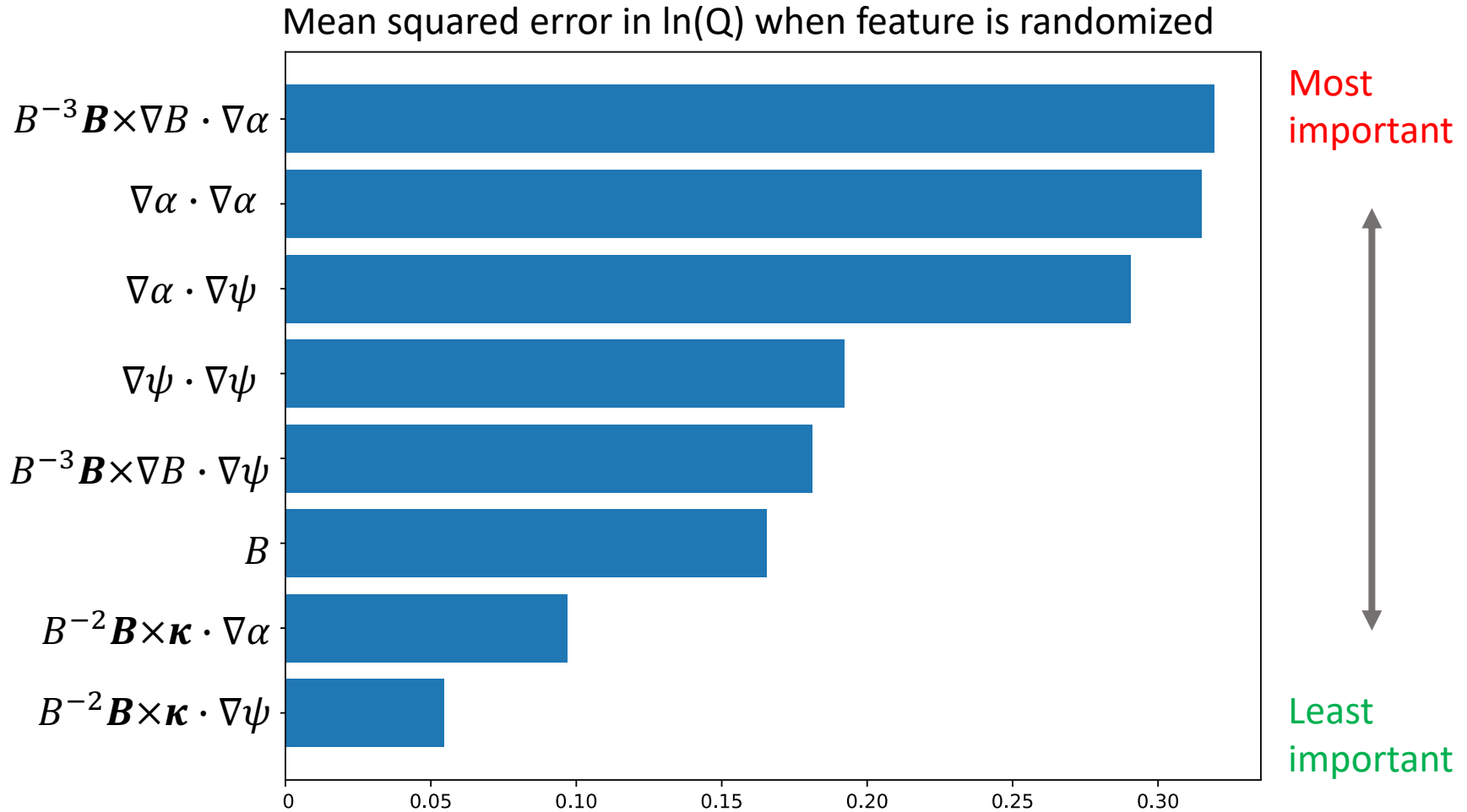
*All using the set of 20 features selected via LightGBM*

# Importance of a feature can be measured by randomly permuting it

- For each of the raw features, randomly swap it with another configuration.
- To ensure  $k_{\perp} \geq 0$ , also cap  $|\nabla\alpha \cdot \nabla\psi|$  at  $\sqrt{(\nabla\psi \cdot \nabla\psi)(\nabla\alpha \cdot \nabla\alpha)}$ .
- No machine learning model – Just re-run GX with the altered geometry inputs.



# Importance of a feature can be measured by randomly permuting it



Curvature drift is the least important, while  $\nabla B$  drift is the most important!

Can we understand this physically from the gyrokinetic equation?

# There are many extensions possible

- Try larger sets of possible features
- Understand physically why later features affect turbulence.
- Understand why curvature drift is  $\ll$  important than  $\nabla B$  drift.
- Saliency maps to understand the features learned by the CNNs.
- Symbolic regression.
- Kolmogorov-Arnold Networks.
- Expand to multiple values of nfp, aspect ratio, gradients.
- Kinetic electrons.