

Lecture 2 - Kinds of Symmetry

02/02/16

1. Rotation Symmetry
2. Translation Symmetry
3. Discrete Translation Symmetry
4. Time-Reversal Symmetry

* You don't always need to evaluate a commutator in order to know if something is a good quantum number.

1. Rotation Symmetry

ex: the Spin operator

$\vec{S} \cdot \vec{p}$, a "pseudoscalar"

↑ because $\vec{S}$ is invariant / scalar under rotation

$\vec{S}$ - orientation dependent

$\vec{p}$ - orientation independent

recall: $\vec{J} = \vec{L} + \vec{S}$ ^{orbital} spin

↳ total angular momentum

$[\vec{J}_x, \vec{S} \cdot \vec{p}] = 0$ because $\vec{S} \cdot \vec{p}$ is invariant under rotation (total)

consider $\vec{J}^2$: $\vec{J}^2 = \vec{J} \cdot \vec{J}$

$[\vec{J}^2, \vec{J}_z] = 0$ because these are scalars

* If you have a Hamiltonian that commutes with $\vec{J}^2$ & $\vec{J}_z$, adding something to the Hamiltonian that also commutes does not change the commuting properties of the total system.

where $[\vec{J}^2, \vec{J}_z] = 0$

$\left\{ \begin{array}{l} \uparrow m\hbar \\ j(j+1)\hbar^2 \end{array} \right\}$ eigenvalues

for $|n, j, m\rangle, |n, l, m\rangle$ eigenvectors

What about $\vec{L}$?

$[\vec{L}_z, \vec{S} \cdot \vec{p}] \neq 0$ because $\vec{L}_z$ changes $\vec{S}$ but not $\vec{p}$

$[\vec{L}^2, \vec{S} \cdot \vec{p}] \neq 0$ ($\vec{L}^2$ not invariant under translation)

↳ where $\vec{p}$ is the generator of translation

ex: "spin-orbit coupling"

$\vec{L} \cdot \vec{S}$, where both $\vec{L}$ & $\vec{S}$ are pseudovectors

$$[J^2, \vec{L} \cdot \vec{S}] = 0 = [J_z, \vec{L} \cdot \vec{S}]$$

both don't change sign under rotation together.

What about L ?

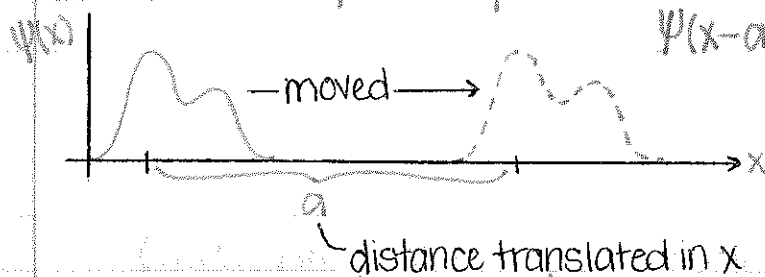
$$[L_z, \vec{L} \cdot \vec{S}] \neq 0 \text{ because } L_z \text{ changes } \vec{L} \text{ not the same defined origin}$$

but unlike the spin operator...

$$[L^2, \vec{L} \cdot \vec{S}] = 0 \text{ because } \vec{L} \text{ generating rotation on the scalar } L^2 \text{ does nothing}$$

* j, l, j_z all good quantum numbers here

2. Translation Symmetry



$$\psi(x-a) = (U_a \psi)(x)$$

↑ unitary translation operator

Where $U_a = e^{-i a \hat{p} / \hbar} = e^{-a \frac{d}{dx}}$

↑ $\hat{p}$ is seen here to be the generator of translation

$$(U_a \psi)(x) = (e^{-a \frac{d}{dx}} \psi)(x) = \psi(x) - a \psi'(x) + \frac{1}{2} a^2 \psi''(x) + \dots$$

↓ Recognize Taylor Expansion ↓

$$\equiv \psi(x-a)$$

* Anything translation invariant will commute with the generator of translation, $\hat{p}$

ex: free particle

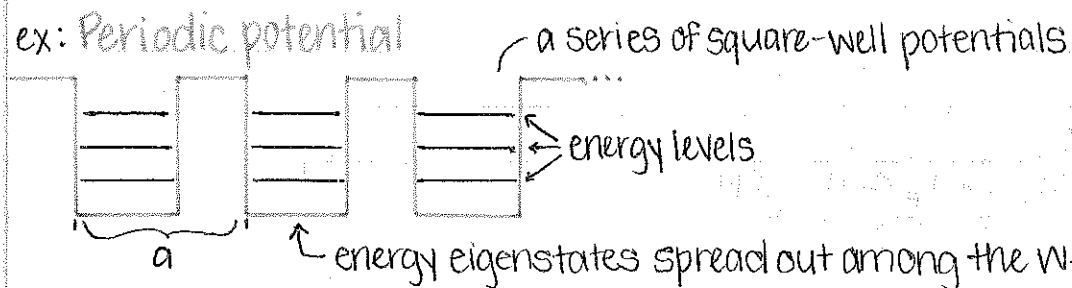
$$\mathcal{H} = \frac{\hat{p}^2}{2m} \quad \text{invariant under translation}$$

$$[\mathcal{H}, \hat{p}] = 0$$

∴ p is a good quantum number

→ we can label the energy eigenstates of the Hamiltonian fully using p

ex: Periodic potential



$$\mathcal{H} = \frac{p^2}{2m} + V(x), \quad V(x+a) = V(x)$$

→ invariant under translation by $n \cdot a$ (multiples of the full translation operator by increments of a)

$$\text{If } \mathcal{H}|E\rangle = E|E\rangle$$

$$\text{then } \mathcal{H}U_a|E\rangle = U_a\mathcal{H}|E\rangle$$

$$= EU_a|E\rangle$$

↳ they simultaneously commute! $\Leftrightarrow [U_a, \mathcal{H}] = 0$

⇒ The state is an eigenstate of both U_a & $\mathcal{H}$. If U is unitary and $\mathcal{H}$ is Hermitian and $[U, \mathcal{H}] = 0$, then U and $\mathcal{H}$ can be simultaneously diagonalized

$$U = e^{i\hat{G}}, \text{ where } \hat{G} \text{ is Hermitian}$$

- If U commutes with $\mathcal{H}$, then $\hat{G}$ commutes with $\mathcal{H}$ also

3. Discrete Translation Symmetry

Looking at the discrete energy levels of our above periodic potential...

Claim: we can label E-eigenstates by the eigenvalues of U_a since U_a commutes with $\mathcal{H}$

What are the eigenvalues of U_a ?

U_a unitary → eigenvalues must be of unit modulus ⇒ pure phases

$$\text{- eigenvalues} = e^{i\varphi_a}$$

But how does φ depend on a ?

$$(U_a)^n = U_{na}$$

- translating n -times through a is equivalent to translating through na

$$\rightarrow (e^{i\varphi_a})^n = e^{in\varphi_a} = e^{i\varphi_{na}}$$

$$\varphi_{na} = n\varphi_a + m2\pi; \quad n \& m \text{ integers}$$

φ must be linear in a

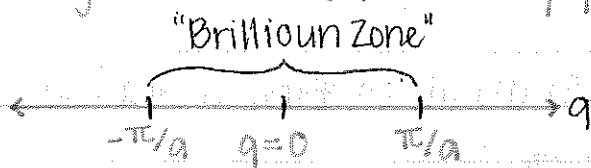
$\Rightarrow$ true for all $a \rightarrow \psi_a = qa$ for some q

$\therefore$ Eigenvalues of U_a are $\{e^{iqa}\}$, q any real number

note: $(q + 2\pi m/a)$ defines the same eigenvalue of U_a as (q)

$$e^{i(q+2\pi m/a)a} = e^{iqa} \underbrace{e^{i2\pi m}}_1 = e^{iqa}$$

The eigenvalues of U_a are really quite compact



Any $q > |\pi/a|$ has the same eigenvalues as some q within the Brillouin Zone

$\rightarrow q$ is known as "quasi-momentum" as it is cyclical and does not increase forever like normal momentum

- there may be 1 or >1 E-eigenstates for a given value of q

What does an eigenstate with quasi-momentum q look like?

$\Rightarrow$ Bloch's Theorem

$$\psi(x) = e^{iqx} f(x)$$

↳ the wavefunction can be written as a momentum eigenstate with a specific value of q times some function $f(x)$ (periodic)

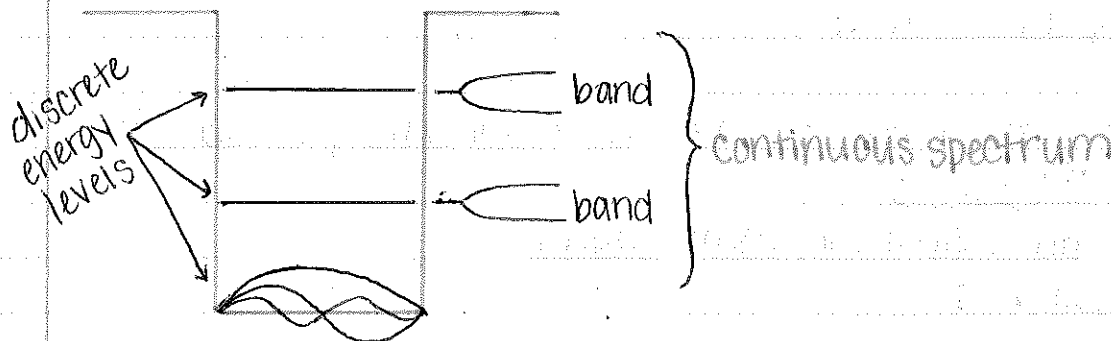
$$\begin{aligned} \psi(x-a) &= e^{iq(x-a)} f(x-a) \\ &= e^{-iqa} e^{iqx} f(x-a) \end{aligned}$$

↑ if we want this to be an eigenstate, then

$$e^{-iqa} f(x-a) = f(x)$$

$f(x)$ must be a periodic function with period a

- * If the wells were infinitely deep, and their energies were in levels, these levels will split into bands with their own levels defined by different values of quasi-momentum, q .



4. Time-Reversal Symmetry

✓ Time-Dependent Schrödinger Equation

$$i\hbar \partial_t \Psi = \mathcal{H} \Psi$$

Consider time-reversal

$$t \rightarrow -t, \partial_t \rightarrow -\partial_t \Leftrightarrow i \rightarrow -i \Leftrightarrow \text{complex conjugation}$$

e.g., the momentum eigenstate

$$(e^{ipx/\hbar})^* = e^{-ipx/\hbar} = e^{i(-p)x/\hbar}$$

flipping the sign of time should flip the sign of the momentum also

Under time-reversal Θ

- $\vec{p} \rightarrow -\vec{p}$
- $\vec{L} = \vec{r} \times \vec{p} \rightarrow -\vec{L}$ (sign of $\vec{r}$ doesn't change but sign of $\vec{p}$ does)
- $\vec{S} \rightarrow -\vec{S}$

What kind of an operator is Θ ?

Θ is not unitary!

— it's not even a linear operator

$$(\Theta \Psi)(x) = \Psi^*(x)$$

$$(\Theta \alpha \Psi)(x) = (\alpha \Psi)^*(x) = \alpha^* (\Theta \Psi)(x)$$

because this scalar is conjugated instead of just popping out unchanged $\Leftrightarrow \Theta$ is anti-linear

recall: if unitary

$$(Uv, Uw) = (v, w)$$

$$(\Psi_1, \Psi_2) = \int \Psi_1^* \Psi_2 dx$$

$$(\Psi_1^*, \Psi_2^*) = \int \Psi_1^{**} \Psi_2^* dx = (\Psi_1, \Psi_2)^*$$

so, if anti-unitary:

$$(\Theta v, \Theta w) = (v, w)^*$$

Spin should change sign under time-reversal

spin up $\rightarrow$ spin down, etc.

How does spin- $\frac{1}{2}$ transform under time-reversal?

$$\underbrace{\frac{\hbar}{2}\sigma_z}_{S_z}|\uparrow\rangle = \frac{\hbar}{2}|\uparrow\rangle$$

→ Under time reversal: $\mathcal{T}|\uparrow\rangle = |\downarrow\rangle$

$$|\uparrow\rangle \xrightarrow{\mathcal{T}} e^{i\varphi}|\downarrow\rangle$$

$$S_z|\downarrow\rangle = -\frac{\hbar}{2}|\downarrow\rangle$$

$$|\downarrow\rangle \xrightarrow{\mathcal{T}} e^{i\varphi}|\uparrow\rangle$$

* if you rotate a spinor by 360° , it brings back the negative of itself

$$(|\uparrow\rangle + |\downarrow\rangle) \rightarrow e^{i\varphi}(|\uparrow\rangle - |\downarrow\rangle)$$

↳ for S_x , e.g.

⇒ requires that $e^{i\varphi} = -1$!!

⇒ $\mathcal{T}^2 = -1$ on the spin- $\frac{1}{2}$ state!
(on a spinor)

$\mathcal{T}^2 = -1$ is key!

- for any odd multiple of spin = $\frac{1}{2}$

If $\mathcal{H}$ is invariant under time-reversal & spin is an odd multiple of $\frac{1}{2}$, then $|E\rangle$ & $\mathcal{T}|E\rangle$ must be different states

→ $|E\rangle$ & $\mathcal{T}|E\rangle$ are degenerate though in the fact that they both have energy eigenvalue E

→ this is known as Kramer's Degeneracy, where for every energy eigenstate of a time-reversal symmetric system with half-integer total spin, there is necessarily at least one more eigenstate with the same energy (every level at least doubly degenerate)

Proof:

$$\text{If } \mathcal{T}|E\rangle = e^{i\delta}|E\rangle$$

$$\text{then } \mathcal{T}^2|E\rangle = e^{-i\delta}\mathcal{T}|E\rangle = |E\rangle$$