

Lecture 17 - Entropy & The Schrödinger Equation

1. Entropy

2. Schrödinger's Equation

3. Time Evolution of Density Matrices

1. Entropy of Density Matrices

Density matrices take "pure states"

↓ change to ↓

mixed states (which have classical probabilities)

• For the Bloch Sphere:

Surface of the sphere ($\vec{a}^2 = 1$)

= pure states

interior of the sphere = mixed states

• For density matrices:

ρ is Hermitian — i.e., we can diagonalize it

$$= \sum_j p_j |\psi_j\rangle \langle \psi_j|$$

$$\text{Tr}(\rho) = 1 \iff \sum_j p_j = 1$$

State is a "Pure State" IFF $p_j = 1$ for some j

all other values of $j=0$

$$\hookrightarrow \rho = |\psi\rangle \langle \psi|$$

For mixed states: $p_j < 1$

$$\rightarrow p_j^2 < p_j$$

$$\rightarrow \text{Tr}(\rho^2) = \sum_j p_j^2 < 1$$

But how mixed are these states?

Use entropy to quantify the amount of mixing!

• Entropy from classical stat mech:

$$S(E) = k_B \ln(\Omega(E))$$

$\hookrightarrow \Omega = \#$ of configurations

$\rightarrow$ quantifies how random a system is, $\ln(\#$ of states)

for a classical system, $j=1\dots P$, have probabilities p_j

* Entropy is "extensive"

entropy of system

N copies of the system $\longleftrightarrow N \cdot S$

$$N \rightarrow \infty, \Omega = \frac{N!}{\prod_j (N p_j)^{N p_j}} \text{ — combinatorics}$$

- Entropy of Density Matrices -

$$S = \frac{1}{N} \ln \left[\frac{N!}{\prod_j (N p_j)!} \right]$$

Use Stirling's approximation: $\ln(N!) \sim N \ln(N) - N \dots$

$$S \approx -k_B \sum_j p_j \ln(p_j)$$

↳ set Boltzmann const. = 1

$$S = - \sum_j p_j \ln(p_j)$$

$$= - \text{Tr} \left(\sum_j p_j \ln(p_j) |\Psi_j\rangle \langle \Psi_j| \right)$$

↑ Remember: a function on the eigenkets is just a function on the eigenvalues $\times$ the eigenkets

$$= - \text{Tr}(\rho \ln(\rho))$$

↑ the von Neumann entropy $\sim$ thermodynamic entropy

↳ but not infinitely so!

$$S \geq 0 \rightarrow \text{minimal } S = 0$$

only occurs for $p_j = 1$ for some j

↳ a.k.a. a pure state! $\rho = |\Psi\rangle \langle \Psi|$

→ maximal S @ $p_j = \frac{1}{P}$

$$S_{\max} = \ln(P)$$

↳ Ψ_j 's are different configurations

$$\rho_{\max S} = \sum_j \frac{1}{P} |\Psi_j\rangle \langle \Psi_j|$$

$$= \frac{1}{P} \underbrace{\sum_j |\Psi_j\rangle \langle \Psi_j|}_{\mathbb{1}} = \frac{1}{P}$$

⇒ the maximally mixed state! (unique)

(max randomness - Bloch Sphere $\vec{a} = 0$)

mms @ origin
for 2×2 system

Connection to Entanglement

Using the "reduced density matrix"

States A & B → $|\Psi\rangle$, which has a reduced DM ρ_A
a pure state

$$\rightarrow \text{Entanglement entropy} = - \text{Tr}(\rho_A \ln(\rho_A)) \\ = S_{\text{ent}}$$

$S_{\text{ent}} = 0$ for product states

$$\hookrightarrow |\Psi\rangle = |\Psi_A\rangle \otimes |\Psi_B\rangle$$

Theorem: (property of density matrices)

$$S_{\text{ent}}(A) = S_{\text{ent}}(B)$$

$$\leq \ln(D_A) \leq \ln(D_B)$$

$\rightarrow$ Using this, we can check that $\rho_A = |\Psi_A\rangle\langle\Psi_A|$

if $\dim\{\mathcal{H}_A\} = D_A$, $\dim\{\mathcal{H}_B\} = D_B$

then the entanglement entropy of the maximally entangled state is $S_{\text{ent, max}} = \min\{\ln(D_A), \ln(D_B)\}$

* The EPR (Einstein-Podolsky-Rosen) state was a maximally entangled state; the "poster child entangled state"

2. Schrödinger's Equation

Hamiltonian as the generator of time translation

$$|\alpha\rangle = |\alpha; t=t_0\rangle$$

$\hookrightarrow$ arbitrary state; explicit time-dependence

$\rightarrow t=t_1$

$|\alpha; t=t_1\rangle$ The superposition principle tells us that if we have two states

$$C_\alpha |\alpha; t=t_0\rangle + C_{\alpha'} |\alpha'; t=t_0\rangle$$

then after some time t those states will be

$$C_\alpha |\alpha; t=t_1\rangle + C_{\alpha'} |\alpha'; t=t_1\rangle$$

$\hookrightarrow$ time translation must be linear! (if it's a superposition, it stays a superposition, etc.)

$$|\alpha; t=t_1\rangle = U(t_0, t_1) |\alpha; t=t_0\rangle$$

unitary time-evolution operator

Because it is linear, $t_0 \rightarrow t_1 \rightarrow t_2$ must = $t_0 \rightarrow t_2$

$$U(t_0, t_2) = U(t_1, t_2) U(t_0, t_1) \quad (\text{I})$$

$$U(t_0, t_0) = \mathbb{1} \quad (\text{II})$$

Also, if $|\alpha; t=t_0\rangle$ is normalized, so is $|\alpha; t=t_1\rangle$

$\Rightarrow U(t_0, t_1)$ is unitary

$\hookrightarrow$ unitarity allows us to say

$$U(t_0, t_1) U^\dagger(t_0, t_1) = \mathbb{1}$$

$U^\dagger(t_0, t_1) = U(t_1, t_0)$ -- time can be represented in reverse here! (but is time reversal invariant per symmetry requirements)

Consider $t_1 = t_0 + \Delta t$ ($\Delta t \rightarrow 0$)

↳ infinitesimal shift

→ Taylor expand ↴

$$U(t_0, t_0 + \Delta t) \approx 1 - \frac{i\Delta t}{\hbar} \mathcal{H}(t_0) + \dots$$

from (II) ↗

↳ definition of Hamiltonian

Using equation (I):

$$U(t_0, t_1 + \Delta t) = U(t_1, t_1 + \Delta t) U(t_0, t_1)$$

$$= \left[1 - \frac{i\Delta t}{\hbar} \mathcal{H}(t_1) \right] U(t_0, t_1)$$

$$\lim_{\Delta t \rightarrow 0} \frac{U(t_0, t_1 + \Delta t) - U(t_0, t_1)}{\Delta t} = -\frac{i}{\hbar} \mathcal{H}(t_1) U(t_0, t_1)$$

$$\Rightarrow \partial_t U(t_0, t_1) = -\frac{i}{\hbar} \mathcal{H}(t_1) U(t_0, t_1)$$

↳ Schrödinger equation for the unitary time evolution operator

define: $\mathcal{H}(t) \equiv i\hbar(\partial_t U)U^\dagger$

$$|\alpha; t=t_1\rangle = U(t_0, t_1)|\alpha; t=t_0\rangle$$

$$\Rightarrow i\hbar \partial_t |\alpha; t=t_1\rangle = \mathcal{H}(t_1)|\alpha; t=t_1\rangle$$

↳ general time-dependent Schrödinger equation recovered

Working with the Schrödinger Equation

Case 1: $\mathcal{H}(t) = \mathcal{H}$ (independent of t)

Guess/Check

$$U(t_0, t_1) = \exp(-\frac{i}{\hbar} \mathcal{H}(t_1 - t_0))$$

↳ only valid for $\mathcal{H}$ time-independent

$$= \sum_n \left(\frac{-i}{\hbar} \right)^n \frac{1}{n!} (t_1 - t_0)^n \mathcal{H}^n$$

$$i\hbar \partial_t U = \dots = \mathcal{H} U(t_0, t_1) \checkmark$$

↑ "some stuff happens with the factorial, etc., and then the answer falls out!"

→

Case 2: $[\mathcal{H}(t), \mathcal{H}(t')] = 0$

$\hookrightarrow \mathcal{H}$ is time-dependent but commutes at different times

"trick":

$$U(t_0, t_1) = (U^\dagger(t_0, t_0 + \Delta t) U^\dagger(t_0 + \Delta t, t_0 + 2\Delta t) \cdots U^\dagger(t_1 - \Delta t, t_1))^T$$

$$= e^{-i\mathcal{H}(t_0)\Delta t/\hbar} \cdots e^{-i\mathcal{H}(t_0 + \Delta t)\Delta t/\hbar} \cdots e^{-i\mathcal{H}(t_1)\Delta t/\hbar}$$

$\rightarrow$ use Campbell-Baker-Hausdorff $\rightarrow$
for $[A, B] = 0$, $e^A e^B = e^{A+B}$, then

$$U(t_0, t_1) = e^{-i\Delta t/\hbar \sum_{n=0}^N \mathcal{H}(t_0 + n\Delta t)}$$

$$\xrightarrow{\Delta t \rightarrow 0} e^{-i/\hbar \int_{t_0}^{t_1} \mathcal{H}(\tau) d\tau} = U(t_0, t_1)$$

e.g. $\vec{B}(t) = \lambda(t) \hat{z}$

field direction flips with t

Case 3: The general case

$$\partial_t U(t_0, t_1) = -i/\hbar \mathcal{H}(t_1) U(t_0, t_1)$$

- solve iteratively

$$\text{gives } U^{(0)}(t_0, t_1) = \mathbb{I}$$

$$U(t_0, t_1) = -i/\hbar \int_{t_0}^{t_1} d\tau \mathcal{H}(\tau) U(t_0, \tau) + \mathbb{I}$$

$$U^{(1)}(t_0, t_1) = \mathbb{I} - i/\hbar \int_{t_0}^{t_1} d\tau_1 \mathcal{H}(\tau_1)$$

$$U(t_0, t_1) = \mathbb{I} - i/\hbar \int_{t_0}^{t_1} d\tau_1 \mathcal{H}(\tau_1) + (-i/\hbar)^2 \int_{t_0}^{t_1} d\tau_1 \int_{t_0}^{\tau_1} d\tau_2 \mathcal{H}(\tau_2) \mathcal{H}(\tau_1) \cdots$$

$\hookrightarrow$ this is known as the Dyson Series

Back to Case 1: time-independent $\mathcal{H}(t) = \mathcal{H}$

$$U(t_0, t_1) = e^{-i/\hbar \mathcal{H}(t_1 - t_0)}$$

$\leftarrow$ closed-form time evolution operator

$$\left. \begin{array}{l} \mathcal{H} \rightarrow \text{hermitian} \\ A \rightarrow \text{observable(s)} \end{array} \right\} [\mathcal{H}, A] = 0$$

$$|a\rangle, A|a\rangle = a|a\rangle$$

$$\mathcal{H}|a\rangle = E_a|a\rangle$$

$\rightarrow$ because they commute, A and $\mathcal{H}$ are simultaneously diagonalizable

$\rightarrow$ Diagonalize $\mathcal{H} \rightarrow$

$\rightarrow$

$$U(t_0, t_1) |a\rangle = e^{-\frac{i}{\hbar}(t_1-t_0)E_a} |a\rangle$$

= power series ... = $\exp(-i\hat{E}_a/\hbar E_a) |a\rangle$

$$|a; t=t_1\rangle = e^{-\frac{i(t_1-t_0)}{\hbar} E_a} |a; t=t_0\rangle$$

phases cancel

such that $\langle a; t=t_1 | B | a; t=t_1 \rangle = \langle a; t=t_0 | B | a; t=t_0 \rangle$

↑ some observable

⇒ This is our Stationary State

$$|\alpha; t=t_1\rangle = \sum_a C_a |a; t=t_1\rangle$$

↳ the coefficient of expanding α in the a -basis

$$C_a = \langle a; t=t_0 | \alpha; t=t_0 \rangle$$

Starting @ $t=t_0$, we can now calculate any state @ $t=t_1$, (for the time-independent Schrödinger equation)

$$\rightarrow \sum_a C_a e^{-\frac{i(t_1-t_0)E_a}{\hbar}} |a; t=t_0\rangle$$

$C_a(t=t_1) \quad |a\rangle$

so if we want to calculate the expectation values of B with respect to these eigenstates:

$$\langle \alpha; t=t_1 | B | \alpha; t=t_1 \rangle$$

$$= \sum_{a,a'} C_a^*(t_1) C_{a'}(t_1) \langle a | B | a' \rangle$$

$$= C_a^* C_{a'} e^{+i(t_1-t_0)E_a/\hbar} e^{-i(t_1-t_0)E_{a'}/\hbar}$$

$$= e^{-\frac{i}{\hbar}(t_1-t_0)(E_{a'}-E_a)}$$

↳ a bunch of oscillations

3. Time Evolution of Density Matrices

Schrödinger's equation tells how pure states evolve in time, but what about mixed states?

$$\rho(t=t_0) = \sum_j p_j |\Psi_j(t=t_0)\rangle \langle \Psi_j(t=t_0)|$$

↓ $U(t_0, t_1)$ ↓

$$\rho(t=t_1) = \sum_j p_j |\Psi_j(t=t_1)\rangle \langle \Psi_j(t=t_1)|$$

(under unitary evolution, the states are unchanged)

$$= \sum_j p_j U(t_0, t_1) |\psi_j(t=t_0)\rangle \langle \psi_j(t=t_0)| U^\dagger(t_0, t_1)$$

$$= U(t_0, t_1) \rho(t=t_0) U^\dagger(t_0, t_1)$$

$$\partial_{t_1} \rho(t=t_1) = -i/\hbar \mathcal{H}(t_1) \underbrace{U(t_0, t_1) \rho(t=t_0) U^\dagger(t_0, t_1)}_{= \rho(t=t_1)} \quad \text{picks up the complex conjugate}$$

$$+ U(t_0, t_1) \rho(t=t_0) U^\dagger(t_0, t_1) (i/\hbar) \mathcal{H}(t_1)$$

$$\Rightarrow i\hbar \partial_t \rho = [\mathcal{H}(t), \rho(t=t_1)]$$

→ Bloch Sphere - rotation of $\vec{a}(t)$