

# Wave Particle Interactions

Plasma particles can exchange energy with waves even in the absence of classical dissipative processes through resonance interactions with waves

⇒ particles moving close to the phase speed of a wave effectively see a DC field and can therefore give or take energy from wave

⇒ particles moving with very different velocities see an oscillatory field and there is typically no "unreversible" energy exchange.

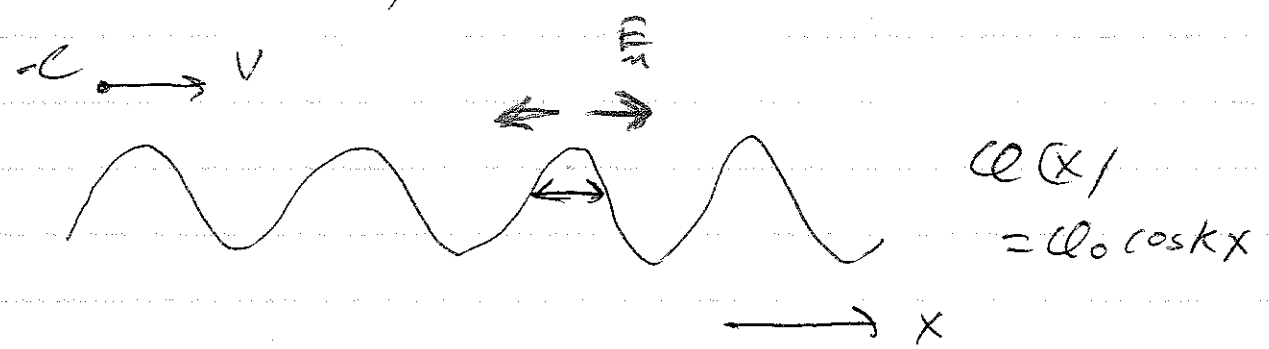
The type of interaction takes on several forms depending on the nature of the wave spectrum

~~① For a broad wave spectrum the~~

① For a narrow wave spectrum particles interact with a wave for a long time and can have particle trapping.

(electron)

Consider a particle, moving in the frame of the wave (wave potential is stationary)  $\phi(x)$



In this frame the energy of the particle is conserved.

$$\frac{1}{2} m v^2 + e\phi = \text{const} = E$$

$$\frac{1}{2} m v^2 = E + e\phi$$

for  $E < e\phi_0$  particles are trapped.

~~Consider~~

What is the bounce time of deeply trapped particles?

$$\frac{1}{2} m v^2 = E + e\phi_0 \left(1 - \frac{1}{2} k^2 x^2\right)$$

$$= (E + e\phi_0) - \frac{1}{2} e\phi_0 k^2 x^2$$

$$m \ddot{x} = -\frac{1}{2} e\phi_0 k^2 x$$

$$\ddot{x} + \frac{e\phi_0 k^2}{m} x = 0$$

$$\omega_B^2 = \frac{k^2 e\phi_0}{m}$$

② For a broader wave spectrum the particle sees many waves, and sees a coherent acceleration only for a time

$$\tau_c \sim \frac{2\pi}{\Delta\omega}$$

where  $\Delta\omega$  is the spectral width.

If

$$\omega_B \tau_c \ll 1$$

then there will be no particle trapping and the energy exchange with the waves can be treated in <sup>using a</sup> statistical approach. Often ~~the~~ the nonlinearities of the system can then be considered weak and one can expand the equations of motion in powers of the wave amplitude

⇒ quasilinear theory

When there is particle trapping this does not work. Motion is then fully nonlinear.

Quasilinear treatment of electromagnetic modes, see Sagdeev / Galeev  
Davidson

Uniform magnetic field  $\underline{B}_0 = B_0 \hat{z}$   
~~initial~~ initial distribution function  
 $f_0 = f_0(v_\perp, v_\parallel, t)$

For  $T_\perp > T_\parallel$  have unstable whistlers  
 Assume parallel propagation

~~Assume parallel propagation~~

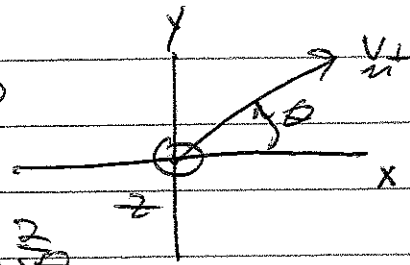
Linear theory:  $f_1 = \text{Re}(f e^{i(kz - \omega t)})$

$E_\perp, B_\perp$  transverse to  $B_0$

Faraday's Law

$$-i\omega \frac{\hat{B}_\perp}{c} + ik \hat{z} \times \hat{E}_\perp = 0$$

$$\hat{B}_\perp = \frac{kc}{\omega} \hat{z} \times \hat{E}_\perp = \frac{v_\perp}{v_{\perp 0}} \hat{z} \times \hat{E}_\perp$$



$$\frac{\partial}{\partial t} f_1 + v_\parallel \frac{\partial}{\partial z} f_1 + \frac{e B_0 v_\perp}{m c^2} \hat{z} \cdot \frac{\partial}{\partial \underline{v}_\perp} f_1$$

$$- \frac{e}{m} \left( \hat{E}_\perp + \frac{1}{c} \underline{v}_\perp \times \hat{B}_\perp \right) \cdot \frac{\partial}{\partial \underline{v}_\perp} f_0 = 0$$

$$\left( -i\omega + ikv_\parallel + \Omega_0 \frac{\partial}{\partial \theta} \right) \hat{f} = \frac{e}{m} \left( \hat{E}_\perp + \frac{1}{c} \underline{v}_\perp \times \hat{B}_\perp \right) \cdot \frac{\partial}{\partial \underline{v}_\perp} f_0$$

$$\frac{1}{c} \underline{v} \times \underline{B}_1 = \frac{1}{c} \underline{v} \times \left( \frac{kc}{\omega} \hat{z} \times \underline{E}_1 \right) \quad 51$$

$$\begin{aligned} \left( \underline{E}_1 + \frac{1}{c} \underline{v} \times \underline{B}_1 \right) \cdot \frac{\partial}{\partial \underline{v}} f_0 &= 2 \underline{v}_\perp \cdot \underline{E}_1 \frac{\partial}{\partial v_\perp} f_0 \\ &+ \frac{1}{c} \frac{kc}{\omega} v_\perp \cdot \underline{E}_1 \frac{\partial}{\partial v_z} f_0 - \frac{kv_z}{\omega} 2 \underline{v}_\perp \cdot \underline{E}_1 \frac{\partial}{\partial v_\perp} f_0 \\ &= \frac{1}{v_\perp} \underline{v}_\perp \cdot \underline{E}_1 \left[ \left( 1 - \frac{kv_z}{\omega} \right) \frac{\partial}{\partial v_\perp} f_0 + \frac{kv_\perp}{\omega} \frac{\partial}{\partial v_z} f_0 \right] \end{aligned}$$

$$\underline{E}_1 \cdot \underline{v}_\perp = v_\perp \left( E_{1x} \cos \theta + E_{1y} \sin \theta \right)$$

$$= v_\perp E_{1x} \left( \frac{e^{i\theta} + e^{-i\theta}}{2} \right) + v_\perp E_{1y} \left( \frac{e^{i\theta} - e^{-i\theta}}{2i} \right)$$

$$= \cancel{v_\perp} \frac{v_\perp}{2} e^{i\theta} (E_{1x} - iE_{1y}) + \frac{v_\perp}{2} e^{-i\theta} (E_{1x} + iE_{1y})$$

$$\hat{E}_\pm = \hat{E}_x \mp i \hat{E}_y$$

$$\rightarrow \frac{v_\perp}{2} \left( e^{i\theta} \hat{E}_- + e^{-i\theta} \hat{E}_+ \right)$$

$$\cancel{f} \quad f = \hat{f}_+ e^{i\theta} + \hat{f}_- e^{-i\theta}$$

$$\hat{f}_\pm = \frac{e}{2m} \hat{E}_\pm \left[ \left( 1 - \frac{kv_z}{\omega} \right) \frac{\partial}{\partial v_\perp} f_0 + \frac{kv_\perp}{\omega} \frac{\partial}{\partial v_z} f_0 \right]$$

$$\cancel{\omega \pm \Omega_0}$$

$$-i(\bar{\omega} + \Omega_0)$$

$$\cancel{ik} \hat{z} \times \left( \frac{kc}{\omega} \hat{z} \times \hat{E} \right) = \frac{4\pi i}{c} \hat{J} - \frac{i\omega}{c} \hat{E}$$

$$\left( \frac{kc^2}{\omega^2} - 1 \right) \hat{E} = \frac{4\pi i}{\omega} \hat{J}$$

$$v_{\perp} (\cos \theta \hat{x} + \sin \theta \hat{y})$$

$$\vec{J} = -e \int dV (v_x \hat{x} + v_y \hat{y}) (\hat{E}_+ e^{i\theta} + \hat{E}_- e^{-i\theta})$$

$$\int dV = \int d\omega \int d\Omega$$

$$\vec{J} = -\frac{e}{2} \int dV v_{\perp} \left[ \hat{x} (\hat{E}_+ + \hat{E}_-) + \hat{y} \left( -\frac{\hat{E}_+}{i} + \frac{\hat{E}_-}{i} \right) \right]$$

$$\vec{J} = -\frac{e}{2} \int dV v_{\perp} \left( \hat{E}_+ (\hat{x} + i\hat{y}) + \hat{E}_- (\hat{x} - i\hat{y}) \right)$$

$$\begin{aligned} \int dV_x + i \int dV_y &= -\frac{e}{2} \int dV v_{\perp} \left[ \hat{E}_+ + \hat{E}_- + i(i\hat{E}_+ - i\hat{E}_-) \right] \\ &= -e \int dV v_{\perp} \hat{E}_- \sim \hat{E}_+ \end{aligned}$$

$$\left( \frac{k^2 c^2}{\omega^2} - 1 \right) \hat{E}_+ = \frac{4\pi i}{\omega} \vec{J}_+$$

$$\frac{k^2 c^2}{\omega^2} - 1 = -\frac{4\pi i}{\omega} \frac{e^2}{2m} \int dV v_{\perp}$$

$$\times \left[ \underbrace{\left( 1 - \frac{k v_{\parallel}}{\omega} \right) \frac{\partial}{\partial v_{\parallel}} f_0 + \frac{k v_{\perp}}{\omega} \frac{\partial}{\partial v_{\perp}} f_0}_{\bar{\omega} \mp \omega_0} \right]$$

$$\left[ \frac{k^2 c^2}{\omega^2} - 1 = \frac{\omega p e^2}{2m\omega} \int dV v_{\perp} \left[ \left( 1 - \frac{k v_{\parallel}}{\omega} \right) \frac{\partial}{\partial v_{\parallel}} \frac{f_0}{n_0} + \frac{k v_{\perp}}{\omega} \frac{\partial}{\partial v_{\perp}} \frac{f_0}{v_0} \right] \right]$$

$$\bar{\omega} \mp \omega_0$$

$\Rightarrow 0$

Ordering for whistlers:

$$\text{consider } \frac{k^2 d_e^2}{\omega} \sim 1$$

$$\text{Compare: } \frac{k^2 c^2}{\omega^2} \ll 1$$

$$\frac{\omega p_e^2}{\omega^2} \ll 1$$

Take  $\omega p_e^2 / \omega^2 \gg 1 \Rightarrow$  discard displacement current

Whistler dispersion:

$$\frac{k^2 d_e^2}{\omega} = \frac{1}{n_0} \int_{-\infty}^{\infty} \frac{dV}{V} \frac{V_{\perp}}{2} \left[ \frac{(1 - kV_z)}{\omega} \frac{\partial f_0}{\partial V_{\perp}} + \frac{kV_z}{\omega} \frac{\partial f_0}{\partial V_z} \right]$$

$\Rightarrow$  kept lower sign which rotates in electron direction and resonates with electrons.

Consider weak damping:

$$|\omega - \omega_e| \sim \gamma_e \Rightarrow kV_t \sim \frac{V_t \omega_e}{c}$$

$$\frac{V_t}{c} \ll \frac{\gamma_e}{\omega_e}$$

To lowest order

$$\frac{k^2 d_e^2}{\omega} = \frac{1}{2n_0} \int dV \frac{V_{\perp}}{v} \frac{\partial f_0}{\partial v_{\perp}} \frac{1}{\omega - Re}$$

$$\int dV \frac{V_{\perp}}{v} \frac{\partial f_0}{\partial v_{\perp}} = \int 2\pi v_{\perp} dv_{\perp} dv_{\parallel} \frac{V_{\perp}}{v} \frac{\partial f_0}{\partial v_{\perp}}$$

$$= -2 \int 2\pi v_{\perp} dv_{\perp} dv_{\parallel} f_0$$

$$= -2 \int dV f_0 = -2n_0$$

$$\frac{k^2 d_e^2}{\omega} = - \frac{1}{\omega - Re} \quad (\omega - Re) k^2 d_e^2 = -\omega$$

$$\boxed{\omega = \frac{Re k^2 d_e^2}{1 + k^2 d_e^2}} \quad \text{whistler}$$

Write dispersion relation as

$$\frac{\omega - Re}{\omega} k^2 d_e^2 = \frac{\omega - Re}{n_0} \int dV \frac{V_{\perp}}{v} \frac{\partial f_0}{\partial v_{\perp}} \frac{1}{\omega - kV_{\parallel} - Re}$$

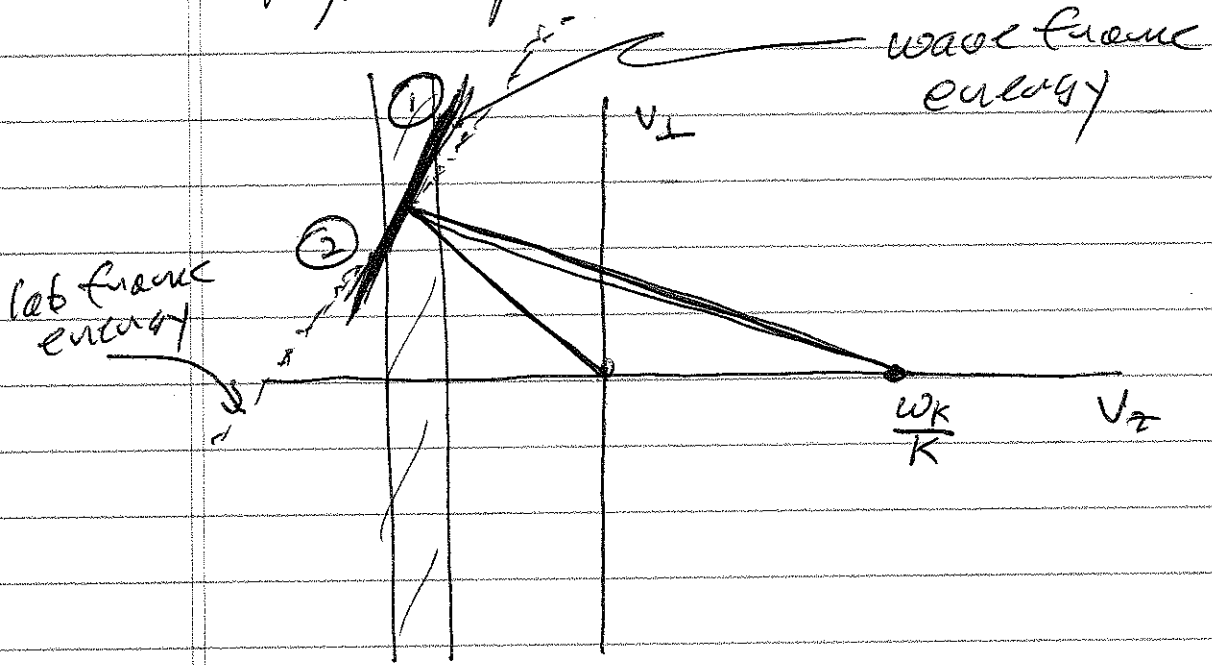
note for small  $v_{\parallel}$  RHS is indep. of  $\omega$

$$\frac{\omega - Re}{\omega} k^2 d_e^2 = -1 +$$

Want to keep corrections due to resonant contributions.



# Physical picture of whistler



Resonance :  $\omega - kv_z = \nu_c$

$\Rightarrow kv_z = \omega - \nu_c < 0$

in wave frame energy of particle is conserved  
 $\Rightarrow$  no E field

Particle motion ① to ② in wave frame is constant energy

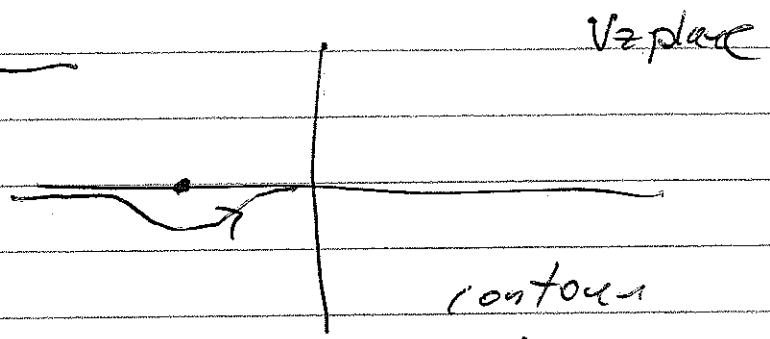
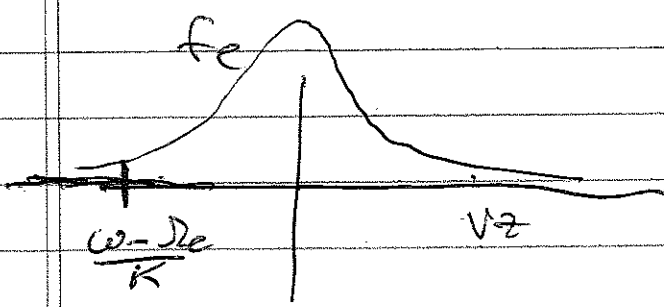
In lab frame particle energy reduced.

If more particles at ① than ② have net energy reduction in lab frame

$\Rightarrow$  instability

$\Rightarrow$  requires  $T_{\perp} > T_{\parallel}$

$$\frac{k^2 d_c^2}{\omega} = \frac{4\pi}{k^2} - \frac{1}{\omega - \omega_c} + \frac{1}{2\pi\omega} \int_{-\infty}^{\infty} d\nu V_L [\nu] - k \left( \cancel{\nu_c} \nu_c - \frac{\omega - \omega_c}{k} \right)$$



contour  
under  
sing.

$$\frac{k^2 d_c^2}{\omega} = - \frac{1}{\omega - \omega_c} = \frac{i\pi}{2\pi\omega k} \int_{-\infty}^{\infty} d\nu V_L [\nu] \Big|_{\frac{\omega - \omega_c}{k}}$$

$$k^2 d_c^2 \left( \frac{\omega - \omega_c}{\omega} \right) = -1 - \frac{i\pi}{2\pi\omega k} \int_{-\infty}^{\infty} d\nu V_L [\nu] \Big|_{\frac{\omega - \omega_c}{k}}$$

lowest order

small

$$k^2 d_c^2 \frac{\omega_c - \omega_c}{\omega_c} = -1$$

first order

$$k^2 d_c^2 \frac{\omega_c}{\omega_c^2} i\delta = -i \frac{\pi (\omega_c - \omega_c)}{2\pi\omega k} \int_{-\infty}^{\infty} d\nu V_L^2 [\nu] \Big|_{\frac{\omega_c - \omega_c}{k}}$$

$$\frac{k^2 de^2}{\omega k} \delta = - \frac{\pi (\omega_k - \omega_e)}{2 k} \frac{k^2 de^2}{1 + k^2 de^2}$$

$$\textcircled{x} \int_{-\infty}^{\infty} \frac{\pi v_{\perp}^2 dv_{\perp}}{n_0} \left[ \frac{k v_{\perp}}{\omega k} \frac{\partial f_0}{\partial v_{\perp}} + \frac{de}{\omega k} \frac{\partial}{\partial v_{\perp}} f_0 \right] \quad (1)$$

$$\delta = \frac{\pi^2 de}{2(1+k^2 de^2)^2 k} \int_0^{\infty} \frac{v_{\perp}^2 dv_{\perp}}{n_0} \left[ k v_{\perp} \frac{\partial f_0}{\partial v_{\perp}} + de \frac{\partial}{\partial v_{\perp}} f_0 \right]$$

$v_{\perp} = \frac{\omega - \omega_e}{k}$

$$\int dv_{\perp} v_{\perp}^2 f_0 = \frac{2}{m} \int dv_{\perp} \frac{1}{2} m v_{\perp}^2 f_0$$

$$T_{\perp} = \frac{1}{2} m v_{\perp}^2 = \frac{2}{m} 2 \left( \frac{T_{\perp}}{2} \right) n_0 = n_0 v_{\perp}^2$$

~~$\int_0^{\infty} dv_{\perp} \int_0^{\infty} dv_{\perp} f_0 v_{\perp} = \int_0^{\infty} 2 v_{\perp} dv_{\perp} \int_0^{\infty} f_0 dv_{\perp}$~~

$$\int dv_{\perp} v_{\perp} \frac{\partial f_0}{\partial v_{\perp}} = - 2 n_0$$

$$\delta = \frac{\pi^2 de}{2(1+k^2 de^2)^2 k} \left[ k v_{\perp}^2 \frac{\partial \bar{f}_0(v_{\perp})}{\partial v_{\perp}} + de 2 \bar{f}_0(v_{\perp}) \right]$$

define  ~~$f_0$~~   $\bar{f}_0(v_{\perp}) = \frac{1}{\sqrt{\pi} v_{\perp}^2} e^{-\frac{v_{\perp}^2}{v_{\perp}^2}}$   $v_{\perp} = \frac{\omega - \omega_e}{k}$

$$\gamma = \frac{\pi^{1/2} n_e}{2k(1+k^2 d_e^2)^2} \left[ k v_{te}^2 \left[ -2 \frac{v_{te}}{v_{te}^2} \left( \frac{\omega - \nu_e}{k} \right) - 2 \nu_e \right] f_0 \right]_{\frac{\omega - \nu_e}{k}}$$

$$- 2 \nu_e \left[ 1 - \frac{T_{\perp}}{T_{\parallel}} \frac{1}{1+k^2 d_e^2} \right] f_0 \left( \frac{\omega - \nu_e}{k} \right)$$

$$\gamma = - \frac{\pi^{1/2} n_e^2}{(1+k^2 d_e^2)^2} \frac{1}{k} \left[ 1 - \frac{T_{\perp}}{T_{\parallel}} \frac{1}{1+k^2 d_e^2} \right] f_0 \left( \frac{\omega - \nu_e}{k} \right)$$

⇒ unstable for

$$\frac{1}{1+k^2 d_e^2} \frac{T_{\perp}}{T_{\parallel}} > 1 \Rightarrow T_{\perp} > T_{\parallel}$$

### Quasilinear theory

Assume that the dominant nonlinear process is from the resonant interaction of waves with particles

⇒ secular changes in  $f_0$

⇒ find equation for spatially uniform component of  $f(x, v, t)$

$$\Rightarrow f_0(v, t)$$

$$\frac{\partial f_0}{\partial t} + n_e \frac{\partial}{\partial v} f_0 = \frac{e}{m k} \left( \vec{E}_{\perp k} + \frac{1}{c} \vec{v} \times \vec{B}_{\perp k} \right) \cdot \frac{\partial \hat{f}}{\partial \vec{v}} + k$$

Only want components of  $f_0$  indep. of  $\theta$ . = 0

Recall that  $\hat{f}_k \sim \hat{f}_+ e^{i\theta}$

$$\left( \hat{E}_{-k} + \frac{1}{c} \mathbf{v} \times \hat{B}_{-k} \right) \cdot \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta}$$

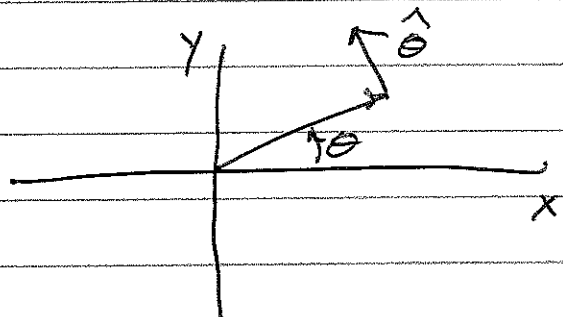
$$\hat{E}_{-k} + \frac{1}{c} \frac{(-k)c}{\omega_{-k}} \mathbf{v} \times \left( \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta} \right)$$

$$\omega_{-k} = -\omega_k^*$$

$$\hat{E}_{-k} = \hat{E}_k^*$$

$$\hat{E}_{-k} \left( 1 - \frac{kv_z}{\omega_k^*} \right) + \frac{1}{2} \frac{k}{\omega_k^*} \hat{E}_{-k} \cdot \mathbf{v}$$

$$\frac{k}{\omega_k^*} \hat{E}_k^* \cdot \mathbf{v} \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta}$$



$$+ \left( 1 - \frac{kv_z}{\omega_k^*} \right) \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta}$$

$$\mathbf{v}_\perp \cdot \hat{E}_k = \frac{v_\perp}{2} \left( e^{i\theta} \hat{E}_{-} + e^{-i\theta} \hat{E}_+ \right)$$

~~$$\frac{k}{\omega_k^*} \frac{v_\perp}{2} e^{-i\theta} \hat{E}_- \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta}$$~~

$$\mathcal{I} = \frac{\hat{E}_k \cdot \mathbf{v}_\perp}{v_\perp} \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta} + \frac{\hat{E}_k^* \cdot \mathbf{v}_\perp}{v_\perp} \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta}$$

$$= \frac{1}{2} \frac{\hat{E}_k^*}{\omega_{-k}} \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta} + \frac{1}{v_\perp} \hat{f}_+ e^{i\theta} \left( -\hat{E}_{ky} \sin\theta + \hat{E}_{kx} \cos\theta \right)$$

$$= \frac{1}{2} \frac{\hat{E}_k^*}{\omega_{-k}} \frac{1}{\omega_{-k}} \hat{f}_+ e^{i\theta} + \frac{i}{v_\perp} \hat{f}_+ e^{i\theta} \left( -\hat{E}_{kx} \frac{e^{-i\theta}}{2i} + \hat{E}_{ky} \frac{e^{-i\theta}}{2} \right)$$

$$f = \frac{1}{2} \hat{E}_- \frac{\partial}{\partial v_{\perp}} \hat{f}_+ + \frac{1}{v_{\perp}} \hat{f}_+ \left( \underbrace{\hat{E}_{kx} + i \hat{E}_{ky}}_{\hat{E}_-} \right)$$

$$= \frac{1}{2} \hat{E}_- \left( \frac{\partial}{\partial v_{\perp}} \hat{f}_+ + \hat{f}_+ \frac{1}{v_{\perp}} \right)$$

$$= \frac{1}{2} \hat{E}_- \frac{\partial}{\partial v_{\perp}} v_{\perp} \hat{f}_+$$

$$\frac{\partial f_0}{\partial t} - \frac{e}{m} \frac{1}{k} \frac{1}{z} \left[ E_{-} \frac{k v_{\perp}}{\omega_k^*} \frac{\partial}{\partial v_{\perp}} f_0 + (1 - k v_{\perp}) \frac{1}{\omega_k^*} \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} v_{\perp} f_0 \right] = 0$$

$$\frac{\partial f_0}{\partial t} - \frac{e}{2m} \frac{1}{k} E_{-}^* \left[ \frac{k v_{\perp}}{\omega_k^*} \frac{\partial}{\partial v_{\perp}} + (1 - k v_{\perp}) \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} v_{\perp} \right] f_0 = 0$$

$$\frac{\partial f_0}{\partial t} - \frac{e^2}{4m^2} \frac{1}{k} |E_{-}|^2 \left[ \frac{k v_{\perp}}{\omega_k^*} \frac{\partial}{\partial v_{\perp}} + (1 - k v_{\perp}) \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} v_{\perp} \right]$$

$$\textcircled{x} \left[ (1 - k v_{\perp}) \frac{\partial}{\partial v_{\perp}} + \frac{k v_{\perp}}{\omega_k^*} \frac{\partial}{\partial v_{\perp}} \right] f_0 = 0$$

$-i(\bar{\omega}_k - \nu_e)$

sum over  $\pm k$  with  $\gamma_k$  small to consider resonant particles.

Note: have number conservation.

$$\frac{1}{-i2} \left( \frac{1}{\bar{\omega}_k - \nu_e} + \frac{1}{\bar{\omega}_{-k} + \nu_e} \right)$$

$$= \frac{1}{-i2} \left( \frac{1}{\bar{\omega}_k - \nu_e} + \frac{1}{-(\bar{\omega}_k^* - \nu_e)} \right)$$

$$= \frac{1}{-i2} \frac{\bar{\omega}_k - \nu_e - \bar{\omega}_k + \nu_e}{|\bar{\omega}_k - \nu_e|^2} = \frac{\pi \delta}{|\bar{\omega}_k - \nu_e|^2}$$

$$\Rightarrow \frac{\pi}{2} \delta(\bar{\omega}_k - \nu_e)$$

60

for resonant particles

$$\frac{\partial f_0}{\partial t} = \frac{e^2}{4m^2 k} \sum |\mathbf{E}|^2 \left[ \frac{k v_{\perp}}{\omega k} \frac{\partial}{\partial v_z} + \left(1 - \frac{k v_z}{\omega k}\right) \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} v_{\perp} \right]$$

$$\textcircled{x} \delta(\omega_k - k v_z - \nu_e) \pi$$

$$\textcircled{x} \left[ \left(1 - \frac{k v_z}{\omega k}\right) \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} + \frac{k v_{\perp}}{\omega k} \frac{\partial}{\partial v_z} \right] f_0 = 0$$

If the spectrum of waves is not too broad, we can argue that the distribution will evolve until

$$\left[ \left(1 - \frac{k v_z}{\omega k}\right) \frac{\partial}{\partial v_{\perp}} + \frac{k v_{\perp}}{\omega k} \frac{\partial}{\partial v_z} \right] f_0 = 0$$

$\Rightarrow$  equivalent to  $\frac{\partial f}{\partial v} = 0$  for beam-plasma system.

$\Rightarrow$  also causes the growth rate to go to zero.

In steady state the ~~var~~ level contours of  $f_0$  are ~~give~~ ~~functions~~ given by

$$\frac{1}{2} \left[ v_{\perp}^2 + \left( v_z - \frac{\omega k}{k} \right)^2 \right] = \text{const}$$

H

$f_0 = f_0(H)$  within the resonant region



$$\left[ \left(1 - \frac{k v_z}{\omega k}\right) \frac{\partial H}{\partial v_\perp} + \frac{k v_\perp}{\omega k} \frac{\partial H}{\partial v_z} \right] \frac{\delta f_0}{\partial H} = 0$$

$$\left(1 - \frac{k v_z}{\omega k}\right) \left( v_\perp \right) + \frac{k v_\perp}{\omega k} \left( v_z - \frac{\omega k}{k} \right) = 0$$

$$\left(1 - \frac{k v_z}{\omega k}\right) + \left(\frac{k v_z}{\omega k} - 1\right) = 0 \quad \text{OK}$$

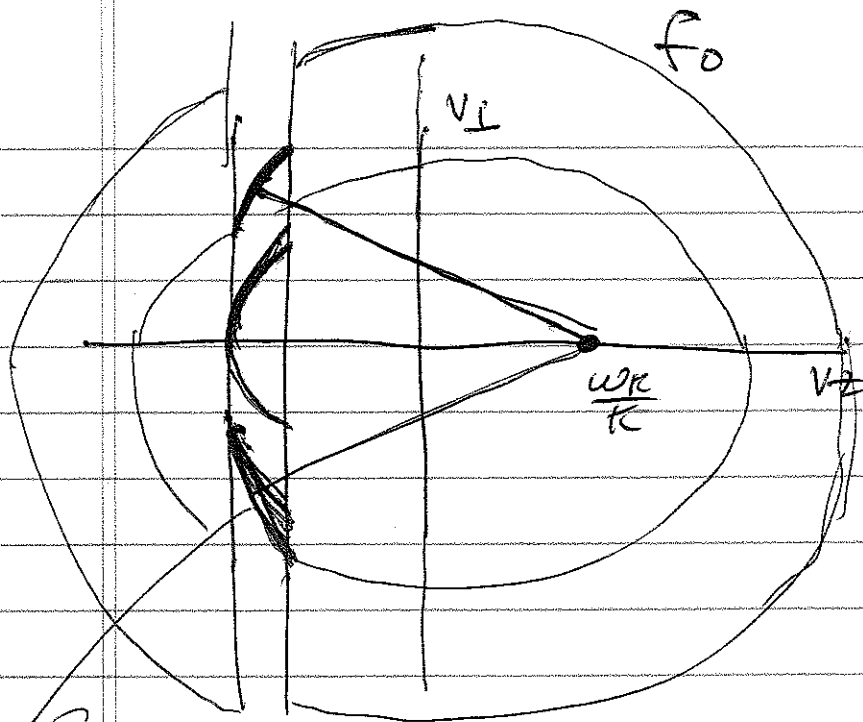
⇒ This means that in the frame of the wave  $v_z' = v_z - \frac{\omega k}{k}$

$$v_\perp^2 + v_z'^2 = \text{const}$$

⇒ energy is conserved.

Can show this result rigorously by changing variables; ~~let H~~  
from  $v_\perp, v_z$  to  $H, v_z$

$$\begin{aligned} \left( \left(1 - \frac{k v_z}{\omega k}\right) \frac{\partial}{\partial v_\perp} + \frac{k v_\perp}{\omega k} \frac{\partial}{\partial v_z} \right) f_0 &= \left(1 - \frac{k v_z}{\omega k}\right) \left[ \frac{\partial H}{\partial v_\perp} \frac{\partial}{\partial H} + \frac{\partial v_z}{\partial v_z} \frac{\partial}{\partial v_z} \right] f_0 \\ &+ \frac{k v_\perp}{\omega k} \left[ \frac{\partial H}{\partial v_z} \frac{\partial}{\partial H} + \frac{\partial v_z}{\partial v_z} \frac{\partial}{\partial v_z} \right] f_0 \\ &= \left[ \left(1 - \frac{k v_z}{\omega k}\right) v_\perp \frac{\partial}{\partial H} + \frac{k v_\perp}{\omega k} \left( v_z - \frac{\omega k}{k} \right) \frac{\partial}{\partial H} + \frac{k v_\perp}{\omega k} \frac{\partial}{\partial v_z} \right] f_0 \\ &\Rightarrow = \frac{k v_\perp}{\omega k} \frac{\partial}{\partial v_z} f_0 \end{aligned}$$



loof curves

resonant region

~~Handwritten scribbles~~

$$\left[ \frac{kv_{\perp}}{\omega k} \frac{\partial}{\partial v_{\perp}} + \left(1 - \frac{kv_{\perp}}{\omega k}\right) \frac{1}{v_{\perp}} \frac{\partial}{\partial v_{\perp}} v_{\perp} \right]$$

$$= \left[ \frac{kv_{\perp}}{\omega k} \frac{\partial}{\partial v_{\perp}} + \left(1 - \frac{kv_{\perp}}{\omega k}\right) \frac{\partial}{\partial v_{\perp}} + \left(1 - \frac{kv_{\perp}}{\omega k}\right) \frac{1}{v_{\perp}} \right]$$

$$\frac{kv_{\perp}}{\omega k} \frac{\partial}{\partial v_{\perp}}$$

$$\frac{\partial f_0}{\partial t} - \frac{e^2}{4m^2 k} |\mathbf{E}|^2 \left[ \frac{kv_{\perp}}{\omega k} \frac{\partial}{\partial v_{\perp}} + \frac{1}{v_{\perp}} \left(1 - \frac{kv_{\perp}}{\omega k}\right) \right]$$

$$\otimes \delta(\omega k - kv_{\perp} - \omega) \pi \sqrt{\frac{k v_{\perp}}{\omega k}} \frac{\partial}{\partial v_{\perp}} f_0 = 0$$

$$\left[ \frac{kv_{\perp}}{\omega k} \frac{\partial}{\partial v_{\perp}} v_{\perp} \left( \frac{v_{\perp}^2}{H} \right) + \left(1 - \frac{kv_{\perp}}{\omega k}\right) \right]$$

$$= \frac{k}{2\omega k} \frac{\partial}{\partial v_{\perp}} \left( \frac{v_{\perp}^2}{H} \right) + \frac{kv_{\perp}^2}{\omega k} \frac{\partial}{\partial v_{\perp}} + \left(1 - \frac{kv_{\perp}}{\omega k}\right)$$

$$v_{\perp}^2 = 2H - \left( v_{\parallel} - \frac{\omega k}{k} \right)^2$$

$$\frac{k}{\omega k} \left( \frac{\partial v_{\perp}^2}{\partial v_{\perp}} \right)_{\perp} = -2 \left( v_{\parallel} - \frac{\omega k}{k} \right) \frac{k}{\omega k} = +2 \left( -\frac{kv_{\parallel}}{\omega k} + 1 \right)$$

$$\frac{k}{2\omega k} \frac{\partial}{\partial v_{\perp}} \left( \frac{v_{\perp}^2}{H} \right)_{\perp} + \frac{1}{2} \frac{k}{\omega k} \left( \frac{\partial v_{\perp}^2}{\partial v_{\perp}} \right)_{\perp} + \frac{kv_{\perp}^2}{\omega k} \frac{\partial}{\partial v_{\perp}}$$

$$= \frac{k}{\omega k} \frac{\partial}{\partial v_{\perp}} v_{\perp}^2$$

$$\frac{\partial f_0}{\partial t} - \frac{e^2 n}{4m^2} \sum_k \underbrace{|E_k|^2}_{\frac{1}{2} \frac{B_k^2}{\epsilon^2}} \frac{k^2}{\omega_k^2 v_z} v_{\perp}^2 \delta(\omega_k - kv_z - \nu_e) \frac{\partial f_0}{\partial v_z} = 0$$

$$\sum_k = \int \frac{dk}{2\pi}$$

$$\frac{\partial f_0}{\partial t} - \frac{e^2 L}{4m^2 c^2} \frac{\partial}{\partial v_z} \left[ B_k^2 \frac{1}{\left| v_z - \frac{d\omega_k}{dk} \right|} \right] \frac{\partial f_0}{\partial v_z} = 0$$

$$\omega_k - kv_z - \nu_e = 0 \Rightarrow k \text{ determined}$$

growth rate

$$\left. \frac{\partial f_0}{\partial v_z} \right|_H = 0 \text{ in steady state}$$

$$\gamma = \frac{\pi^2 \nu_e}{(1 + \nu_e^2 \epsilon^2)^2 k} \int_0^{\infty} \frac{dv_{\perp} v_{\perp}^2}{n_0} \left[ kv_{\perp} \frac{\partial f_0}{\partial v_z} + (\omega_k - kv_z) \frac{\partial f_0}{\partial v_z} \right]$$

$$= \frac{\pi^2 \nu_e}{(1 + \nu_e^2 \epsilon^2)^2 k} \int_0^{\infty} \frac{dv_{\perp} v_{\perp}^2}{n_0} \left[ kv_{\perp} \frac{\partial f_0}{\partial v_z} + (\omega_k - kv_z) \frac{\partial f_0}{\partial v_z} \right]$$

$$dH|_{v_z} = v_{\perp} dv_{\perp}$$

$$H = \frac{1}{2} \left( v_{\perp}^2 + \left( v_z - \frac{\omega_k}{k} \right)^2 \right)$$

$$\gamma = \frac{\pi^2 \nu_e}{(1 + \nu_e^2 \epsilon^2)^2} \int_{H_{\min}}^{\infty} \frac{dH v_{\perp}^2}{n_0} \frac{\partial f_0}{\partial v_z} = 0$$

$\Rightarrow \gamma \rightarrow 0$   
in steady state

$$H_{\min} = \frac{1}{2} \left( v_z - \frac{\omega_k}{k} \right)^2 = \frac{1}{2} \frac{\nu_e^2}{k^2}$$