

Parametric Instabilities

Because of the nonlinearities in plasmas, waves propagating through the medium are typically unstable to the development of secondary instabilities. These can be important in understanding the mechanisms for wave absorption and dissipation.

A simple example - nonlinear oscillators

Consider the Hamiltonian for three coupled oscillators

$$H = \sum_{i=1}^3 \frac{p_i^2}{2m} + \omega_i^2 \frac{x_i^2}{2} + V x_1 x_2 x_3$$

The equations of motion are

$$\begin{aligned} \ddot{x}_1 + \omega_1^2 x_1 &= -V x_2 x_3 \\ \ddot{x}_2 + \omega_2^2 x_2 &= -V x_1 x_3 \\ \ddot{x}_3 + \omega_3^2 x_3 &= -V x_2 x_1 \end{aligned}$$

To examine energy transfer consider an initial state in which almost all of the energy is in oscillator ① and oscillators ② and ③ can be treated perturbatively

$$\ddot{x}_1 + \omega_1^2 x_1 = 0$$

$$\ddot{x}_2 + \omega_2^2 x_2 = -U_{\beta} x_1 x_3$$

$$\ddot{x}_3 + \omega_3^2 x_3 = -U x_2 x_1$$

Oscillator x_1 simply oscillates at a frequency ω_1 . Assuming that the amplitude of x_1 is not too large, the coupling of the oscillators x_2, x_3 will depend sensitively on the matching of the three frequencies, i.e.

$$\omega_1 = \omega_2 + \omega_3$$

That was for example the beat of x_1, x_3 will have a frequency which will resonate with the oscillator x_2 . Generally we can write

~~and~~
$$x_1 = a_1 e^{i\omega_1 t} + a_1^* e^{-i\omega_1 t}$$

$\Rightarrow x_1$ is now real

and

$$x_2 = a_2 e^{i\omega_2 t} + a_2^* e^{-i\omega_2 t}$$
$$x_3 = a_3 e^{i\omega_3 t} + a_3^* e^{-i\omega_3 t}$$

with $\omega_2 \neq \omega_3$

we take

$$x_2 = a_2(t) e^{i\omega_2 t} + a_2^*(t) e^{-i\omega_2 t}$$

$$x_3 = a_3(t) e^{i\omega_3 t} + a_3^*(t) e^{-i\omega_3 t}$$

where assume time dependence of a 's is weak

$$\ddot{x}_2 + \omega_2^2 x_2 = -V \left(a_1 e^{i\omega_1 t} + a_1^* e^{-i\omega_1 t} \right) \left(a_3 e^{i\omega_3 t} + a_3^* e^{-i\omega_3 t} \right)$$

Have beats at $\omega_1 + \omega_3, \omega_1 - \omega_3, -\omega_1 + \omega_3, -\omega_1 - \omega_3$

$$\Rightarrow \underbrace{\omega_1 - \omega_3}_{\omega_2}, \underbrace{-\omega_1 + \omega_3}_{-\omega_2} \text{ are resonant}$$

$$\left(\frac{\partial}{\partial t} + i\omega_2 \right)^2 a_2 + \omega_2^2 a_2 = -V a_1 a_3^*$$

$$\left(\frac{\partial}{\partial t} + 2i\omega_2 \frac{\partial}{\partial t} \right) a_2 = -V a_1 a_3^*$$

$$\Rightarrow \frac{\partial}{\partial t} a_2 = \frac{iV}{2\omega_2} a_1 a_3^*$$

↓

$$\ddot{x}_3 + \omega_3^2 x_3 = -V \left(a_2 e^{i\omega_2 t} + a_2^* e^{-i\omega_2 t} \right) \left(a_1 e^{i\omega_1 t} + a_1^* e^{-i\omega_1 t} \right)$$

want beat at $-\omega_3 = \omega_2 - \omega_1$

$$\left(\frac{\partial}{\partial t} - i\omega_3 \right) a_3^* + \omega_3^2 a_3^* = -V a_2 a_1^*$$

$$-2i\omega_3 \frac{\partial}{\partial t} a_3^* = -V a_2 a_1^*$$

$$\Rightarrow \frac{\partial}{\partial t} a_3^* = -i \frac{V}{2\omega_3} a_2 a_1^*$$

$$\frac{\partial^2}{\partial t^2} a_2 = \left(\frac{V}{2\omega_2} a_1 \left(\pm \frac{V}{2\omega_3} \right) a_2 a_1^* \right)$$

$$\gamma^2 = |a_1|^2 \frac{V^2}{4\omega_2\omega_3}$$

\Rightarrow growth for ~~ω_2~~

$$\omega_2 \omega_3 > 0$$

$$\omega_2 (\omega_1 - \omega_2) > 0$$

$$\omega_1 \omega_2 > \omega_2^2$$

$$\text{take } \omega_1 > 0 \Rightarrow \omega_2 > 0, \omega_1 > \omega_2$$

$$\Rightarrow \omega_3 > 0$$

$$\Rightarrow \boxed{\omega_1 > \omega_2, \omega_3}$$

Energy transfer only if oscillator with energy has highest frequency.

~~Rab~~

Raman scattering

Consider an incident electromagnetic wave E_0, B_0 ~~such that~~ with frequency ω_0 such that $\omega_0 \gg \omega_{pe}$

$\Rightarrow k_0 c \approx \omega_0$

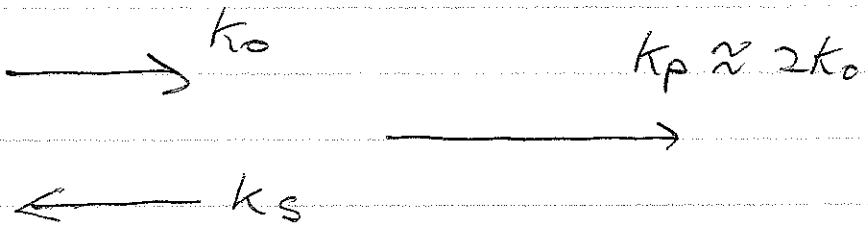
Want to look at the scattering of this wave off of plasma waves

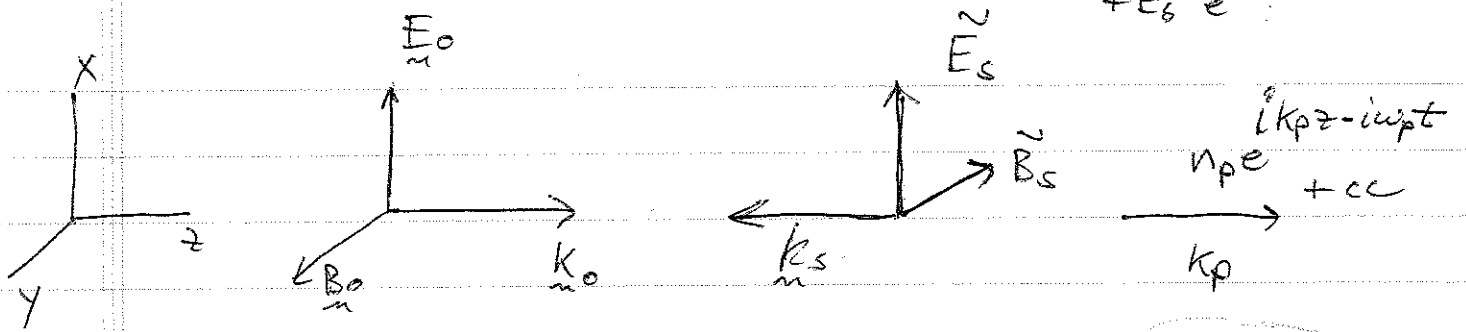
\Rightarrow the incident wave amplifies the plasma waves and produces a scattered wave.

\Rightarrow reflected EM wave must also to lowest order have $\omega_s \approx \omega_0$

~~~~~~~~~ So  $\omega_s \approx k_s c \approx k_0 c \approx \omega_0$

$\Rightarrow$





$$\nabla \cdot \vec{B} = \frac{4\pi}{c} \vec{J} + \frac{1}{c} \frac{\partial \vec{E}}{\partial t}$$

$$\frac{1}{c} \frac{\partial \vec{B}}{\partial t} + \nabla \times \vec{E} = 0$$

$$k_0 = k_p - k_s$$

$$\omega_0 = \omega_p + \omega_s$$

$$\frac{1}{c} \frac{\partial B_y}{\partial t} + \frac{\partial E_x}{\partial z} = 0$$

$$-\frac{\partial B_y}{\partial t} = \frac{4\pi}{c} J_x + \frac{\partial E_x}{\partial z}$$

$$\frac{\partial n}{\partial t} + \frac{\partial n v_z}{\partial z} = 0$$

$$\frac{\partial v_z}{\partial t} = -\frac{e}{m} E_z - \frac{e}{m} \frac{v_x B_y}{c}$$

$$\frac{\partial E_z}{\partial t} = -4\pi A_e (n - n_0)$$

~~$$J_x = ne v_x$$~~

$$\frac{\partial v_x}{\partial t} + v_z \frac{\partial v_x}{\partial z} = -\frac{e}{m} E_x + \frac{e}{m} \frac{v_z B_y}{c}$$

$$J_x = -ne v_x$$

pump

$$\frac{1}{c} \frac{\partial}{\partial t} B_0 + \frac{\partial}{\partial t} E_0 = 0$$

$$\frac{\partial}{\partial t} \left[ -\frac{\partial}{\partial z} B_0 = \frac{4\pi}{c} J_x + \frac{1}{c} \frac{\partial}{\partial t} E_0 \right]$$

$$\frac{\partial}{\partial t} v_{x0} = -\frac{e}{m} E_0$$

$$J_x = -n_0 e v_{x0}$$

$$\frac{\partial}{\partial t} \left( \frac{1}{c} \frac{\partial}{\partial t} E_0 \right) = \frac{4\pi}{c} \left( -n_0 e \left( \frac{e}{m} E_0 \right) \right) + \frac{1}{c} \ddot{E}_0$$

$$c^2 E_{0zz} = \omega_p^2 E_0 + \ddot{E}_0$$

$$\Rightarrow \boxed{\omega_0^2 = k_0^2 c^2 + \omega_p^2}$$

$$E_0 = E_0 e^{ik_0 z - i\omega_0 t} + E_0^* e^{-ik_0 z + i\omega_0 t}$$

$$v_{x0} = \text{Re} \left[ -\frac{e}{m} \frac{E_0 e^{ik_0 z - i\omega_0 t}}{-i\omega_0} \right]$$





9/13

$$V_{xs} = -\frac{e}{m} E_s \frac{1}{i\omega_s}$$

$$\bar{J}_{xs} = -n_0 e \left( -\frac{e}{m} E_s \frac{1}{i\omega_s} \right) - n_p e V_{xo}$$

~~note~~ note:  $\bar{J}_{xs} \sim e^{i\omega_s t} e^{+ik_s z} E_s$

$$n_p \sim e^{ik_p z} e^{-i\omega_p t}$$

$$V_{xo} \sim E_0 e^{ik_0 z} e^{-i\omega_0 t} + E_0^* e^{-ik_0 z} e^{i\omega_0 t}$$

this drives

$\bar{J}_{xs}$

$$\bar{J}_{xs} = \frac{n_0 e^2}{m} \frac{E_s}{i\omega_s} - n_p e \left( \frac{-e E_0^*}{m_p i\omega_0} \right)$$

$$ik_s \frac{k_s c}{\omega_s} E_s = \frac{4\pi}{c} \left( \frac{n_0 e^2}{m} \frac{E_s}{i\omega_s} + \frac{n_p e^2}{m} \frac{E_0^*}{i\omega_0} \right) + \frac{i\omega_s}{c} E_s$$

$$\frac{k_s^2 c^2}{\omega_s} E_s = \frac{\omega_{pe}^2 E_s}{-\omega_s} + \omega_s E_s - \frac{\omega_{pe}^2}{\omega_0} \frac{n_p}{n_0} E_0^*$$

$$(k_s^2 c^2 - \omega_s^2 E_s) E_s = -\omega_{pe}^2 \frac{n_p}{n_0} E_0^*$$

$$E_s = 1 - \frac{\omega_{pe}^2}{\omega_s^2}$$

plasma waoc

$$\frac{\partial}{\partial t} n_p + n_0 \frac{\partial}{\partial z} V_p = 0$$

$$\frac{\partial}{\partial z} E_p = -4\pi e n_p$$

$$\frac{\partial}{\partial t} V_p = -\frac{e}{m} E_p - \frac{e}{mc} (-V_{x0} B_s + V_{xs} B_0)$$

$$\sim V_p e^{ik_p z}$$

$$\sim E_0 e^{ik_0 z} e^{ik_s z} B_s$$

$$\frac{\partial}{\partial t} V_p = -\frac{e}{m} E_p - \frac{e}{mc} \left( \frac{e}{m} \frac{E_0}{-i\omega_0} \right) e^{ik_0 z} e^{ik_s z} \frac{k_s E_s c}{\omega_s \eta}$$

$$+ \left( -\frac{e}{m} \frac{E_s}{i\omega_s} \frac{E_0 k_0 c}{\omega_0} \right) e^{ik_0 z - i\omega_0 t} e^{ik_s z} e^{i\omega_s t}$$

$$k_0 + k_s = k_p$$

$$\omega_0 = \omega_s + \omega_p$$

$$= \left( -\frac{e}{m} E_p - \frac{e}{m} i k_p \frac{e E_0 E_s}{m \omega_s \omega_s \eta} \right) e^{ik_p z} e^{-i\omega_p t}$$

$$m \frac{\partial}{\partial t} V_p \sim \left( -\frac{e}{m} E_p - \frac{e^2}{m^2} i k_p \frac{E_0 E_s}{\omega_s^2 \eta} \right) e^{ik_p z} e^{-i\omega_p t}$$

Electrons driven out of region of high radiation pressure

$$F_w = -\nabla \frac{e^2}{m} \frac{E_0 E_s}{\omega_s^2}$$

ponderomotive force

⇒ generic result for low freq.   
 reference to beating of HF wave

(93)

$$-j\omega_p n_p + n_0 / k_p V_p = 0$$

$$n_p = n_0 \frac{k_p}{\omega_p} V_p$$

$$+j\omega_p m V_p = +e \left( \frac{+4\pi e n_p}{j k_p} \right) + j k_p \frac{e^2}{m} \frac{E_0 E_s}{\omega_0^2}$$

$$n_p = \frac{n_0 k_p}{m \omega_p^2} \left[ \frac{n_0 4\pi e^2 n_p}{m k_p} + k_p^2 \frac{e^2 n_0 E_0 E_s}{m^2 \omega_0^2} \right]$$

$$\underbrace{n_p \left( 1 - \frac{\omega_{pe}^2}{\omega_p^2} \right)}_{\text{GA}} = \frac{k_p^2}{\omega_p^2} \frac{e^2}{m^2} n_0 \frac{E_0 E_s}{\omega_0^2}$$

Dispersion Relation

~~$$k_s^2 c^2 + \omega_p^2$$~~

$$V_0 = \frac{e E_0}{m \omega_0}$$

$$\begin{aligned} \epsilon_p (k_s^2 c^2 - \omega_s^2 \epsilon_s) &= -\omega_{pe}^2 \frac{E_0^*}{N_0} \frac{k_p^2}{\omega_p^2} \frac{e^2 N_0}{m^2} \frac{E_0}{\omega_0^2} \\ &= -\frac{\omega_{pe}^2}{\omega_p^2} k_p^2 |V_0|^2 \end{aligned}$$

$$\omega_0 = \omega_s + \omega_p$$

$$k_p = k_0 + k_s$$

$$\approx 2k_0$$

~~$$k_s^2 c^2 - \omega_s^2 \epsilon_s$$~~

$$\chi_e = -\frac{\omega_{pe}^2}{\omega_p^2}$$

$$\boxed{\epsilon_p (k_s^2 c^2 - \omega_s^2 \epsilon_s) = \chi_e k_p^2 |V_0|^2}$$

generic form

$$\begin{aligned} k_s^2 c^2 - \omega_s^2 \epsilon_s &\approx k_0^2 c^2 + \cancel{\omega_0^2 \epsilon_s} - \omega_p^2 - \cancel{(\omega_0 - \omega_p)^2} \\ &= k_0^2 c^2 + \omega_{pe}^2 - \omega_0^2 + 2\omega_0 \omega_p - \omega_p^2 \\ &\approx 2\omega_0 \omega_p \end{aligned}$$

$$K_p = 2k_0 + \Delta k$$

$$\omega_{pe} = \frac{\omega_0^2 - (K_p - k_0)^2 c^2}{2\omega_0}$$

$$2\omega_0 \omega_{pe} = \omega_0^2 - (k_0 + \Delta k)^2 c^2$$

$$= \cancel{\omega_0^2} - \cancel{k_0^2 c^2} - 2k_0 \Delta k c^2$$

$$\omega_{pe} = -\Delta k c$$

$$\frac{\Delta K}{K_0} = - \frac{\omega_{pe}}{\omega_0}$$

$$\epsilon_p = 1 - \frac{\omega_{pe}^2}{\omega_p^2} \approx \frac{\omega_p^2 - \omega_{pe}^2}{\omega_p^2}$$

$$\approx \frac{(\omega_p - \omega_{pe})(\omega_p + \omega_{pe})}{\omega_{pe}^2}$$

$$\epsilon_p \approx \frac{2(\omega_p - \omega_{pe})}{\omega_{pe}}$$

$$k_s^2 c^2 - \omega_s^2 \epsilon_s = (k_p - k_0)^2 c^2 - \omega_s^2 + \omega_{pe}^2$$

$$= (k_p - k_0)^2 c^2 - (\omega_0 - \omega_p)^2 + \omega_{pe}^2$$

$$\approx (k_p - k_0)^2 c^2 - \omega_0^2 + 2\omega_0 \omega_p + \omega_{pe}^2 \text{ small}$$

$$\equiv 2\omega_0(\omega_p - \Delta\omega)$$

$$\Delta\omega \equiv \frac{\omega_0^2 - (k_p - k_0)^2 c^2}{2\omega_0}$$

$$\frac{(\omega_p - \omega_{pe})}{\omega_{pe}} \approx \omega_0(\omega_p - \Delta\omega) = -\frac{\omega_{pe}^2}{\omega_p^2} (k_0)^2 |V_0|^2$$

$$\omega_p = \omega_{pe} + i\gamma$$

$$\Delta\omega = \omega_{pe}$$

$$\gamma^2 = \frac{\omega_{pe} c^2 k_0^2 |V_0|^2}{\omega_0 c^2}$$

$$\boxed{\gamma = (\omega_{pe} \omega_0)^{\frac{1}{2}} \frac{|V_0|}{c}}$$

can calculate  $k_p$  from  $\Delta\omega = \omega_{pe}$

Raman Scattering.

## Non linear Energy Exchange

Now want to allow  $E_s$  and  $n_p$  to reach finite amplitude. This will deplete the pump  $E_0$  and change the time behavior.

Plasma wave  
from earlier

$$(\omega_p^2 - \omega_{pe}^2) n_p = \frac{e^2 E_0 E_s}{m^2 \omega_0^2}$$

$$\frac{e^2 E_0 E_s}{m^2 \omega_0^2} = k_p^2 \frac{e^2}{m^2} n_0 \frac{E_0 E_s}{\omega_0^2}$$

~~scattered wave~~  $\omega_p \rightarrow \omega_{pe} + i \frac{\gamma}{2}$

$$\frac{\gamma}{2} = -i \omega_p$$

$$\omega_p = i \frac{\gamma}{2}$$

$$\Rightarrow 2i \omega_{pe} \frac{\gamma}{2} n_p = k_p^2 \frac{e^2}{m^2} n_0 \frac{E_0 E_s}{\omega_0^2}$$

Scattered wave

$$\omega_s = \omega_0 - \omega_p$$

$$(k_s^2 c^2 - \omega_s^2 + \omega_{pe}^2) E_s = - \omega_{pe}^2 \frac{n_p}{n_0} E_0^*$$

$$\left[ (k_p - k_0)^2 c^2 - (\omega_0 - \omega_{pe} + i \frac{\gamma}{2})^2 + \omega_{pe}^2 \right] E_s = - \omega_{pe}^2 \frac{n_p}{n_0} E_0^*$$

$$2i\omega_0 \frac{\partial}{\partial t} E_0 = -\omega_p^2 \frac{n_p}{n_0} E_0^*$$

Pump As before but add nonlinear part to  $J_x$

$$\Rightarrow \frac{J_x^{NL}}{e^{ik_0 z}} = -\frac{n_p e V_{xs}^*}{e^{ik_p z} e^{-ik_s z}}$$

$$-\frac{\partial}{\partial t} B_0 = \frac{4\pi}{c} J_x + \frac{\partial}{\partial t} E_0$$

$$\frac{1}{c} \frac{\partial}{\partial t} B_0 + \frac{\partial}{\partial t} E_0 = 0$$

$$\frac{\partial}{\partial t} V_{x0} = -\frac{e}{m} E_0$$

$$J_x = -n_0 e V_{x0} + J_x^{NL}$$

$$\frac{1}{c} \ddot{E}_0 = -ik_0 (-ik_0 c) E_0 - \frac{4\pi}{c} \left( -n_0 e \left( -\frac{e}{m} E_0 \right) - \frac{4\pi}{c} (-i\omega_0) (-n_p e V_{xs}^*) \right)$$

$$\ddot{E}_0 + k_0^2 c^2 E_0 + \omega_p^2 E_0 = -4\pi e i\omega_0 n_p V_{xs}^*$$

$$\frac{\partial}{\partial t} \Rightarrow -i\omega_0 + \frac{\partial}{\partial t} \quad V_{xs}^* = -\frac{e E_s^*}{m} \frac{1}{-i\omega_s}$$

$$\left( -\omega_0^2 + k_0^2 c^2 \right) E_0 - 2i\omega_0 \frac{\partial}{\partial t} E_0 = -4\pi e i\omega_0 n_p V_{xs}^*$$

$$\frac{\partial}{\partial t} E_0 = + \frac{4\pi e}{2} \frac{n_p}{n_0} n_0 \left( -\frac{e}{m} \frac{E_s^*}{(-i\omega_s)} \right)$$

$$\frac{\partial E_0}{\partial t} = -i \frac{\omega_p^2}{\omega_0} \frac{n_p}{n_0} E_s^*$$



Want to normalize the amplitudes of the modes by defining the # of quanta in the mode as the wave energy divided by the frequency

$$N_0 = \left( \frac{|E_0|^2}{4\pi} + \frac{|B_0|^2}{8\pi} \right) \frac{1}{\omega_0}$$

$$= \frac{|E_0|^2}{4\pi} \frac{1}{\omega_0} = |a_0|^2$$

$$\Rightarrow a_0 = \frac{E_0}{\sqrt{4\pi\omega_0}} \Rightarrow \text{pump}$$

$$\Rightarrow a_s = \frac{E_s}{\sqrt{4\pi\omega_0}} \Rightarrow \text{scattered.}$$

for plasma wave

$$\frac{\partial v_p}{\partial t} = -\frac{e}{m} E_p$$

$$N_p = \left( \frac{1}{2} m n_0 |v_p|^2 + \frac{|E_p|^2}{8\pi} \right) \frac{1}{\omega_p}$$

$$i k_p E_p = -4\pi e n_p$$

~~$$= \frac{|E_p|^2}{4\pi} \frac{1}{\omega_p}$$~~

$$= \frac{(4\pi e)^2 |v_p|^2}{4\pi k_p^2 \omega_p}$$

$$\Rightarrow a_p = \frac{\sqrt{4\pi e}}{k_p} \frac{1}{\omega_{pe}^{1/2}} n_p \Rightarrow \text{plasma wave}$$

$$2i \omega_{pe} \frac{d}{dt} \frac{k_p \omega_{pe}^{1/2}}{4\pi e} a_p = k_p \frac{e}{m} \frac{\omega_{pe}^{1/2}}{\omega_0} a_0 a_s$$

$$i \frac{d}{dt} a_p = \frac{\sqrt{4\pi e} k_p \omega_{pe}^{1/2}}{2m} \frac{1}{\omega_0} a_0 a_s$$

$$+ 2i \omega_0 \frac{d}{dt} a_s = - \frac{\sqrt{4\pi e} k_p \omega_{pe}^{1/2}}{2m \omega_0} a_0^* a_p$$

$$+ i \frac{d}{dt} a_s = \frac{\sqrt{4\pi e} k_p \omega_{pe}^{1/2}}{2m \omega_0} a_0^* a_p$$

$$\frac{d}{dt} a_0 = -i \frac{k_p \omega_{pe}^{1/2}}{m} \frac{1}{\omega_0} e \frac{\omega_{pe}^{1/2}}{4\pi e} a_p a_s^*$$

$$i \frac{d}{dt} a_0 = + \frac{\sqrt{4\pi e} k_p \omega_{pe}^{1/2}}{2m \omega_0} a_p a_s^*$$

$$V = \frac{\sqrt{4\pi e} k_p \omega_{pe}^{1/2}}{2m \omega_0}$$

- ①  $i \frac{d}{dt} a_p = V a_0 a_s$
- ②  $i \frac{d}{dt} a_s = -V a_0^* a_p$
- ③  $i \frac{d}{dt} a_0 = +V a_p a_s^*$

Completely general for decay type instabilities

# Conservation Laws

mult. (1) by  $a_p^*$  and add conjugate eqn.

$$N_p = |a_p|^2$$

$$\dot{N}_p = -i V a_0 a_s a_p^* + cc$$

mult. (3) by  $a_0^*$  and add conj.

$$\dot{N}_0 = -i V a_p a_s^* a_0^* + cc$$

$$\dot{N}_p + \dot{N}_0 = 0$$

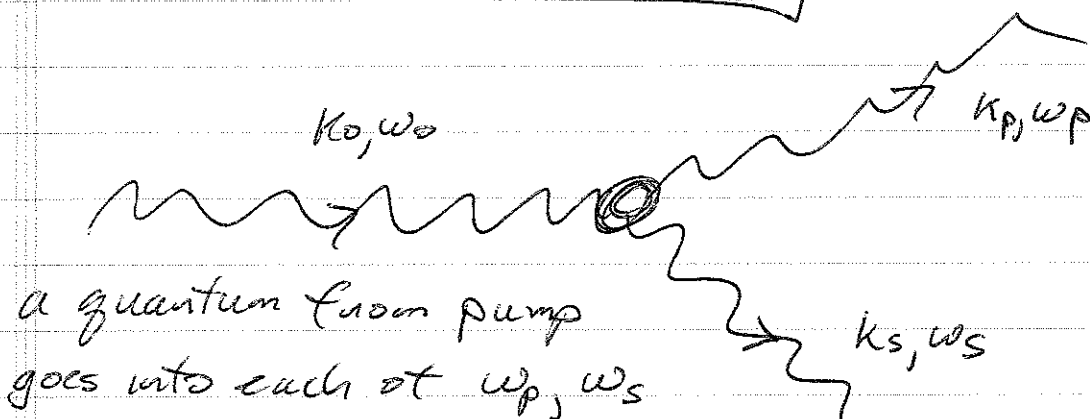
$$N_p + N_0 = \text{const}$$

similarly

$$N_s + N_0 = \text{const.}$$

$$N_p - N_s = \text{const}$$

Manley  
Rowe  
relations



## Energy conservation

$$\begin{aligned}
 \frac{d}{dt} W &= \frac{d}{dt} (N_0 \omega_0 + N_p \omega_p + N_s \omega_s) \\
 &= \frac{d}{dt} (N_0 \omega_0 + (-N_0 \omega_p) + (-N_0 \omega_s)) \\
 &= \frac{d}{dt} N_0 (\omega_0 - \omega_p - \omega_s) \\
 &= 0
 \end{aligned}$$

$$\underline{W = \text{const.}}$$

⇒ total wave energy is conserved.

Full eqns can be solved exactly  
 ⇒ see Sagdeev - Galeev

for decay unstable system

