

Electrostatic Waves in a Warm Plasma

When we were looking at plasma waves found that

$$\epsilon = 1 - \frac{\omega_{pe}^2}{\omega^2} - \frac{\omega_{pi}^2}{\omega^2}$$

$$\omega_{pe}^2 = \frac{4\pi n_e e^2}{m_e}, \quad \omega_{pi}^2 = \frac{4\pi n_i q^2 c^2}{m_i}$$

$$\text{take } \epsilon = 0$$

$$\omega \approx \pm \omega_{pe} \Rightarrow \omega_{pe} \gg \omega_{pi}$$

Want to explore what happens in a warm plasma. Do this by solving the VE. We take the electric field to be small.

~~Field is equal to~~

Can expand  $f = f_0 + f_1 + \dots$  as a series in the small parameter. Neglect collisions

zero order

$$\frac{\partial f_0}{\partial t} + \mathbf{v} \cdot \nabla f_0 = 0$$

$$\text{take } \frac{\partial f_0}{\partial t}, \nabla f_0 = 0$$

$$f_0 = 0$$

Take  $\epsilon_0$  to be a Maxwellian and require

$$-e n_e + z e n_i = 0$$

$\Rightarrow$  charge neutrality

First Order

Take  $\vec{E}_1 = -\nabla \phi_1$

$$\phi_1 = \text{Re} \left( \hat{\phi} e^{i(\vec{k}_1 \cdot \vec{x} - \omega t)} \right)$$

$\hat{\phi}$  = complex amplitude

$\vec{k}_1$  = wave vector,  $\omega$  = frequency  
 $\Rightarrow$  can be complex

~~$$\epsilon(\vec{x}, \vec{v}, t) = \epsilon_0 \delta(\vec{v}) + \text{Re} \left[ \hat{\epsilon} e^{i(\vec{k}_1 \cdot \vec{x} - \omega t)} \right]$$

small~~

~~$$\frac{\partial}{\partial t} \left( \frac{\partial}{\partial t} f_1 + \vec{v} \cdot \nabla f_1 \right) = \frac{\partial}{\partial v} \left( \frac{\partial}{\partial v} f_0 \right)$$~~

$$\frac{\partial}{\partial t} f_1 + \vec{v} \cdot \nabla f_1 - \frac{\partial}{\partial m} \nabla \phi_1 \cdot \frac{\partial}{\partial v} f_0 = 0$$

$\Rightarrow$  all terms the same order in the expansion parameter

$$f_1 = \text{Re}(\hat{f} e^{i\vec{k}\cdot\vec{x} - i\omega t})$$

(65)

$$\left(\frac{\partial}{\partial t} + v_0 \cdot \nabla\right) \text{Re}(\hat{f} e^{i\vec{k}\cdot\vec{x} - i\omega t})$$

$$- \frac{\partial}{\partial m} \nabla \left( \text{Re} \hat{Q} e^{i\vec{k}\cdot\vec{x} - i\omega t} \right), \quad \frac{\partial}{\partial v} f_0(y) = 0$$

$$\text{Re} \left[ (-i\omega + i\vec{k}\cdot\vec{v}) \hat{f} - \frac{\partial}{\partial m} i \hat{Q} \vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0 \right] = 0$$

$$(-i\omega + i\vec{k}\cdot\vec{v}) \hat{f} - \frac{\partial}{\partial m} \hat{Q} i\vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0 = 0$$

$$\hat{f} = \frac{\frac{\partial}{\partial m} \hat{Q} i\vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0}{-i\omega + i\vec{k}\cdot\vec{v}}$$

$$\hat{f} = -\frac{\partial}{\partial m} \hat{Q} \frac{1}{\omega - \vec{k}\cdot\vec{v}} \vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0$$

$\omega - \vec{k}\cdot\vec{v}$  = frequency seen by moving particles.

Need to calculate the charge perturbation and put into Poisson's Eqn to calculate  $Q_1$ .

$$e_1 = \text{Re}(\hat{e} e^{i\vec{k}\cdot\vec{x} - i\omega t})$$

$$\hat{e}_1 = \frac{1}{i\epsilon} \int d\vec{y} \hat{e}_{e,i} \quad \nabla \cdot \hat{E}_1 = 4\pi e_1$$

$$-\nabla^2 Q_1 = 4\pi e_1 \quad \Rightarrow \quad +k^2 \hat{Q} = 4\pi \hat{e}$$

$$\vec{p} = - \frac{1}{i\epsilon} \sum_{\nu} \int d\nu \frac{4\pi q_{\nu}^2}{m_{\nu}} \frac{\hat{\epsilon} \cdot \vec{k} \frac{\partial}{\partial \nu} f_0}{\omega - \vec{k} \cdot \vec{v}} f_0$$

$$+ k^2 \hat{\epsilon} = - \sum_{\nu} \int d\nu \frac{4\pi q_{\nu}^2}{m_{\nu}} \frac{\vec{k} \cdot \frac{\partial}{\partial \nu} f_0}{\omega - \vec{k} \cdot \vec{v}} \hat{\epsilon}$$

$$k^2 \left( 1 + \sum_{\nu} \frac{4\pi q_{\nu}^2}{\epsilon_{\nu} k^2 m_{\nu}} \int d\nu \frac{1}{\omega - \vec{k} \cdot \vec{v}} \vec{k} \cdot \frac{\partial}{\partial \nu} f_0 \right) \hat{\epsilon} = 0$$

$\epsilon(k, \omega)$

$$\epsilon = 1 + \sum_{\nu} \frac{4\pi q_{\nu}^2}{\epsilon_{\nu} k^2 m_{\nu}} \int d\nu \frac{1}{\omega - \vec{k} \cdot \vec{v}} \vec{k} \cdot \frac{\partial}{\partial \nu} f_0$$

dielectric function in warm plasma

First check to see that we obtain the cold plasma result

⇒ integrate by parts

$$\epsilon = 1 - \sum_{\nu} \frac{4\pi q_{\nu}^2}{\epsilon_{\nu} k^2 m_{\nu}} \int d\nu f_0 \vec{k} \cdot \frac{\partial}{\partial \nu} \frac{1}{\omega - \vec{k} \cdot \vec{v}}$$

$$\vec{k} \cdot \frac{\partial}{\partial \nu} \frac{1}{\omega - \vec{k} \cdot \vec{v}} = - \frac{\vec{k} \cdot \vec{v}}{(\omega - \vec{k} \cdot \vec{v})^2} \frac{\partial}{\partial \nu} (\omega - \vec{k} \cdot \vec{v}) = \frac{k^2}{(\omega - \vec{k} \cdot \vec{v})^2}$$

$$\vec{k} \cdot \frac{\partial}{\partial \nu} \vec{k} \cdot \vec{v} = k_i \frac{\partial}{\partial v_i} k_i v_i = k_i k_i \delta_{ij} = k_i^2 = k^2$$

(67)

$$\epsilon = 1 - \sum_i \frac{4\pi q_i^2}{m} \int d\mathbf{v} \frac{f_0}{(\omega - \mathbf{k} \cdot \mathbf{v})^2}$$

In cold plasma limit where  $k v_T \ll \omega$

$$\Rightarrow v_T \ll \frac{\omega}{k} = v_p$$

$\Rightarrow$  thermal velocity small compared with the phase speed of the wave

$$\epsilon = 1 - \sum_i \frac{4\pi q_i^2}{m} \int d\mathbf{v} f_0 \frac{1}{\omega^2}$$

$$= 1 - \sum_i \frac{4\pi n_{0i} q_i^2}{m} \frac{1}{\omega^2}$$

$$= 1 - \frac{\omega_{pe}^2}{\omega^2} - \frac{\omega_{pi}^2}{\omega^2} \quad \text{as before}$$

### Thermal corrections

Want to include thermal corrections to this dispersion relation.

$$\frac{1}{(\omega - \mathbf{k} \cdot \mathbf{v})^2} \approx \frac{1}{\omega^2} \left[ 1 + \frac{2\mathbf{k} \cdot \mathbf{v}}{\omega} + 3 \frac{(\mathbf{k} \cdot \mathbf{v})^2}{\omega^2} + \dots \right]$$

$$\int d\mathbf{v} \frac{f_0}{(\omega - \mathbf{k} \cdot \mathbf{v})^2} \approx \frac{1}{\omega^2} \left[ n_0 + \frac{0}{\omega} + \frac{3}{\omega^2} \int d\mathbf{v} (\mathbf{k} \cdot \mathbf{v})^2 f \right]$$

$$\frac{1}{2} m \int d\mathbf{v} (\mathbf{k} \cdot \mathbf{v})^2 f = \frac{1}{2} n_0 T k^2$$

$$\epsilon = 1 - \frac{4\pi e^2}{m} \frac{n_0}{\omega^2} \left( 1 + \frac{3k^2 T_e}{m_e \omega^2} \right)$$

$$= 1 - \frac{\omega_{pe}^2}{\omega^2} \left( 1 + \frac{3k^2 T_e}{m_e \omega^2} \right)$$

$$T_e = \frac{1}{2} m_e v_{te}^2$$

$$\epsilon = 1 - \frac{\omega_{pe}^2}{\omega^2} \left( 1 + \frac{3}{2} \frac{k^2 v_{te}^2}{\omega^2} \right)$$

Cold plasma assumption valid for

$$k v_{te} \ll \omega$$

Keep corrections as long as this is small

$$v_{te} \ll \frac{\omega}{k}$$

thermal velocity smaller than wave phase velocity.

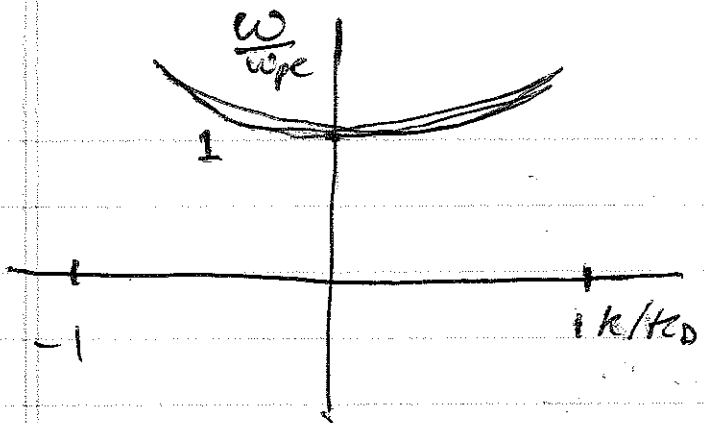
Solve for  $\omega$ :

$$\omega^2 = \omega_{pe}^2 \left( 1 + \frac{3}{2} \frac{k^2 v_{te}^2}{m_e \omega^2} \right)$$

lowest order  $\omega = \omega_{pe}$

$$\omega = \omega_{pe} \left( 1 + \frac{3}{2} \frac{k^2 T_e}{m_e \omega_{pe}^2} \right)$$

$$= \omega_{pe} \left( 1 + \frac{3}{2} \frac{k^2}{k_D^2} \right)$$



require  $k/k_D \ll 1$  for expansion to be valid.

Can we obtain this from fluid equations?

Fluid model  $\Rightarrow$  only electrons.

$$\frac{\partial n}{\partial t} + \nabla \cdot n \underline{u} = 0 \quad \nabla \cdot \underline{E} = +4\pi e (n_i - n_e)$$

$n_i = n_0$

$$m_e n \left( \frac{\partial \underline{u}}{\partial t} + \underline{u} \cdot \nabla \underline{u} \right) = -\nabla P + e n \underline{E}$$

$$\underline{E} = -\nabla \phi$$

$$\frac{\partial P}{\partial t} + \underline{u} \cdot \nabla P + \gamma_s P \nabla \cdot \underline{u} = 0$$

Equilibrium -  $P_0, n_0, \underline{u}_0 = 0$

$$P = P_0 + \text{Re} \left( \hat{P} e^{i k_x x - i \omega t} \right)$$

$$\underline{u} = \text{Re} \left( \underline{\hat{u}} e^{i k_x x - i \omega t} \right)$$

etc

$$-i\omega \hat{n} + n_0 i k \cdot \hat{u} = 0 \Rightarrow k \cdot \hat{u} = \omega \frac{\hat{n}}{n_0}$$

$$k_0 \left( -i\omega n_0 m_e \hat{u} = -ik \hat{P} + e n_0 i k \hat{\phi} \right)$$

$$-i\omega \hat{P} + \gamma_s P_0 i k \cdot \hat{u} = 0 \Rightarrow \hat{P} = \frac{P_0 k \cdot \hat{u}}{\omega} \gamma_s$$

$$k^2 \hat{\phi} = -4\pi e \hat{n} \qquad \hat{P} = \frac{P_0 \gamma_s}{n_0} \hat{n}$$

$$- \omega n_0 m_e \omega \frac{\hat{n}}{n_0} = - \cancel{\frac{1}{2}} k^2 \frac{P_0 \gamma_s}{n_0} \hat{n} + n_0 e k^2 \hat{\phi}$$

$$\omega^2 m_e \frac{\hat{n}}{n_0} = k^2 \frac{P_0 \gamma_s}{n_0} \frac{\hat{n}}{n_0} + e k^2 \left( + \frac{4\pi e \hat{n}}{4\pi} \right)$$

$$\omega^2 - \omega_{pe}^2 = \frac{k^2 T_e \gamma_s}{m_e}$$

$$\epsilon = 1 - \frac{\omega_{pe}^2}{\omega^2} - \frac{k^2 T_e \gamma_s}{m_e \omega^2}$$

$$\epsilon \approx 1 - \frac{\omega_{pe}^2}{\omega^2} \left( 1 + \frac{k^2 T_e \gamma_s}{m_e \omega^2} \right) \quad \text{fluid}$$

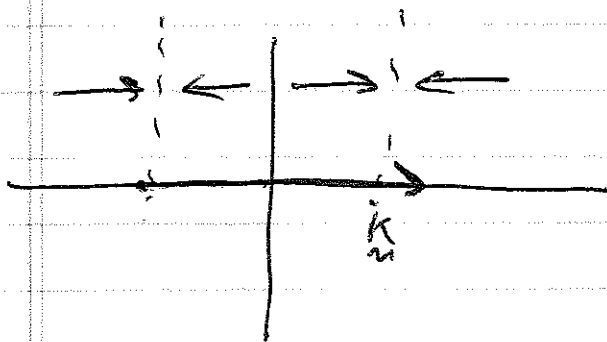
$$\epsilon = 1 - \frac{\omega_{pe}^2}{\omega^2} \left( 1 + 3 \frac{k^2 T_e}{m_e \omega^2} \right) \quad \text{kinetic}$$

⇒ same result for  $\gamma_s = \frac{n_e + 2}{n_e} = 3$

$$\Rightarrow \boxed{n_e = 1}$$



Why  $n_e = 1$ ?



oscillatory motion compresses plasma along  $k$ .

$\Rightarrow$  increases internal energy in  $x$  direction

$\Rightarrow$  not transverse

$$\Rightarrow \frac{1}{2} m_e \Delta v_x^2$$

In a fluid model with  $n_e = 3$ , any increase in  $\frac{1}{2} m_e \Delta v_x^2$  spreads to  $\frac{1}{2} m_e \Delta v_y^2$ ,  $\frac{1}{2} m_e \Delta v_z^2$

### Landau Damping

Need to address the question of what happens at the singularity where

$$\omega = \mathbf{k} \cdot \mathbf{v}$$

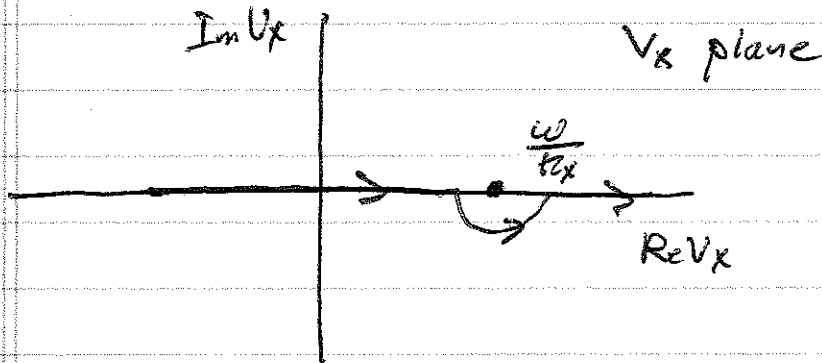
$$\epsilon = 1 + \frac{\omega_{pe}^2}{\omega_0 k^2} \int d\mathbf{v} \frac{1}{\omega - \mathbf{k} \cdot \mathbf{v}} \mathbf{k} \cdot \frac{\partial f_0}{\partial \mathbf{v}}$$

$\Rightarrow$  where does the velocity space integral go with respect to the singularity?

$\Rightarrow$  must think about the velocity space integral as an integral in the complex plane

In case with  $k = k_x$

$$\epsilon = 1 + \frac{\omega p_e^2}{n_0 k_x^2} \int_{-\infty}^{\infty} \frac{dV_x}{\omega - k_x V_x} k_x \frac{\partial}{\partial V_x} f_0$$



⇒ must integrate under the singularity

⇒  $\epsilon$  is complex

⇒ damping of plasma waves

⇒  $\epsilon_N$  is defined for  $\text{Im} \omega > 0$

To show that this is correct need to go back to the VE and do a more careful solution using Laplace transforms.

Landau damping:

Want to solve the Vlasov / Poisson equations by carrying out Fourier transforms in space and Laplace transform in time.

⇒ linearise ⇒ introduces direction

$$\frac{\partial}{\partial t} f_1 + v \cdot \nabla f_1 + \frac{e}{m} E_1 \cdot \frac{\partial}{\partial v} f_0 = 0$$

in time into the problem

$$\nabla \cdot E_1 = -4\pi e n_1 \quad E_1 = -\nabla \phi_1$$

$$\frac{\partial}{\partial t} f_1 + v \cdot \nabla f_1 + \frac{e}{m} \nabla \phi_1 \cdot \frac{\partial}{\partial v} f_0 = 0$$

$$\nabla^2 \phi_1 = 4\pi e n_1$$

⇒ do FT

$$\int d\underline{x} e^{-i\mathbf{k} \cdot \underline{x}} ( ) = 0$$

$$\frac{\partial}{\partial t} f_{1k} + i\mathbf{k} \cdot \mathbf{v} f_{1k} + \frac{e}{m} \phi_{1k} i\mathbf{k} \cdot \frac{\partial}{\partial \mathbf{v}} f_0 = 0$$

$$-k^2 \phi_{1k} = 4\pi e n_{1k}$$

Carry out Laplace transform.

$$g(\omega) = \int_0^\infty dt g(t) e^{i\omega t}$$

where  $\text{Im} \omega$  must be sufficiently large so that the integral converges

$$g(t) e^{i\omega t} \rightarrow 0$$

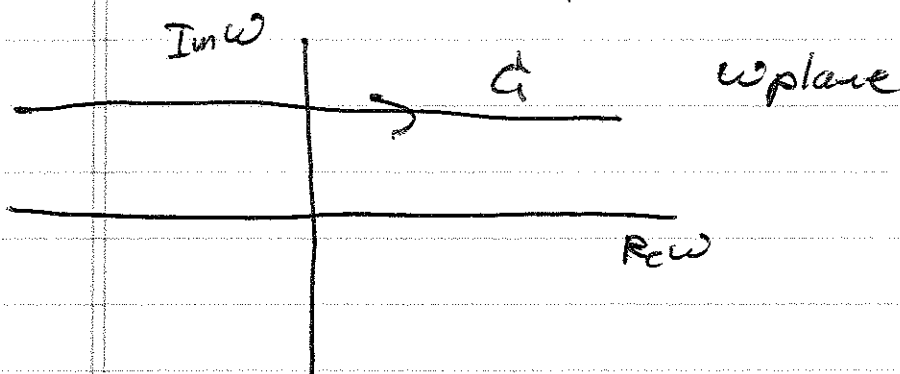
(74)

$\Rightarrow$  If  $g$  has exponentially growing roots  $\gamma$  must have

$$\text{Im } \omega > \gamma$$

Inverse transform.

$$g(t) = \int_{\mathcal{C}} \frac{d\omega}{2\pi} g(\omega) e^{-i\omega t}$$



$\mathcal{C}$  must lie above all singularities of  $g(\omega)$ .

Carry out the transform of the VE

$$\begin{aligned} \int_0^{\infty} dt e^{i\omega t} \frac{df_{ik}}{dt} &= \cancel{f_{ik}(t=\infty)} f_{ik}(t=0) - i\omega \int_0^{\infty} dt e^{i\omega t} f_{ik}(t) \\ &= f_{ik}(t=0) - i\omega f_{ik\omega} \end{aligned}$$

$$-(\omega - k \cdot v) f_{ik\omega} = -\frac{e}{m} \alpha_{ik} i k \cdot \frac{\partial}{\partial v} f_0 + f_{ik}(t=0)$$

$$k^2 \alpha_{ik\omega} = -4\pi e \int dv \frac{\partial}{\partial v} f_{ik\omega}$$

Substituting  $f_{1k}$  into P.E. yields.

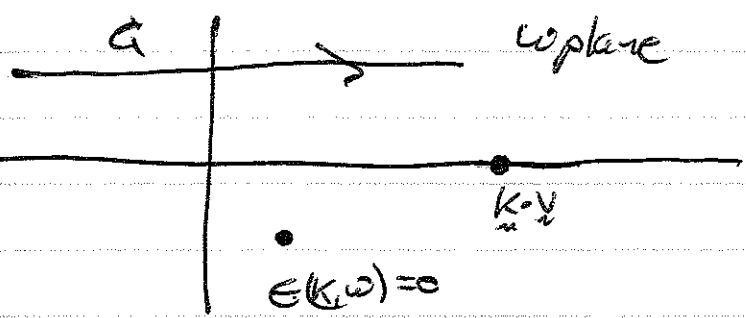
$$k^2 \epsilon(k, \omega) Q_{1k\omega} = -4\pi e i \int d\underline{y} \frac{k \cdot \frac{\partial}{\partial \underline{y}} f_0}{\omega - k \cdot \underline{v}}$$

$$\epsilon(k, \omega) = 1 + \frac{4\pi \lambda e^2}{v_0 k^2} \int d\underline{y} \frac{k \cdot \frac{\partial}{\partial \underline{y}} f_0}{\omega - k \cdot \underline{v}}$$

Carrying out the inverse transform

$$Q_{1k}(t) = - \int \frac{d\underline{\omega}}{2\pi} 4\pi e i \int d\underline{y} \frac{f_{1k}(t=0) e^{-i\underline{\omega}t}}{(\omega - k \cdot \underline{v}) k^2 \epsilon(k, \omega)}$$

skip



For  $t < 0$  close contour in UHP but no singularities so find  $Q_{1k}(t) = 0$ .

For  $t > 0$  close in LHP and pick up contribution from two singularities.

$$\omega = k \cdot \underline{v}, \quad \epsilon(k, \omega) = 0$$

$\Rightarrow -2\pi i$  times residue

t > 0

$$Q_{ik}(t) = -\frac{4\pi e}{k^2} \left[ \frac{e^{-i\omega_0 t}}{\frac{\partial \epsilon}{\partial \omega_0}} \int d\mathbf{v} \frac{f_{ik}(t=0)}{\omega_0 - \mathbf{k} \cdot \mathbf{v}} + \int d\mathbf{v} \frac{e^{-i\mathbf{k} \cdot \mathbf{v} t}}{\epsilon(\mathbf{k}, \mathbf{k} \cdot \mathbf{v})} f_{ik}(t=0) \right]$$

Step 1

The first term is the natural mode of the system which evolves from the initial perturbation. The second arises from the free streaming of the particles. It is not a normal mode since  $\epsilon(\mathbf{k}, \mathbf{k} \cdot \mathbf{v}) \neq 0$ . For large t the rapid oscillations of  $\exp(-i\mathbf{k} \cdot \mathbf{v} t)$  as the velocity integral is carried out cause this term to be small  $\Rightarrow$  neglect this.

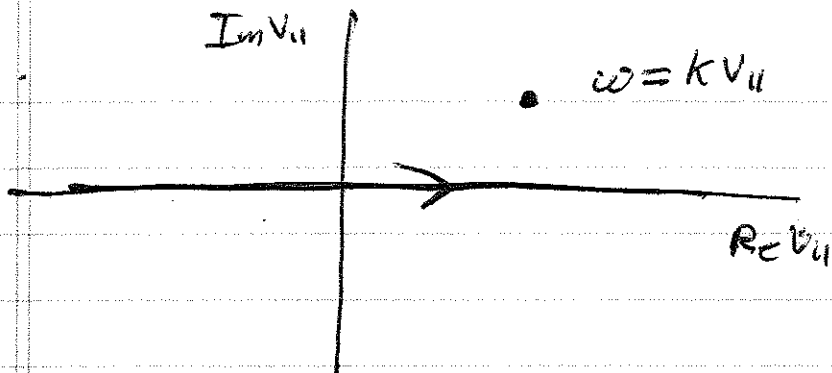
Lets focus on the normal mode of the system. Go back to  $\epsilon(\mathbf{k}, \omega)$

$$\epsilon(\mathbf{k}, \omega) = 1 + \frac{\omega_p^2}{n_0 k^2} \int d\mathbf{v} \frac{\mathbf{k} \cdot \frac{\partial f}{\partial \mathbf{v}}}{\omega - \mathbf{k} \cdot \mathbf{v}}$$

Remember that  $\epsilon$  is defined with  $\text{Im } \omega$  above all singularities. In velocity space have singularity when ~~lets~~

$$\omega = \mathbf{k} \cdot \mathbf{v} \equiv k v_{||}$$

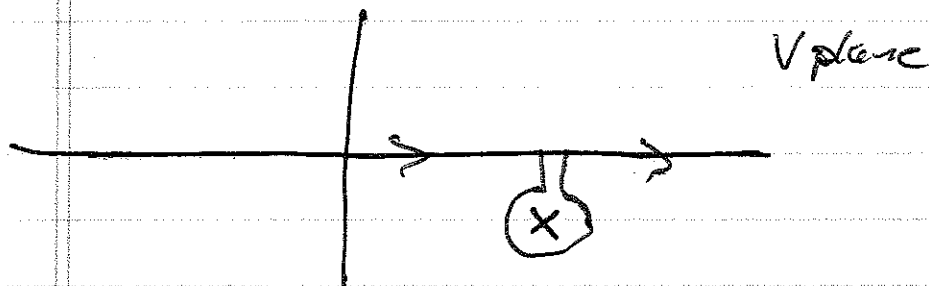
57



take  $k > 0$

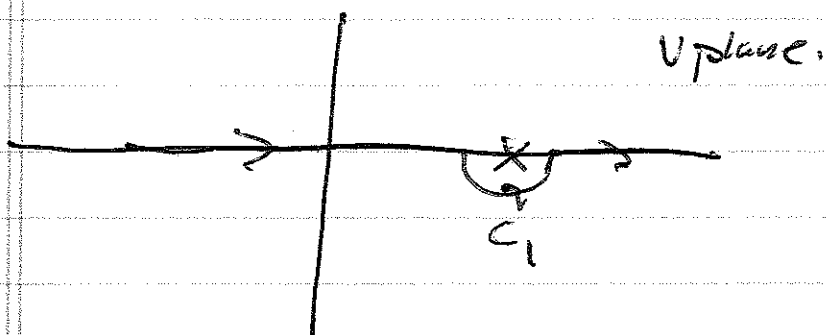
If the root of  $E(k, \omega_0)$  has  $\text{Im } \omega_0 > 0$   
 then leave contour as is, growing mode

If  $\text{Im } \omega_0 < 0$  need to be careful.  
 Need to analytically continue  $E$  into  
 the lower half plane



$\Rightarrow$  contour stays below singularity

If  $\text{Im } \omega_0 < 0$  then have



For  $\text{Im } \omega \approx 0$  can separate semi-circle from remaining integral

$$\epsilon(k, \omega) = 1 + \frac{\omega_p^2}{\omega_0 k^2} \left[ P \int dv \frac{k \cdot \frac{\partial f_0}{\partial v}}{\omega - kv} \right]$$

$$\begin{aligned} \int_{C_1} dv \frac{k \cdot \frac{\partial f_0}{\partial v}}{\omega - kv} &= - \int_{C_1} dv_{\perp} dv_{\parallel} \frac{\partial f_0}{\partial v_{\parallel}} \frac{1}{v_{\parallel} - \frac{\omega}{k}} \\ &= -i\pi \int dv_{\perp} \frac{\partial f_0}{\partial v_{\parallel}} \Big|_{v_{\parallel} = \frac{\omega}{k}} \end{aligned}$$

$$\epsilon(k, \omega) = 1 + \frac{\omega_p^2}{\omega_0 k^2} \left[ P \int dv \frac{k \cdot \frac{\partial f_0}{\partial v}}{\omega - kv} - \frac{i\pi k}{|k|} \int dv_{\perp} \frac{\partial f_0}{\partial v_{\parallel}} \right]$$

$\frac{k}{|k|}$  changes sign if  $k < 0$ .

$\Rightarrow$  same prescription  $\text{Im } \omega > 0$ .

Assume have a solution  $\omega_0$  with  $\frac{\omega_0}{k} \gg v_{te}$

$$\begin{aligned} &P \int dv \frac{k \cdot \frac{\partial f_0}{\partial v}}{\omega - kv} \quad \text{integrate by parts ignoring resonant particles} \\ &= - \int dv \frac{\partial f_0}{\partial v} k \cdot \frac{\partial}{\partial v} \frac{1}{\omega - kv} \\ &= - \int dv \frac{\partial f_0}{\partial v} k^2 \frac{1}{(\omega - kv)^2} \approx - \frac{k^2 n_0}{\omega^2} \end{aligned}$$



$$\epsilon = 1 + \frac{\omega_{pe}^2}{\omega_0^2} \left[ -\frac{k^2 n_0}{\omega^2} - i\pi \frac{k}{|k|} \frac{\partial f_0(v_{||})}{\partial v_{||}} \Big|_{\frac{\omega}{k}} \right]$$

$$= 1 - \frac{\omega_{pe}^2}{\omega^2} - i\pi \frac{k}{|k|} \frac{1}{\omega^2} \frac{\omega_{pe}^2}{v_e} \frac{\partial f_0(v_{||})}{\partial v_{||}} \Big|_{\frac{\omega}{k}}$$

$$= \epsilon_R + i \epsilon_I$$

Least term

$$\sim \frac{1}{k^2} \omega_{pe}^2 \frac{v_p}{v_{te}^2} e^{-\frac{v_p^2}{v_{te}^2}}$$

$$\sim \frac{v_p^3}{v_{te}^3} e^{-\frac{v_p^2}{v_{te}^2}} \ll 1$$

since  $v_p \gg v_{te}$

Can write

$$\omega = \omega_0 + s\omega$$

lowest order

~~$$\epsilon = \epsilon_0 + \epsilon_1 + \dots$$~~

$$\epsilon_0 = \epsilon_R(\omega_0) = 1 - \frac{\omega_{pe}^2}{\omega_0^2} = 0 \quad \omega_0 = \omega_{pe}$$

~~$$\epsilon_1 = +2 \frac{\omega_{pe}^2}{\omega_0^3}$$~~

$$\epsilon_1 = \frac{\partial \epsilon_0}{\partial \omega_0} s\omega + i \epsilon_I$$

$$s\omega = \frac{-i \epsilon_I}{\partial \epsilon_R / \partial \omega_0}$$

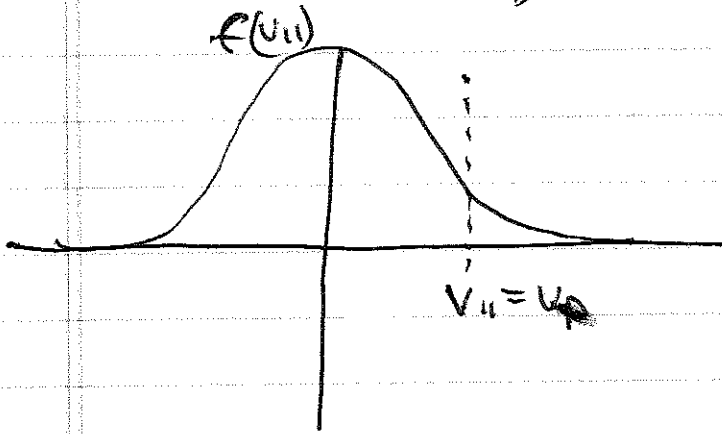
~~80~~

$$\frac{\partial \epsilon_0}{\partial \omega_0} = \frac{2 \omega_{pe}^2}{\omega_0^3} = \frac{2}{\omega_{pe}}$$

$$s\omega = + i \frac{\omega_{pe}^2}{2} (\pm) \pi \frac{k}{|k|} \frac{1}{\omega^2} \frac{\omega_{pe}^2}{v_{th}} \frac{df_0}{dv_{th}} \Big|_{v_p}$$

$$= i \frac{\pi}{2} \omega_{pe} \frac{k}{|k|} \frac{v_p^2}{n_0} \frac{df_0}{dv_{th}} \Big|_{v_p}$$

wave is damped if slope is negative.



Damping occurs because particles with  $v_{th} \approx v_p$  see a nearly DC electric field. Particles slightly slower than the wave, will gain energy from the wave while those slightly faster than the wave will slow down, and give energy to the wave. More particles slower so wave damps.

# The Plasma Dispersion Function

It is useful to define a standard function that ~~describes the kinetic dispersion~~ can be used to represent the kinetic plasma dispersion relation for a Maxwellian distribution.

$$\epsilon(k, \omega) = 1 + \frac{4\pi e^2}{m\omega^2} \int d\mathbf{u} \frac{k \cdot \frac{\partial}{\partial \mathbf{u}} f_0}{\omega - k \cdot \mathbf{u}}$$

Let  $k_{\parallel} = k \hat{z}$

$$f_0 = \frac{n_0}{(2\pi T/m)^{3/2}} e^{-\frac{1}{2}m(v_{\perp}^2 + v_z^2)/T}$$

$$k \cdot \frac{\partial}{\partial \mathbf{u}} f_0 = -\frac{v_z k_{\parallel} m}{T} f_0$$

⇒ do  $v_{\perp}$  integration

$$\epsilon(k, \omega) = 1 + \frac{4\pi e^2 n_0}{\omega^2} \frac{1}{(2\pi T/m)^{1/2}} \int dv_z \frac{k v_z}{T} \frac{e^{-\frac{v_z^2}{2T}}}{\omega - k v_z}$$

$$\frac{1}{2} m v_z^2 = T$$

$$\epsilon = 1 - \frac{4\pi n_0 e^2}{T k^2} \int \frac{dv_z}{\sqrt{\pi} v_z} \frac{k v_z - \omega + i\omega}{\omega - k v_z} e^{-\frac{v_z^2}{2T}}$$

$$= 1 + \frac{k_D^2}{k^2} \left[ 1 + \int \frac{dv_z}{\sqrt{\pi} v_z} \frac{e^{-\frac{v_z^2}{2T}}}{k v_z - \omega} \omega \right]$$

normalize  $v_z \Rightarrow s = v_z/v_t, \xi = \frac{\omega}{k v_t}$

$$\epsilon = 1 + \frac{k_D^2}{k^2} \left[ 1 + \xi \int_{-\infty}^{\infty} \frac{ds}{\sqrt{\pi}} \frac{e^{-s^2}}{s - \xi} \right]$$

Define  $Z(\xi) = \int_{-\infty}^{\infty} \frac{ds}{\sqrt{\pi}} \frac{e^{-s^2}}{s - \xi}$

defined for  $\text{Im} \xi > 0$   
 $\Rightarrow$  plasma dispersion function.

Differential equation:

$$Z' = \int_{-\infty}^{\infty} \frac{ds}{\sqrt{\pi}} \frac{e^{-s^2}}{(s - \xi)^2} = -2(1 + \xi Z(\xi))$$

HWK

$$\epsilon = 1 - \frac{k_D^2}{2k^2} Z' \left( \frac{\omega}{k v_t} \right)$$

Large argument  $\xi \gg 1$

$$\frac{1}{s - \xi} = -\frac{1}{\xi - s} = -\frac{1}{\xi} \left( 1 + \frac{s}{\xi} + \frac{s^2}{\xi^2} + \dots \right)$$

$$Z(\xi) = - \int_{-\infty}^{\infty} \frac{ds}{\sqrt{\pi}} \frac{e^{-s^2}}{\xi} \left( 1 + \frac{s}{\xi} + \frac{s^2}{\xi^2} + \dots \right)$$

$$= -\frac{1}{\xi} \left[ 1 + \frac{1}{2\xi^2} + \frac{3}{4\xi^4} \right]$$

$$Z'(\xi) = \frac{1}{\xi^2} + \frac{3}{2} \frac{1}{\xi^4}$$

$$\epsilon = 1 - \left[ 1 + \frac{1}{3} \frac{k^2 v_e^2}{\omega^2} + \frac{3}{4} \left( \frac{k^2 v_e^2}{\omega^2} \right)^2 \right]$$

$$\epsilon = 1 - \frac{1}{3} \frac{k^2 v_e^2}{\omega^2} + \frac{3}{4} \left( \frac{k^2 v_e^2}{\omega^2} \right)^2$$

$$\epsilon = 1 - \frac{4\pi n e^2}{k k^2 m \omega^2} \left[ 1 + \frac{3}{2} \frac{k^2 v_e^2}{\omega^2} \right]$$

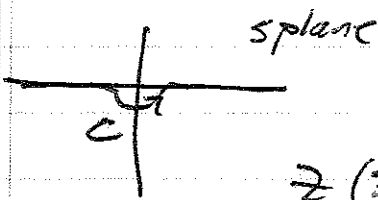
$$\epsilon = 1 - \frac{\omega_{pe}^2}{\omega^2} \left( 1 + \frac{3k^2 T}{m \omega^2} \right)$$

⇒ as before

Small argument

$$\epsilon(\xi) = \epsilon(0) + \epsilon'(0) \xi + \dots$$

$$\epsilon(0) = \int_{-\infty}^{\infty} \frac{ds}{\sqrt{\pi}} \frac{e^{-s^2}}{s} = \frac{i\pi}{\sqrt{\pi}} = i\sqrt{\pi}$$



$$\epsilon(0) \approx -2$$

$$\epsilon(\xi) = i\sqrt{\pi} - 2\xi$$

$$\text{Im } \epsilon \text{ for real } \xi = \sqrt{\pi} e^{-\xi^2}$$

$$\epsilon = 1 - \frac{k_D^2}{2k^2} (-2) = 1 + \frac{k_D^2}{k^2}$$

⇒ electron Boltzmann response

⇒ shielding.

## Wave energy

Want to discuss Landau damping, in terms of energy transfer but first need to discuss how a wave carries energy in a plasma. Go back to the Vlasov equation.

$$\left( \frac{\partial}{\partial t} + v \cdot \nabla + \frac{q}{m} \mathbf{E} \cdot \frac{\partial}{\partial \mathbf{v}} \right) f = 0$$

~~Have solved for the first order value~~

Generally ~~we~~ can write  $f$  as a power series in  $E$

$$f = f_0 + f_1 + f_2$$

To find the change in energy need to go to the second order

$$\frac{\partial}{\partial t} f_2 + v \cdot \nabla f_2 + \frac{q}{m} \mathbf{E} \cdot \frac{\partial}{\partial \mathbf{v}} f_1 = 0$$

Do a space average.  $\langle \rangle = \int d^3s \frac{1}{L^3}$

$$\frac{\partial}{\partial t} \langle f_2 \rangle + \frac{q}{m} \langle \mathbf{E} \cdot \frac{\partial}{\partial \mathbf{v}} f_1 \rangle = 0$$

Calculate energy change

$$\int d^3v \frac{1}{2} m v^2 ( )$$

$$\dot{\omega}_p + \frac{g}{m} \langle \int dV \frac{1}{2} m v^2 \mathbf{E} \cdot \frac{\partial}{\partial \mathbf{y}} \mathbf{f}_1 \rangle = 0$$

$$\omega_p = \int dV \frac{1}{2} m v^2 \mathbf{f}_2$$

Integrate by parts with  $\mathbf{E} = -\nabla \phi$

$$\dot{\omega}_p + g \langle \int dV \mathbf{f}_1 \cdot \nabla \phi \rangle = 0$$

$$\dot{\omega}_p = -g \int \frac{d\mathbf{x}}{L^3} \int dV \mathbf{f}_1 \cdot \nabla \phi$$

$$\mathbf{f}_1 = - \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{x}} e^{-i\omega_{\mathbf{k}} t} \frac{g}{m} \frac{\partial}{\partial \mathbf{y}} \mathbf{f}_0$$

$$\nabla \phi = \sum_{\mathbf{k}'} i\mathbf{k}' \cdot \mathbf{x} a_{\mathbf{k}'} e^{i\mathbf{k}' \cdot \mathbf{x}} e^{-i\omega_{\mathbf{k}'} t}$$

$$\dot{\omega}_p = i \frac{g^2}{m} \int \frac{d\mathbf{x}}{L^3} \int dV \sum_{\mathbf{k}, \mathbf{k}'} e^{i(\mathbf{k} + \mathbf{k}') \cdot \mathbf{x}} e^{-i(\omega_{\mathbf{k}} + \omega_{\mathbf{k}'}) t} \frac{\mathbf{k}' \cdot \mathbf{y} \frac{\partial}{\partial \mathbf{y}} \mathbf{f}_0}{\omega_{\mathbf{k}} - \mathbf{k} \cdot \mathbf{v}}$$

(X)  $a_{\mathbf{k}} a_{\mathbf{k}'}$

$$\int d\mathbf{x} e^{i(\mathbf{k} + \mathbf{k}') \cdot \mathbf{x}} = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') \sum_{\mathbf{k}'} \left(\frac{2\pi}{L}\right)^3 \equiv \int d\mathbf{k}'$$

$$\dot{\omega}_p = -i \frac{g^2}{m} \int dV \sum_{\mathbf{k}} e^{-i(\omega_{\mathbf{k}} + \omega_{-\mathbf{k}}) t} \frac{\mathbf{k} \cdot \mathbf{v} - \omega_{\mathbf{k}} \omega_{-\mathbf{k}}}{\omega_{\mathbf{k}} - \mathbf{k} \cdot \mathbf{v}} \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{y}} \mathbf{f}_0$$

Sum over  $\mathbf{k}$

(X)  $a_{\mathbf{k}} a_{-\mathbf{k}}$

$$\psi(x,t) = a_k e^{i(kx - \omega_k t)} + a_{-k} e^{-i(kx - \omega_{-k} t)}$$

$$\omega_{-k} = -\omega_k^* \Rightarrow \text{real } \psi(x,t)$$

$$a_{-k} = a_k^*$$

$$\dot{\psi}_p = -i \frac{\partial^2}{m} \int a_k \frac{\omega_k}{k} \frac{k \cdot \frac{\partial}{\partial x}}{\omega_k - k \cdot v} \psi_0$$

$$\otimes e^{-i(\omega_k - \omega_k^*)t} |a_k|^2$$

$$\omega_k = \omega_R + i\delta_k$$

$$\omega_k - \omega_k^* = 2i\delta_k$$

$$e^{2\delta_k t} |a_k|^2 = \frac{|E_k|^2(t)}{k^2}$$

$$\dot{\psi}_p = -i \frac{\partial^2}{m} \int a_k \frac{\omega_k}{k} \frac{k \cdot \frac{\partial}{\partial x}}{\omega_k - k \cdot v} \psi_0 \frac{|E_k|^2}{k^2}$$

$$\epsilon = 1 + 4\pi X$$

$$\frac{\partial X}{\partial \omega} = \int a_k \frac{k \cdot \frac{\partial}{\partial x}}{\omega_k - k \cdot v} \frac{\partial^2}{m} \frac{1}{k^2}$$

$$\dot{\psi}_p = -i \frac{\omega_k X_{\delta k}(k)}{k} \frac{|E_k|^2}{k^2}$$

$$\omega_k X_{\delta k} = -\omega_k^* X_{\delta k}^* = -(\omega_R - i\delta_k)(X_{\delta R} - iX_{\delta I})$$

Only Im part of  $\omega_k X_{\delta k}$  survives

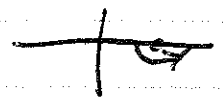
$$\text{Im}(\omega_k X_{\delta k}) = \frac{1}{2} \text{Im} \left[ \frac{\partial(\omega_k X_{\delta k})}{\partial \omega} \Big|_{\omega_k} i\delta_k + \omega_R i X_{\delta I} \right]$$

see  
next  
page.



$$X_{\#}(k) = \sum_{n} S_{\perp} \frac{k_0 \frac{2}{S_{\perp}} f_0}{\omega_k - k_0 v_{\perp}} \frac{\sigma^2}{m k^2}$$

$$= \sum_{n} S_{\perp} S_{\perp} \frac{k \frac{2}{S_{\perp}} f_0}{\omega_k - k v_{\perp}} \frac{\sigma^2}{m k^2}$$



$$X_{\#} = - \sum_{n} S_{\perp} S_{\perp} \frac{\frac{2}{S_{\perp}} f_0}{v_{\perp} - \frac{\omega_k}{k}} \frac{\sigma^2}{m k^2}$$

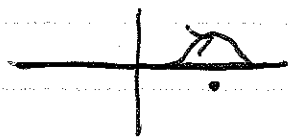
$$\text{Im } X_{\#} = - \sum_{n} S_{\perp} S_{\perp} \frac{\sigma^2}{m k^2} \frac{\frac{2}{S_{\perp}} f_0}{v_p} \frac{1}{\pi} \pi \quad v_p = \frac{\omega_k}{k} + \frac{\partial X_{\#}}{\partial \omega} \Big|_{\omega_k}$$

$$X_{\#}(k) = - \sum_{n} S_{\perp} S_{\perp} \frac{\frac{2}{S_{\perp}} f_0}{v_{\perp} + \frac{\omega_k}{k}} \frac{\sigma^2}{m k^2}$$

$$\omega_{-k} = -\omega_k^*$$

$$= - \sum_{n} S_{\perp} S_{\perp} \frac{\frac{2}{S_{\perp}} f_0}{v_{\perp} - \frac{\omega_k^*}{k}} \frac{\sigma^2}{m k^2}$$

Im  $X_{\#}(k)$



$$= - \sum_{n} S_{\perp} S_{\perp} \frac{\frac{2}{S_{\perp}} f_0}{v_p} \frac{\sigma^2}{m k^2} (-\pi) + \frac{\partial X_{\#}}{\partial \omega} \Big|_{\omega_k} (-\delta_k) = - \text{Im } X_{\#}(k)$$

~~Re  $X_{\#}(k)$~~       ~~Re  $X_{\#}(k)$~~

$$\text{Re } X_{\#}(k) = \text{Re } X_{\#}(k) \Rightarrow X_{\#}(k) = X_{\#}(k)^*$$

$$\dot{\omega}_p = \frac{\omega_p^2}{k} \left[ \frac{\partial}{\partial \omega_k} (\omega_k \chi_e) \frac{2\gamma_k}{\omega_k} + 2\omega_R \chi_{eI} \right] \frac{|E_k|^2}{8\pi}$$

now include the ~~total~~ electric field energy

$$\dot{\omega}_E = 2\gamma_k \frac{|E_k|^2}{8\pi} = 2\gamma_k \frac{|E_k|^2}{8\pi} \frac{\omega_k}{\omega_k}$$

$$\epsilon = 1 + 4\pi \chi$$

$$\dot{\omega} = \frac{\omega_p^2}{k} \left[ \underbrace{\frac{\partial}{\partial \omega_k} (\omega_k \epsilon)}_{\text{change of wave energy}} 2\gamma_k + 2\omega_R \underbrace{\chi_{eI}}_{\text{change of resonant particle energy}} \right] \frac{|E_k|^2}{8\pi}$$

$$\omega_{\text{wave}} = \frac{|E_k|^2}{8\pi} \frac{\partial}{\partial \omega_k} (\omega_k \epsilon)$$

for plasma waves

$$\begin{aligned} \epsilon &= 1 - \frac{\omega_{pe}^2}{\omega^2} & \frac{\partial}{\partial \omega_k} (\omega_k \epsilon) &= \frac{\partial}{\partial \omega_k} \omega_k \left( 1 - \frac{\omega_{pe}^2}{\omega_k^2} \right) \\ & & &= 1 + \frac{\omega_{pe}^2}{\omega_k^3} \omega_k = 2 \end{aligned}$$

$$\omega_{\text{wave}} = \frac{|E_k|^2}{8\pi} 2$$

⇒ equally split between electric field and sloshing particles