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# Introduction (see Fitzpatrick)

## The plasma state - basic parameters.

what is a plasma?

\* a collection of charged particles  
that interact dominantly through  
the Coulomb and magnetic forces.

### examples

Space: Interstellar gas  
Stellar and solar coronae  
Planetary magnetic spheres  
Ionosphere  
magnetic spheres of compact  
objects

### Earth: Flames

Material processing reactors - chips  
Light sources  
fusion experiments  
charged particles beams - accelerators  
laser-plasma

⇒ most of the observable universe  
is in the plasma state.

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## \* Characteristics

⇒ typically less dense than condensed matter

⇒ typically hotter than room temperature.

⇒ atoms must be ionized.

⇒ like a gas

⇒ mobile charge carriers

⇒ good conduction

## Basic parameters and units (cgs-esu)

(plasma formality)

density:  $N_{ei}$   $\text{cm}^{-3}$  e-electrons  
 $i$ -ions

# of particles /  $\text{m}^3$

charge: stat coulomb

$$1 \text{ stat C} = 3 \times 10^9 \text{ C}$$

$$e = 4.8032 \times 10^{-10} \text{ stat C}$$

⇒ force

$$|F_{12}| = \frac{q_1 q_2}{r_{12}^2} \sim \text{dynes}$$

energy  $\sim$  ergs

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## Maxwell's Eqs (esu)

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \cdot \mathbf{E} = 4\pi e \quad \rho = \frac{\text{charge}}{\text{vol}}$$

$$\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} + \nabla \times \mathbf{E}_m = 0$$

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J} + \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t}$$

$$c = 3 \times 10^8 \text{ m/s}$$

$\mathbf{B}$  ~ magnetic field ~ gauss

1 tesla =  $10^4$  gauss

$\mathbf{E}$  ~ electric field ~ statvolts/cm

$E \sim B \sim$  same units

$$\mathbf{F} = q (\mathbf{E} + \frac{1}{c} \mathbf{v} \times \mathbf{B})$$

$$e = 4.8032 \times 10^{-10} \text{ esu}$$

Potential:  $Q \sim \text{statvolts}$

1 statvolt =  $\frac{1}{3} \times 10^{-2}$  volts

Temperature e.g., energy per degree of freedom in ~~equl.~~

$$U = \frac{1}{2} kT \quad \text{thermal equil.}$$

$\mathcal{G}$   $\uparrow \uparrow$   $OK$   
ergs  $\downarrow$   
Boltzmann's const.  $\frac{\text{ergs}}{\text{vol}}$

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$\Rightarrow$  express  $T$  in units of energy.

$$kT \rightarrow T(\text{eV})$$

$$\mathcal{U} = \frac{1}{2} kT$$

$\Rightarrow$  usually measure  $T$  in eV (electron volts)

$$1\text{eV} = 1.6022 \times 10^{-19} \text{J} = 1.6022 \times 10^{-12} \text{eV}\text{esu}$$

$$= 1.16 \times 10^4 \text{ K}$$

$$1\text{eV} \approx 10^4 \text{ K}$$

Show table of typical plasma parameters  
for ~~various~~ various plasmas

**APPROXIMATE MAGNITUDES  
IN SOME TYPICAL PLASMAS**

Plasma Type	$n \text{ cm}^{-3}$	$T \text{ eV}$	$\omega_{pe} \text{ sec}^{-1}$	$\lambda_D \text{ cm}$	$n\lambda_D^3$	$\nu_{ei} \text{ sec}^{-1}$
Interstellar gas	1	1	$6 \times 10^4$	$7 \times 10^2$	$4 \times 10^8$	$7 \times 10^{-5}$
Gaseous nebula	$10^3$	1	$2 \times 10^6$	20	$8 \times 10^6$	$6 \times 10^{-2}$
Solar Corona	$10^9$	$10^2$	$2 \times 10^9$	$2 \times 10^{-1}$	$8 \times 10^6$	60
Diffuse hot plasma	$10^{12}$	$10^2$	$6 \times 10^{10}$	$7 \times 10^{-3}$	$4 \times 10^5$	40
Solar atmosphere, gas discharge	$10^{14}$	1	$6 \times 10^{11}$	$7 \times 10^{-5}$	40	$2 \times 10^9$
Warm plasma	$10^{14}$	10	$6 \times 10^{11}$	$2 \times 10^{-4}$	$8 \times 10^2$	$10^7$
Hot plasma	$10^{14}$	$10^2$	$6 \times 10^{11}$	$7 \times 10^{-4}$	$4 \times 10^4$	$4 \times 10^6$
Thermonuclear plasma	$10^{15}$	$10^4$	$2 \times 10^{12}$	$2 \times 10^{-3}$	$8 \times 10^6$	$5 \times 10^4$
Theta pinch	$10^{16}$	$10^2$	$6 \times 10^{12}$	$7 \times 10^{-5}$	$4 \times 10^3$	$3 \times 10^8$
Dense hot plasma	$10^{18}$	$10^2$	$6 \times 10^{13}$	$7 \times 10^{-6}$	$4 \times 10^2$	$2 \times 10^{10}$
Laser Plasma	$10^{20}$	$10^2$	$6 \times 10^{14}$	$7 \times 10^{-7}$	40	$2 \times 10^{12}$

The diagram (facing) gives comparable information in graphical form.<sup>22</sup>

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## The plasma parameters

How important are individual Coulomb forces versus many particle interactions?

⇒ consider density  $n$  and temperature  $T$

⇒ interparticle spacing

$r_s \approx \boxed{}$  One particle in cube of side  $r_s$

$$n r_s^3 = 1$$

$$r_s = \frac{1}{n^{1/3}}$$

⇒ energy associated with adjacent particles - Coulomb

$$\bar{U}_A \sim \frac{e^2}{r_s}$$

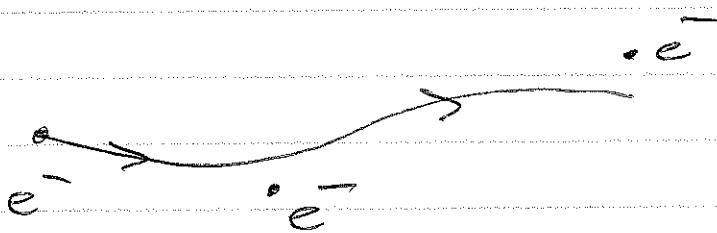
⇒ typical thermal energy

$$U_T \sim \frac{3}{2} T$$

$$\frac{\bar{U}_A}{U_T} \sim \frac{e^2}{r_s T} \equiv \Gamma \quad \text{the plasma parameter}$$

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$\nabla \Gamma < 1$  nearby particles are weakly correlated.



Charged particles move ballistically suffering only slight deflections due to individual interactions

$\Gamma > 1$  nearby particles strongly correlated.

$\Gamma > 2$  gas/liquid phase transition

$\Gamma > 180$  liquid ~~or~~ crystal phase transition

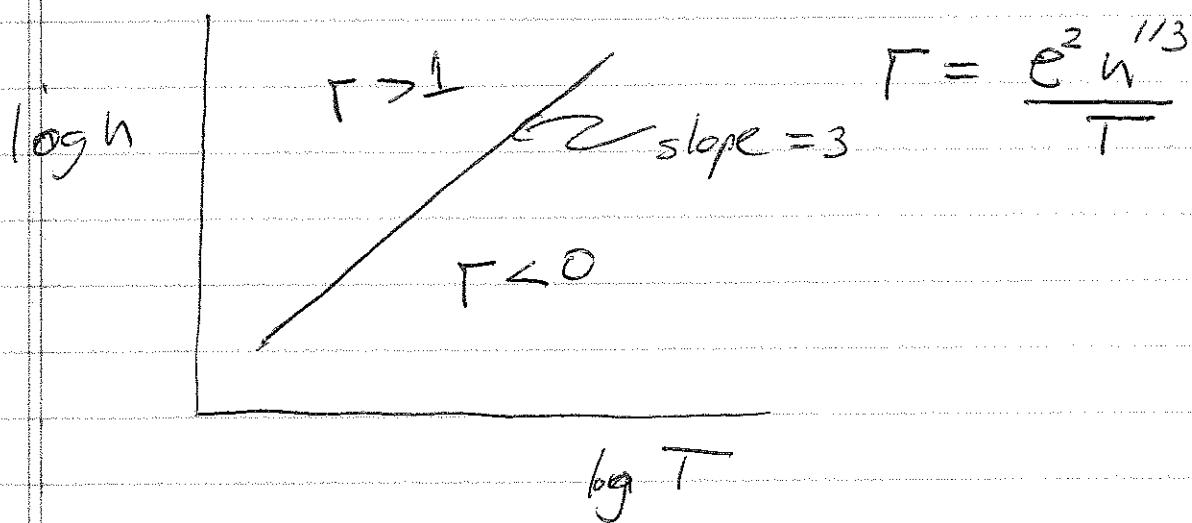
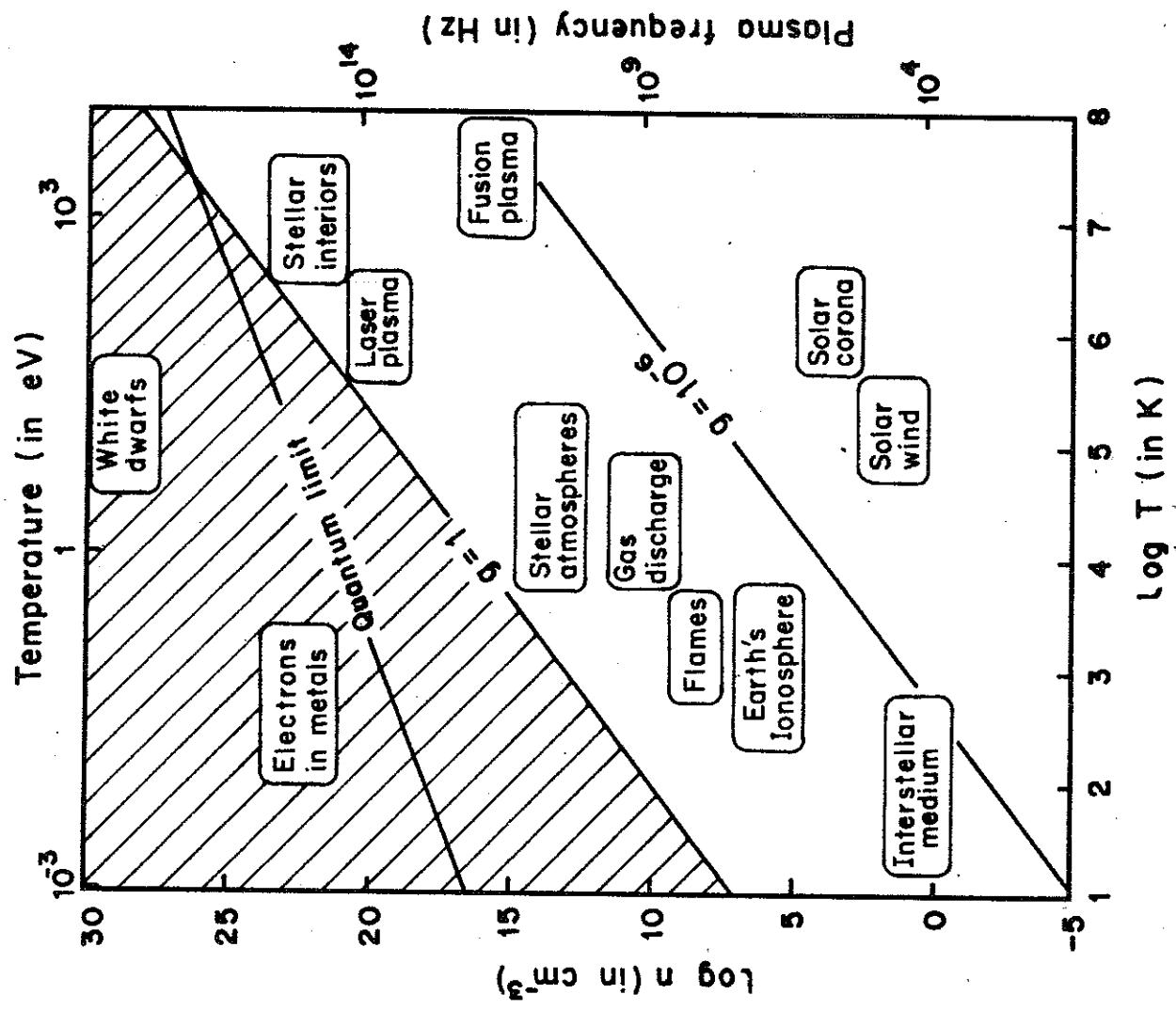


Figure 11.2 Different plasma systems indicated on a plot of the number density  $n$  of charged particles against the temperature  $T$ .



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Define - Debye length

$$\lambda_D^2 = \frac{T}{4\pi n e^2} \Rightarrow T = 4\pi n c^2 \lambda_D^2$$

$$\Gamma = \frac{\epsilon^2 n^{1/3}}{4\pi k \lambda_D^2} = \frac{1}{4\pi} \left( \frac{1}{n \lambda_D^3} \right)^{2/3}$$

$$\Gamma < 1 \quad n \lambda_D^3 > 1$$

$$\Gamma > 1 \quad n \lambda_D^3 < 1$$

Show plot 81

Maxwell-Boltzmann Distribution

In thermal equilibrium (or many quasi-static processes) finding a particle with energy  $E$  is proportional to

$$-(E/T)$$

$$\sim C \cdot e^{-E/kT} \quad C \text{ const.}$$

Take  $E$  to be given by kinetic energy and potential  $\varphi$

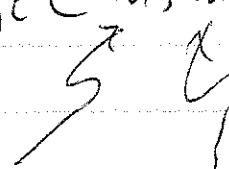
(9)

$$E = \frac{1}{2} m(v_x^2 + v_y^2 + v_z^2) + e\phi$$

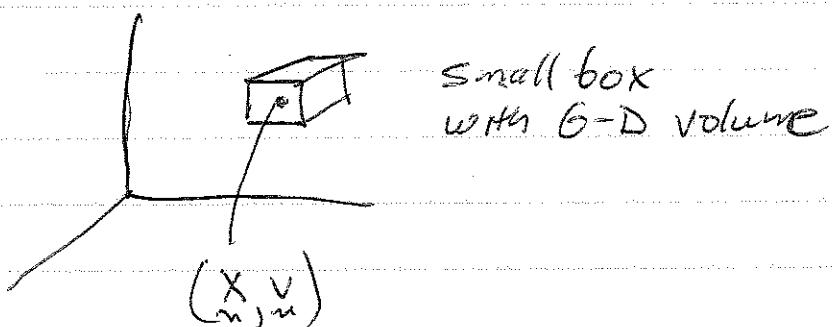
### Particle Distribution Function

Describe the distribution of particle velocities in a plasma by the particle distribution function.

$$f_{ej}(x, v, t) \quad \leftarrow \text{arguments}$$


  
 x, y, z      v<sub>x</sub>, v<sub>y</sub>, v<sub>z</sub>

6-dimensional space  $\underline{x}, \underline{v}$



The number of particles in the infinitesimal box of volume  ~~$d\underline{x} d\underline{v}$~~   $d^3x d^3v$   
 $\equiv dx dy dz dv_x dv_y dv_z$

is  $dN$

$$dN = f(\underline{x}, \underline{v}) d^3x d^3v$$

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Integrating over all of phase space,  
obtain total # of particles

$$N_{e,i} = \int d^3x \int d^3v f_{e,i}(x, v)$$

$n_{e,i}(x) = \text{local density}$

$$N_{e,i} = \int d^3x n_{e,i}(x)$$

In thermal equil.

$$f = \frac{n_0}{(2\pi T/m)^{3/2}} e^{-\frac{1}{2}mv^2 + \frac{e\phi}{kT}}$$

~~$$\int_0^\infty \frac{1}{\sqrt{\pi}} e^{-p^2} = 1$$~~

local density

$$n = \int d^3v f = n_0 e^{-\frac{e\phi}{kT}}$$

### Dyadic Shielding

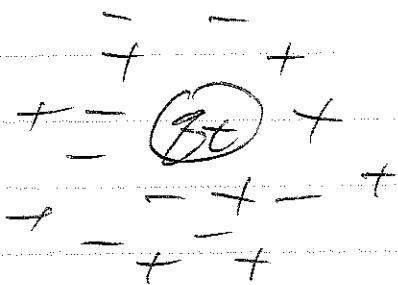
Consider an electron-ion plasma  
which is charge neutral

$$Z_e N_e \approx Z_i N_i$$

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How does the plasma respond to a fast charge  $q_t$ ?

$\Rightarrow$  will tell us about how the charges respond to each other.



Negative charges attract - positive repel.

$- - -$  cloud of negative charge  
 $- \textcircled{q_t} -$   $\Rightarrow$  shields  $q_t$   
 $- - -$   $\Rightarrow$  characteristic scale  $\lambda_0$

Want to quantify this  $\Rightarrow E = -\nabla \phi$

$$\nabla \cdot E = -\nabla^2 \phi = 4\pi \rho \quad \cancel{\text{---}}$$

$$\rho = n e + n_i g_i + q_t \delta^3(x - x_t) - g_e \epsilon / \tau \quad \epsilon > 0$$

$$n e = n_0 e \quad n_0 \uparrow$$

$$n_i g_i = n_0 g_i e^{-g_i \epsilon \tau} \quad n_i \downarrow$$

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Take  $\Gamma \ll 1$  (weakly coupled)

$$\left| \frac{\beta \phi}{T} \right| \ll 1$$

$$-\nabla^2 \phi = 4\pi g_i n_{i0} \left( 1 - \frac{\beta_i \phi}{T} \right) + 4\pi g_e n_{e0} \left( 1 - \frac{\beta_e \phi}{T} \right) + 4\pi g_t \delta^3(x - xt)$$

charge neutrality

$$\beta_i n_{i0} = \beta_e n_{e0} \quad \text{3 initial state}$$

$$-\nabla^2 \phi = -\sum_i \frac{4\pi g_i n_{i0}}{T} \phi + 4\pi g_t \delta^3(x - xt)$$

$$k_D^2 = \frac{4\pi g_i n_{i0}}{T}$$

$$-\nabla^2 \phi + k_D^2 \phi = 4\pi g_t \delta^3(x - xt)$$

take  $g_t$  at origin ~~at origin~~  $\Rightarrow$  spherically symmetric

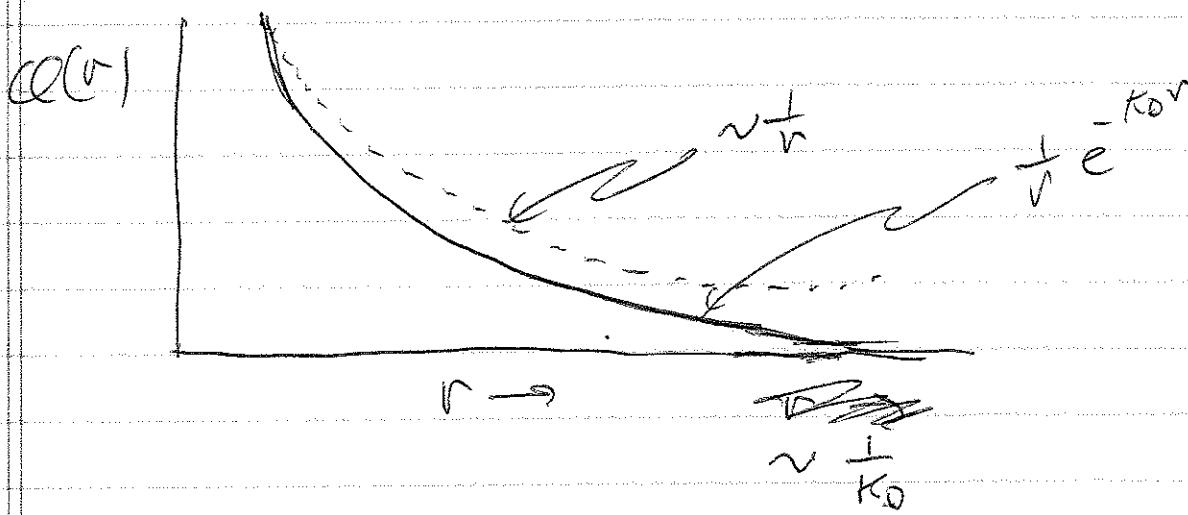
$$-\frac{1}{r^2} \frac{d^2}{dr^2} r^2 \frac{\partial \phi}{\partial r} + k_D^2 \phi = 4\pi g_t \frac{2\delta(r)}{4\pi r^2}$$

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$$-k_0 r$$

Solution

$$\phi(r) = \frac{Ze}{r}$$



⇒ the test charge is shielded out in a distance

$$r \approx \frac{1}{k_0} \equiv \lambda_0$$

$$\text{since } n\lambda_0^3 = \frac{1}{F^{3/2}} \gg 1$$

⇒ large number of shielding electrons.

⇒ distribution method ( $\epsilon$ ) is ok.

⇒ does not work if  $n\lambda_0^3 \approx 1$

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## Plasma Waves

A plasma can support many types of collective oscillations

e.g. - sound waves as in a normal gas

Can also support unusual waves involving only the motion of electrons  
 $\Rightarrow$  plasma waves  
 $\Rightarrow$  longitudinal wave

Let

$$E = \operatorname{Re} \left( \hat{x} E_0 e^{-i\omega t} \right)$$

$\Rightarrow$  electron motion along  $E$   
 $v = \operatorname{Re} \left( \hat{x} v_0 e^{-i\omega t} \right)$

$\Rightarrow$  neglect ion motion since are too heavy to respond.

Newton's law

$$-i\omega m_e v_0 = -e E_0$$

$$I = \operatorname{Re} \left[ -n e v_0 e^{-i\omega t} \hat{x} \right]$$

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$$\vec{J} \cdot (\nabla \times \vec{B}) = \frac{4\pi}{c} J_x + \frac{1}{c} \frac{\partial}{\partial t} E_x$$

$$0 = \frac{4\pi}{c} (f n e v_0) + i \omega E_0$$

$$0 = 4\pi n e \frac{(e E_0)}{i \omega m_e} + i \omega E_0$$

$$E_0 \left( 1 - \frac{\omega_p^2}{\omega^2} \right) = 0$$

$$\omega_p^2 = \frac{4\pi n e^2}{m_e} \Rightarrow \text{plasma frequency.}$$

$$\text{note that } \omega_p^2 = \frac{4\pi n e^2}{m_e} \ll \omega^2$$

$\Rightarrow$  ok to neglect ions.

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} = \text{dielectric for high freq. waves}$$

$$\Rightarrow \epsilon = 0$$

$$\boxed{\omega = \omega_p}$$

$$\text{no } B_0 \Rightarrow \nabla \times \vec{E} = 0$$

$\Rightarrow$  electrostatic wave. ~~Not possible~~

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$$\vec{E} = -\nabla \phi$$

$$\Rightarrow \frac{\partial}{\partial x} \neq 0$$

$$\vec{E} = \text{Re} [\hat{x} E_0 e^{ikx - i\omega t}]$$

require oscillations in  $x$  be small compared to wavelength.

$$k x_{0\text{ss}} \ll 1$$

$$x_{0\text{ss}} \sim \frac{V_0}{\omega}$$

$$\frac{k V_0}{\omega_{pe}} \ll 1 \Rightarrow \text{amplitude not too large.}$$

What about thermal motion?



$$\frac{1}{2} m V_{th}^2 = T$$

want  $\frac{V_{th}}{\omega} \sim$  thermal electron displacement  
small

$$\frac{k V_{th}}{\omega} \sim \frac{k V_{th}}{\omega_{pe}} \sim k \left( \frac{2 V_{th}^2 m_e}{(d \lambda)^2} \right)^{1/2}$$

$$K = \frac{2\pi}{\lambda} \quad \lambda \gg \text{wavelength} \sim k \lambda_D \beta \ll 1$$