

# The Minimum Labeling Spanning Tree Problem: Heuristic and Metaheuristic Approaches

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# Introduction

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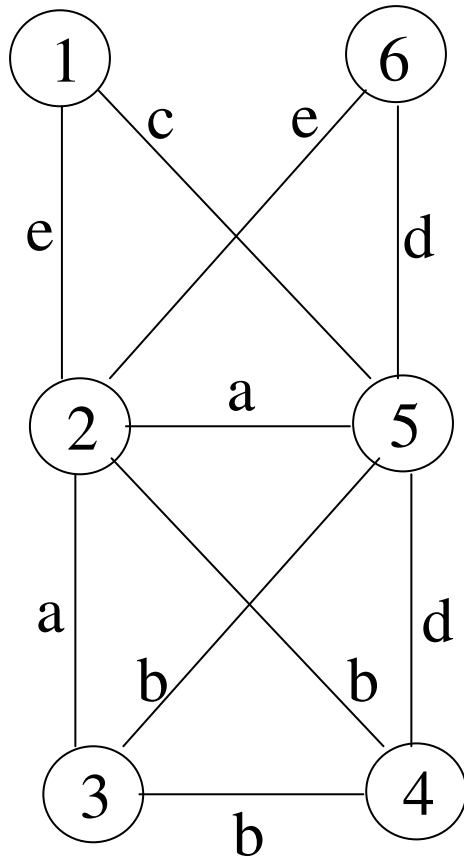
- The Minimum Labeling Spanning Tree (MLST) Problem
  - Communications network design
  - Edges may be of different types or media (e.g., fiber optics, cable, microwave, telephone lines, etc.)
  - Each edge type is denoted by a unique letter or color
  - Construct a spanning tree that minimizes the number of colors

# Introduction

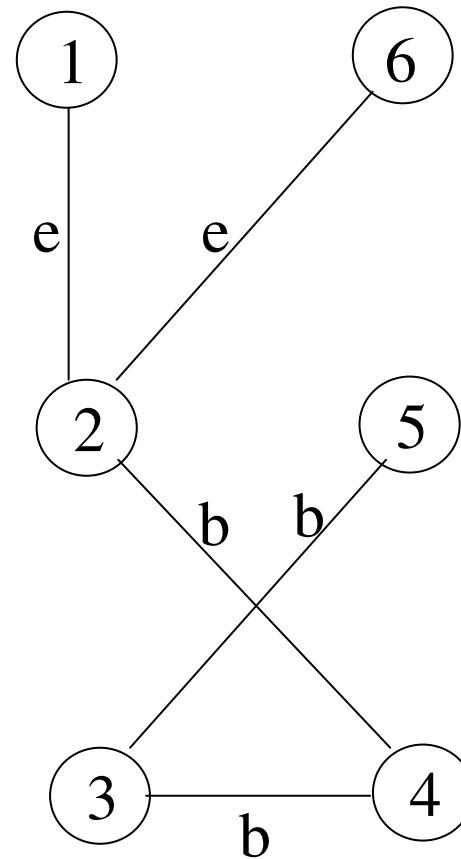
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- A Small Example

Input



Solution



# Literature Review

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- Where did we start?
  - The MLST Problem is NP-hard
  - Several heuristics had been proposed
  - One of these, MVCA (version 2), was very fast and effective
  - Worst-case bounds for MVCA had been obtained

# Literature Review

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- An optimal algorithm (using backtrack search) had been proposed
- On small problems, MVCA consistently obtained nearly optimal solutions
- See [Chang & Leu, 1997], [Krumke & Wirth, 1998], [Wan, Chen & Xu, 2002], and [Bruggemann, Monnot & Woeginger, 2003]

# Description of MVCA

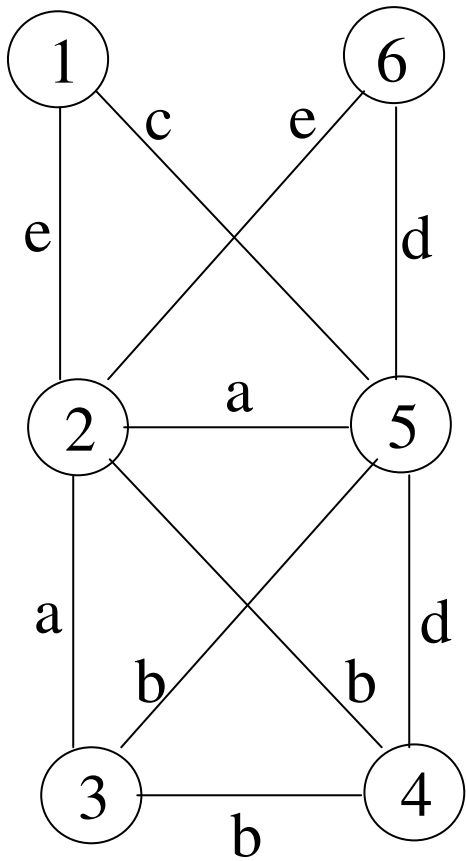
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0. Input:  $G (V, E, L)$ .
1. Let  $C \leftarrow \{ \}$  be the set of used labels.
2. repeat
3.     Let  $H$  be the subgraph of  $G$  restricted to  $V$  and edges with labels from  $C$ .
4.     for all  $i \in L - C$  do
5.         Determine the number of connected components when inserting all edges with label  $i$  in  $H$ .
6.     end for
7.     Choose label  $i$  with the smallest resulting number of components and do:  $C \leftarrow C \cup \{i\}$ .
8. Until  $H$  is connected.

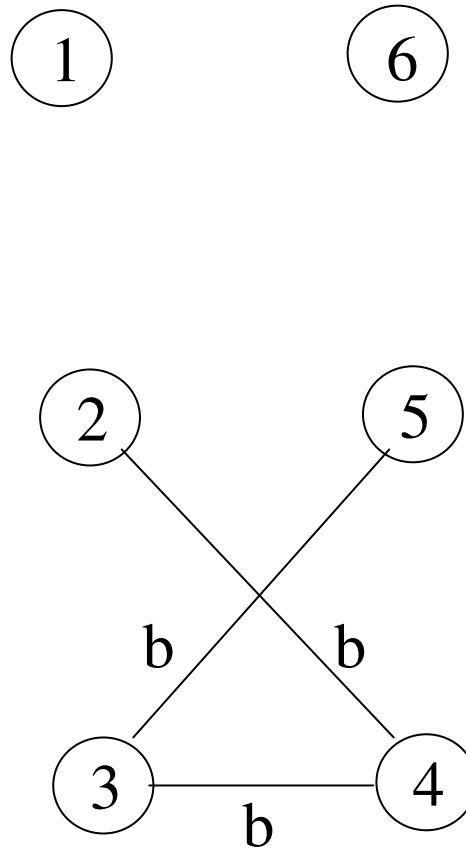
# How MVCA Works

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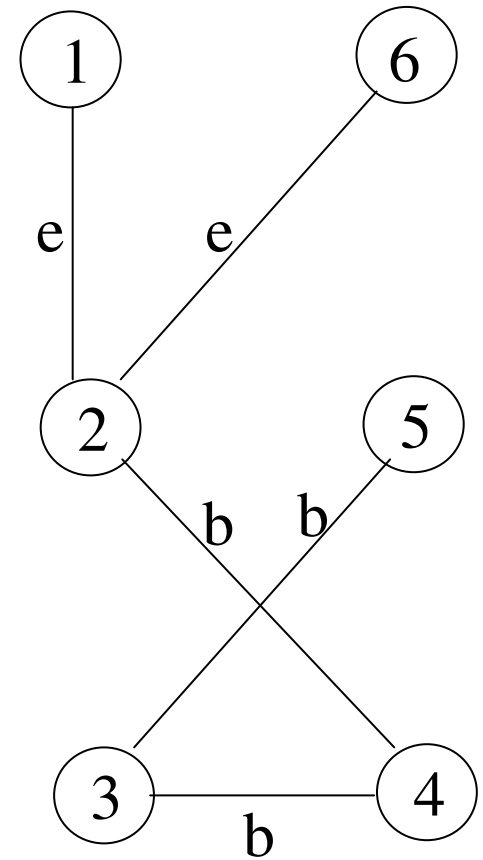
**Input**



**Intermediate  
Solution**



**Solution**



# Worst-Case Results

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1. Krumke, Wirth (1998):

$$\frac{\text{MVCA}}{\text{OPT}} \leq 1 + 2 \ln n$$

2. Wan, Chen, Xu (2002):

$$\frac{\text{MVCA}}{\text{OPT}} \leq 1 + \ln(n-1)$$

3. Xiong, Golden, Wasil (2005):

$$\frac{\text{MVCA}}{\text{OPT}} \leq H_b = \sum_{i=1}^b \frac{1}{i} < 1 + \ln b$$

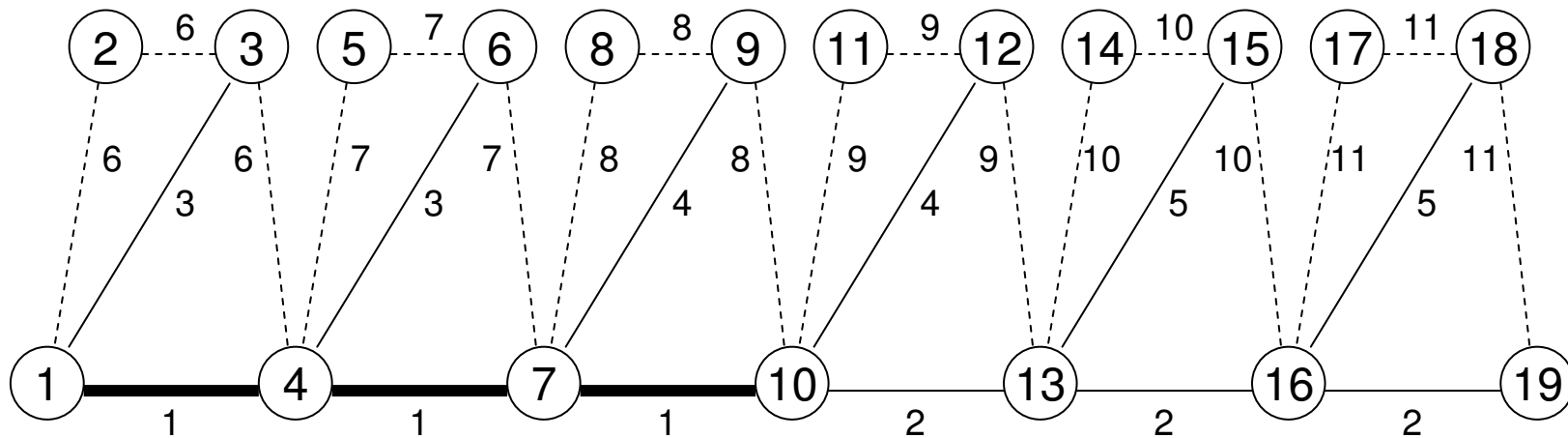
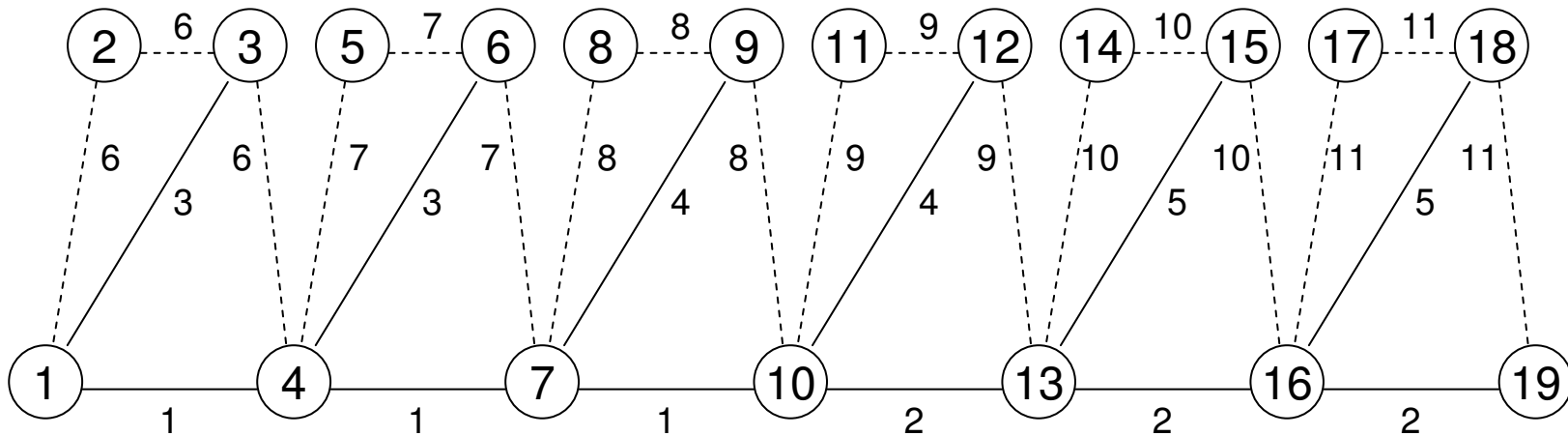
where  $b = \max$  label frequency, and

$H_b = b^{\text{th}}$  harmonic number

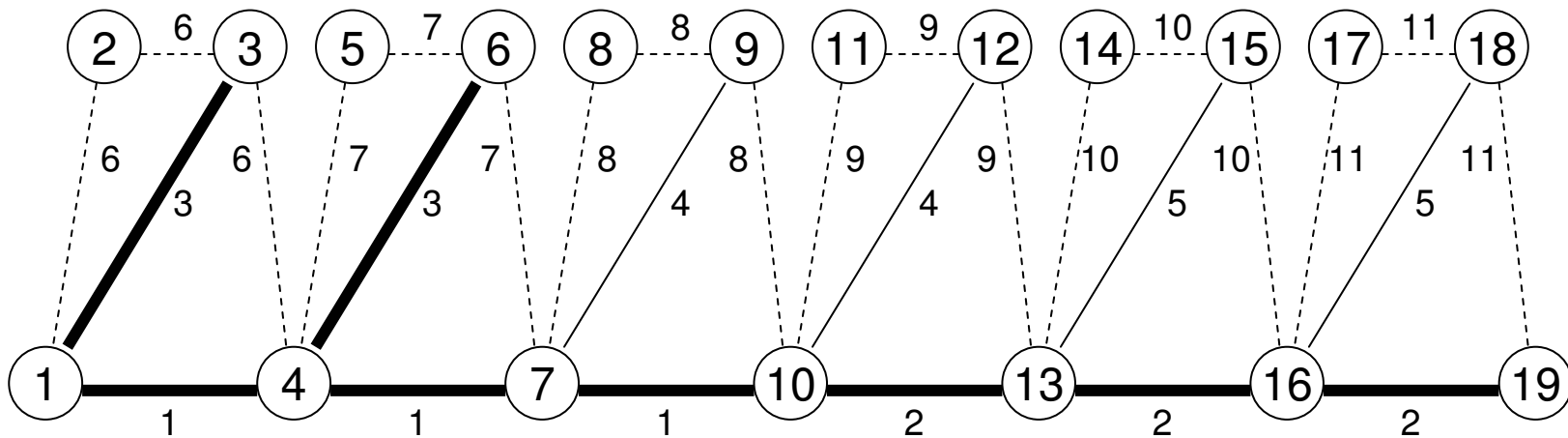
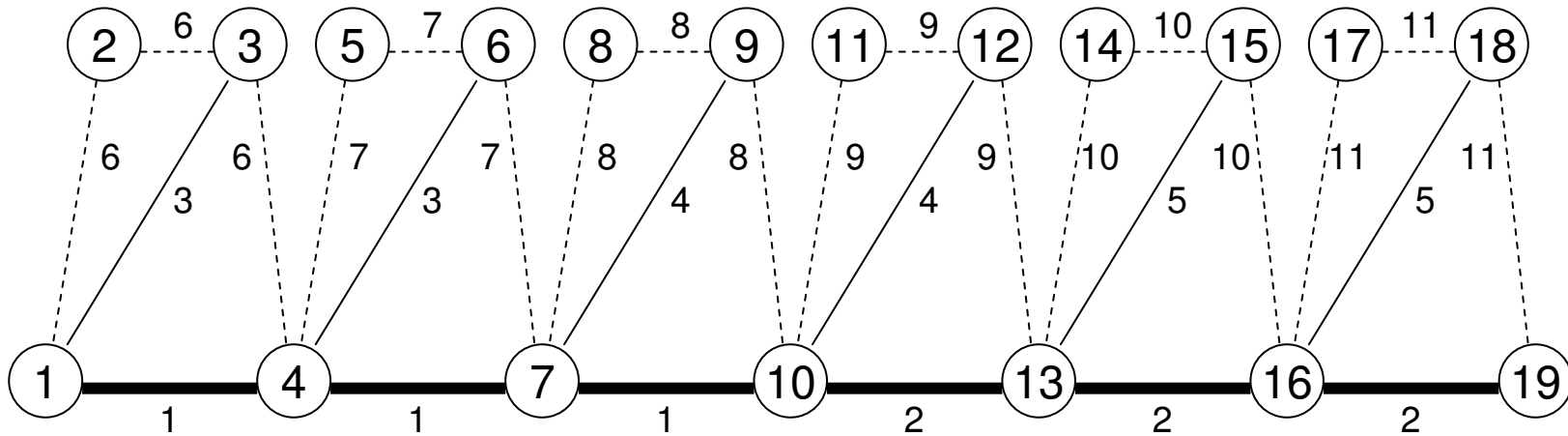


# A Worst-Case Example

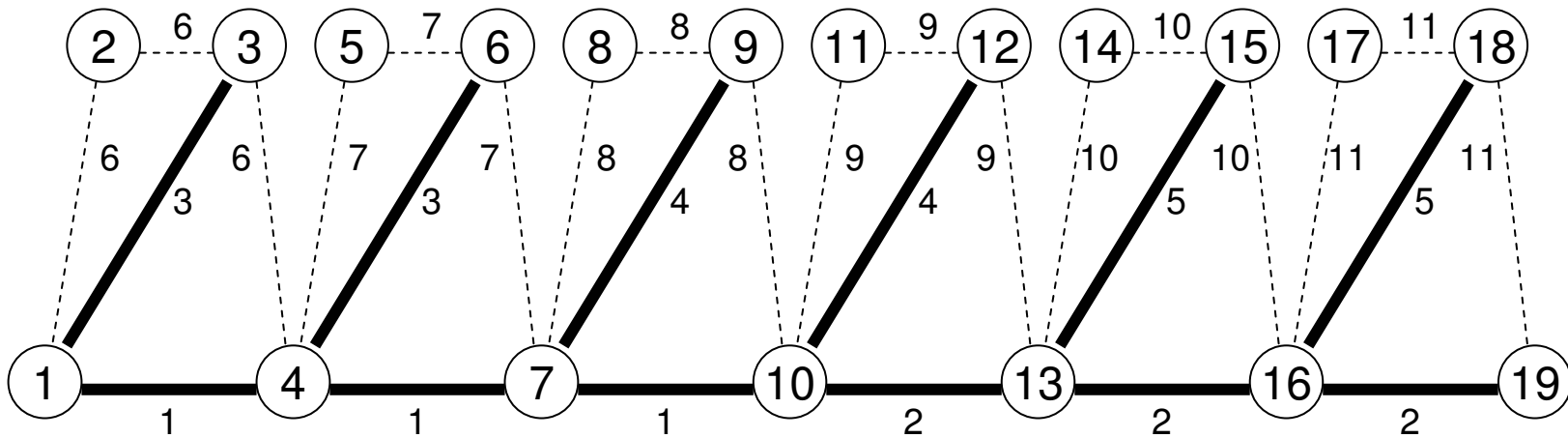
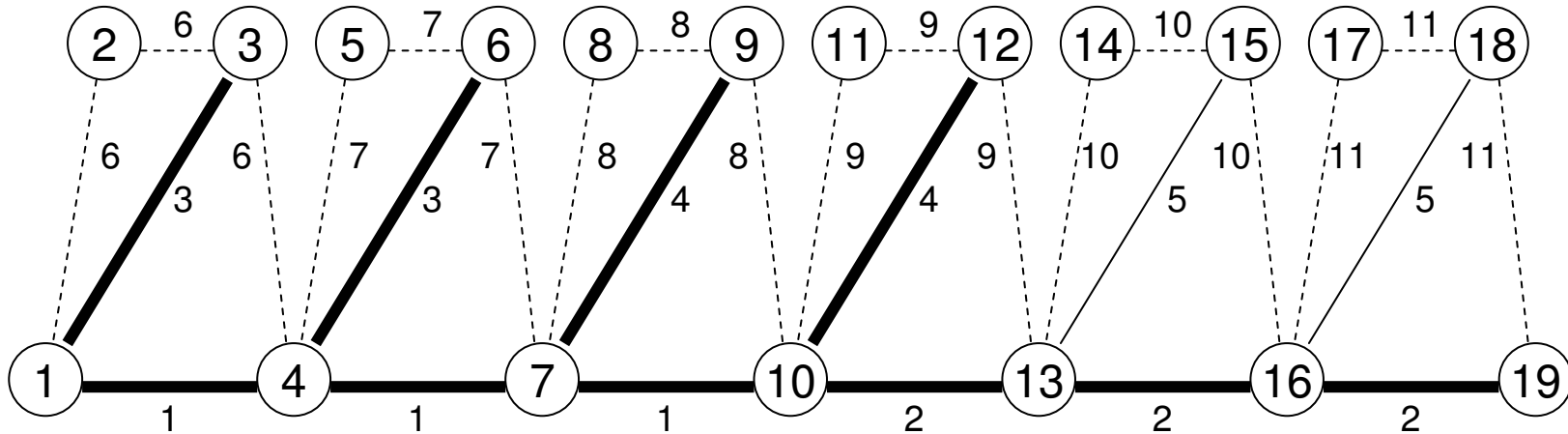
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# A Worst-Case Example - continued



# A Worst-Case Example - continued



# Some Observations

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- The Xiong, Golden, Wasil worst-case bound is tight
- For the worst-case example with  $b = 3$  and  $n = 19$ ,

$$\frac{\text{MVCA}}{\text{OPT}} = \frac{11}{6} = 1 + \frac{1}{2} + \frac{1}{3} = H_3$$

- Unlike the MST, where we focus on the edges, here it makes sense to focus on the labels or colors
- Next, we present a genetic algorithm (GA) for the MLST problem

# Genetic Algorithm: Overview

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- Randomly choose  $p$  solutions to serve as the initial population
- Suppose  $s[0], s[1], \dots, s[p-1]$  are the individuals (solutions) in generation 0
- Build generation  $k$  from generation  $k-1$  as below

For each  $j$  between 0 and  $p-1$ , do:

$t[j] = \text{crossover} \{ s[j], s[(j+k) \bmod p] \}$

$t[j] = \text{mutation} \{ t[j] \}$

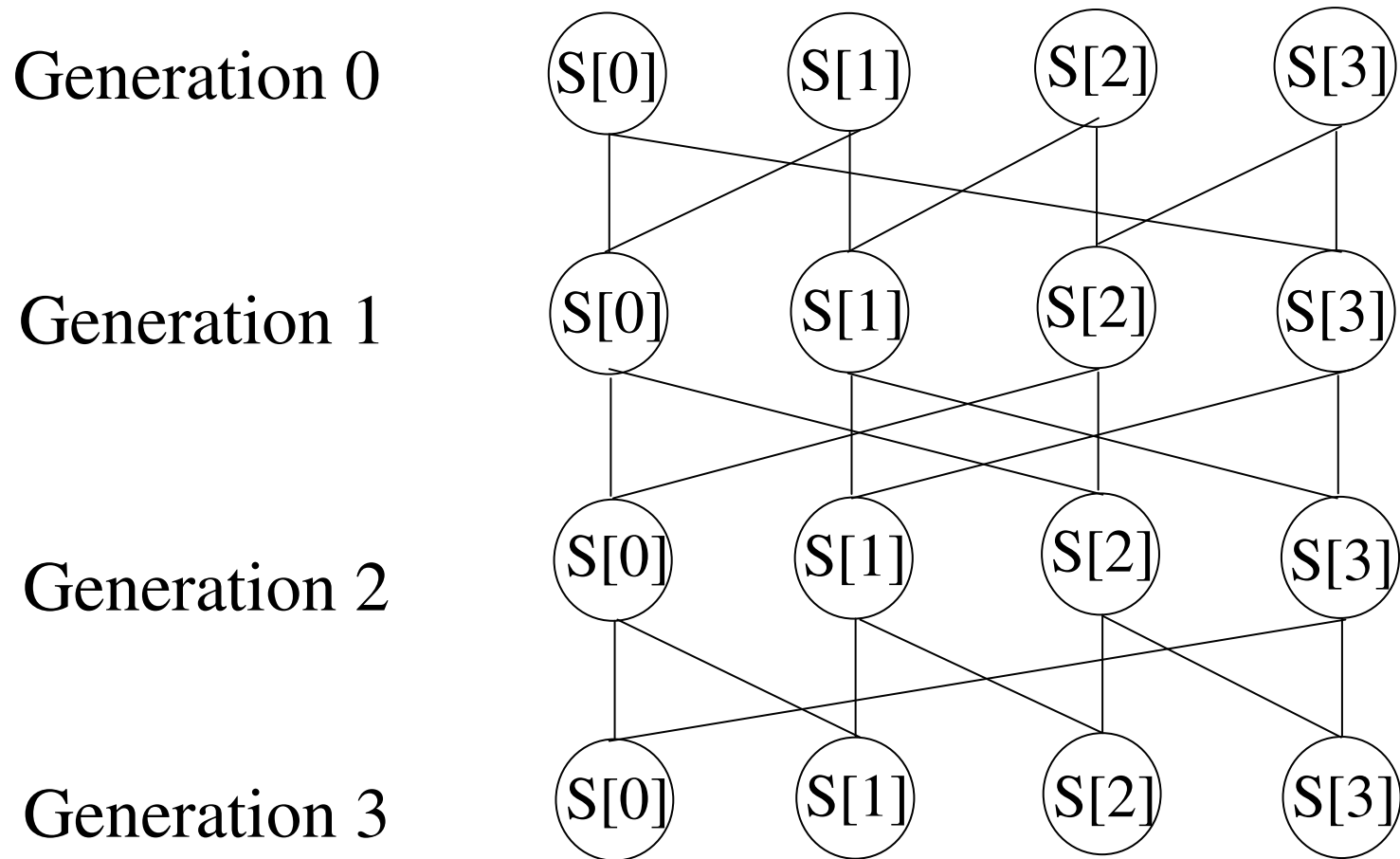
$s[j] = \text{the better solution of } s[j] \text{ and } t[j]$

End For

- Run until generation  $p-1$  and output the best solution from the final generation

# Crossover Schematic (p = 4)

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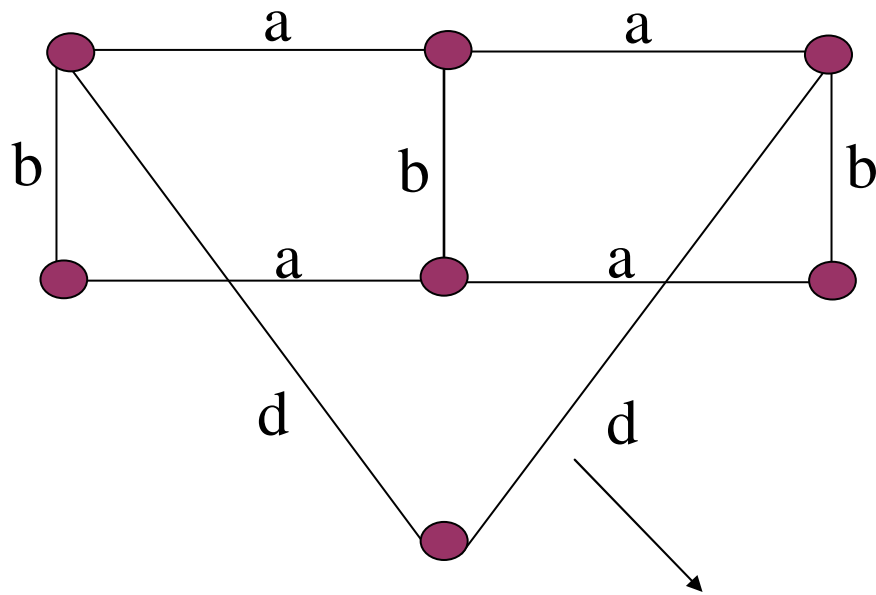
# Crossover

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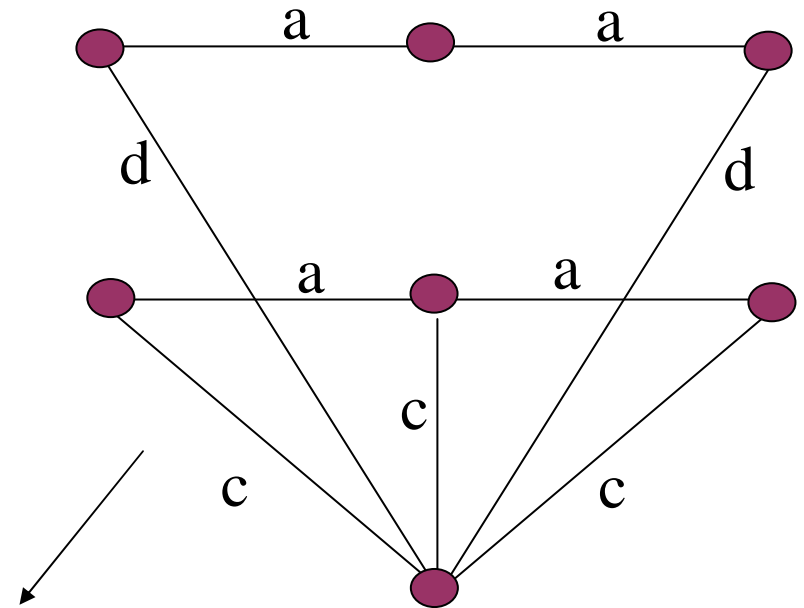
- Given two solutions  $s [ 1 ]$  and  $s [ 2 ]$ , find the child  $T = \text{crossover} \{ s [ 1 ], s [ 2 ] \}$
- Define each solution by its labels or colors
- Description of Crossover
  - a. Let  $S = s [ 1 ] \cup s [ 2 ]$  and  $T$  be the empty set
  - b. Sort  $S$  in decreasing order of the frequency of labels in  $G$
  - c. Add labels of  $S$ , from the first to the last, to  $T$  until  $T$  represents a feasible solution
  - d. Output  $T$

# An Example of Crossover

$s[1] = \{ a, b, d \}$



$s[2] = \{ a, c, d \}$



$T = \{ \}$

$S = \{ a, b, c, d \}$

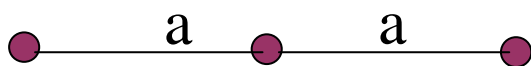
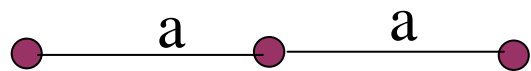
Ordering: a, b, c, d



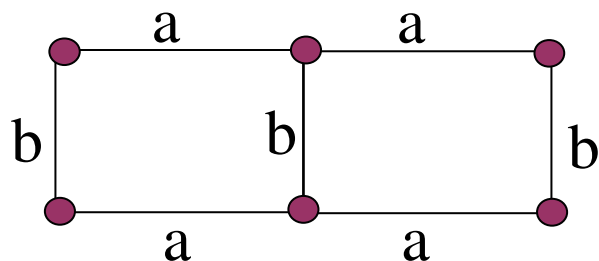
# An Example of Crossover

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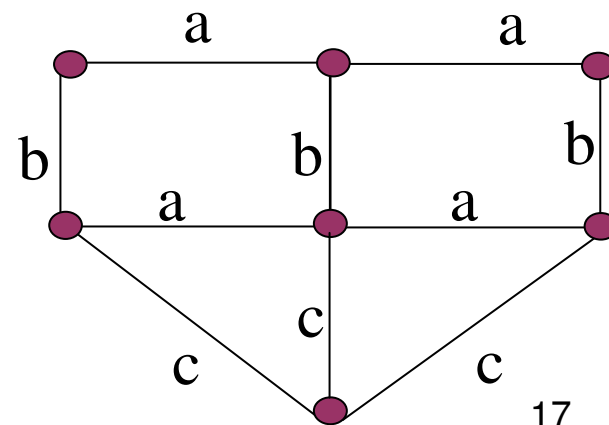
$T = \{ a \}$



$T = \{ a, b \}$



$T = \{ a, b, c \}$



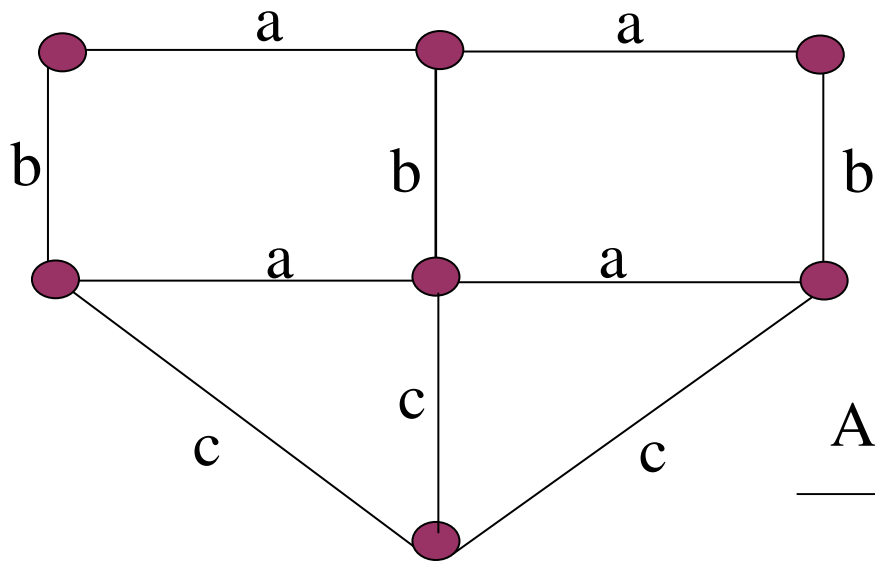
# Mutation

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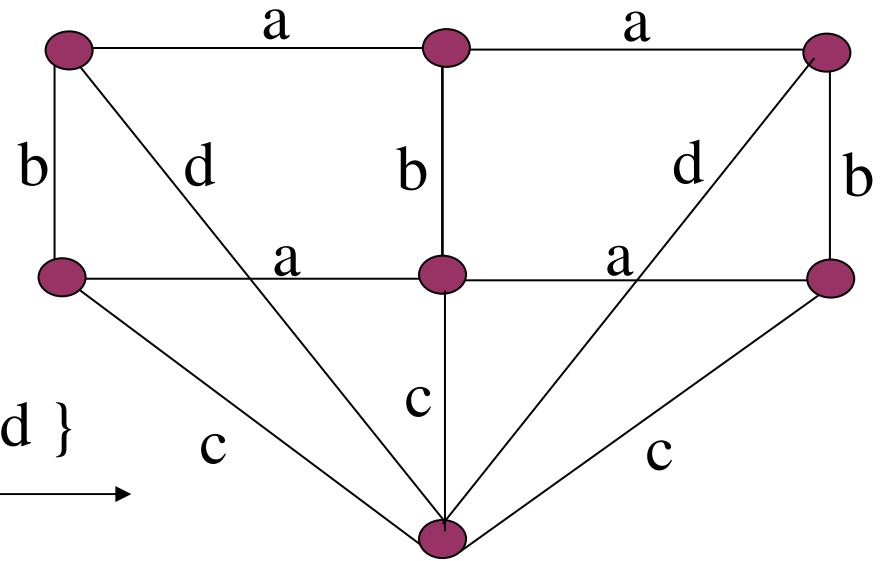
- Given a solution  $S$ , find a mutation  $T$
- Description of Mutation
  - a. Randomly select  $c$  not in  $S$  and let  $T = S \cup c$
  - b. Sort  $T$  in decreasing order of the frequency of the labels in  $G$
  - c. From the last label on the above list to the first, try to remove one label from  $T$  and keep  $T$  as a feasible solution
  - d. Repeat the above step until no labels can be removed
  - e. Output  $T$

# An Example of Mutation

$S = \{ a, b, c \}$



$S = \{ a, b, c, d \}$



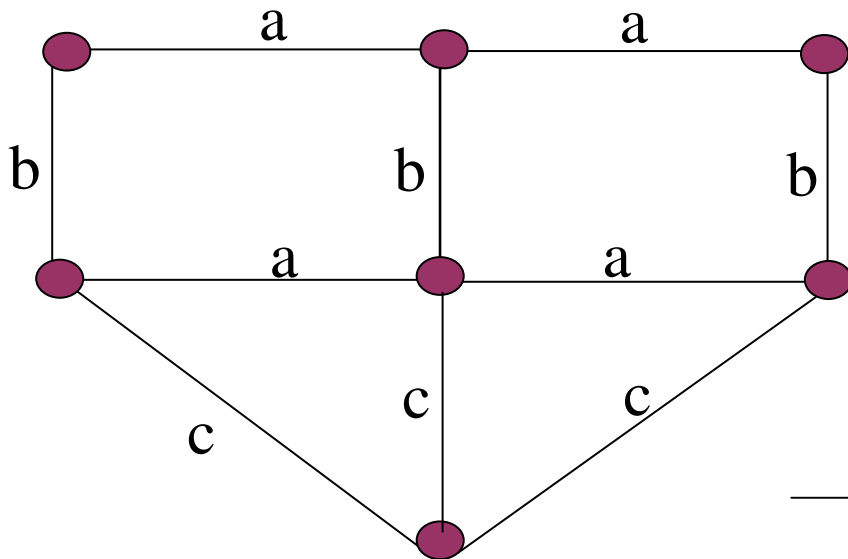
Add { d }

Ordering: a, b, c, d

# An Example of Mutation

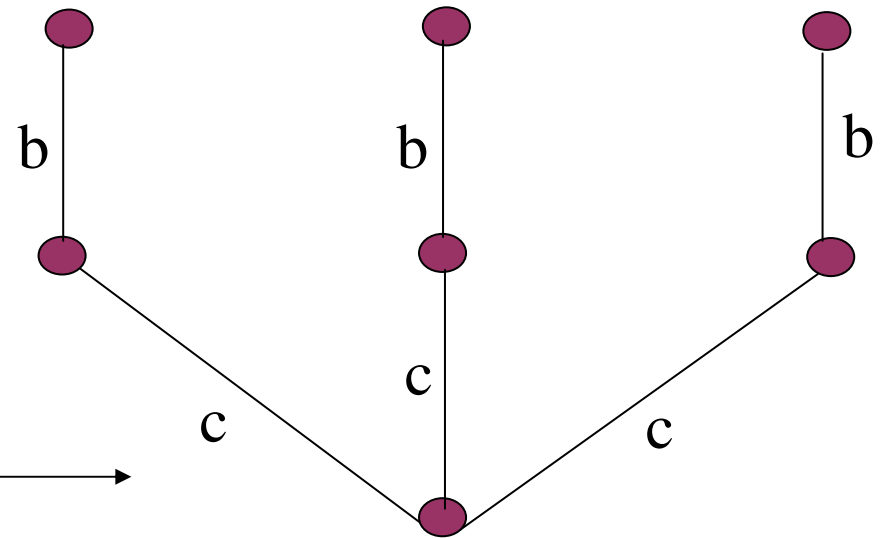
Remove { d }

$S = \{ a, b, c \}$



Remove { a }

$S = \{ b, c \}$



$T = \{ b, c \}$

# Computational Results

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- 78 combinations:  $n = 20$  to  $200$  /  $l = 12$  to  $250$  / density =  $.2$ ,  $.5$ ,  $.8$  /  $p = 30$  if  $n > 100$ ; otherwise  $p = 20$
- 20 sample graphs for each combination
- The average number of labels is compared
- GA beats MVCA in 58 of 78 cases (17 ties, 3 worse)
- The optimal solution was obtained using backtrack search in 740 instances

# Computational Results - continued

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- The GA obtains optimal solutions in 701 or 94.73% of these instances
- GA is slightly slower than MVCA
- GA required at most 2 seconds CPU time per instance on a Pentium 4 PC with 1.80 GHz and 256 MB RAM
- Backtrack search was run for at most 20 minutes per instance

# Conclusions & Future Work

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- The GA is fast, conceptually simple, and powerful
- It contains a single parameter
- We think GAs can be applied successfully to a host of other NP – hard problems
- Future work
  - Add edge costs
  - Examine other variants