

CLASS NOTES: LECTURE I

REVIEW OF LANDAU DAMPING (INITIAL VALUE SOLUTION OF THE VLASOV EQUATION)

$$\boxed{\text{ASSUME } \underline{B} = 0}$$

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \nabla f + \frac{q}{m} \underline{E} \cdot \frac{\partial f}{\partial \underline{v}} = 0 \quad \text{VLASOV EQ.}$$

$$\nabla \cdot \underline{E} = 4\pi q \int d^3v f - 4\pi q n_0 \quad \text{POISSON EQ.}$$

LINEARIZE ABOVE

$$\underline{E} = -\nabla \phi_1 \quad \phi_1 = \phi_1(t) \exp(i \underline{k} \cdot \underline{x})$$

$$f = f_0 + f_1 \quad f_0 = f_0(v)$$

$$f_1 = f_1(t) \exp(i \underline{k} \cdot \underline{x})$$

$$\frac{\partial f_1(t)}{\partial t} + i \underline{k} \cdot \underline{v} f_1 = \frac{q}{m} \phi_1(t) + i \underline{k} \cdot \frac{\partial}{\partial \underline{v}} f_0$$

$$k^2 \phi_1(t) = 4\pi q \int d^3v f_1$$

INTRODUCE LAPLACE TRANSFORMS

$$\bar{g}(\omega) = \int_0^{\infty} dt g(t) \exp(i\omega t) \quad (a)$$

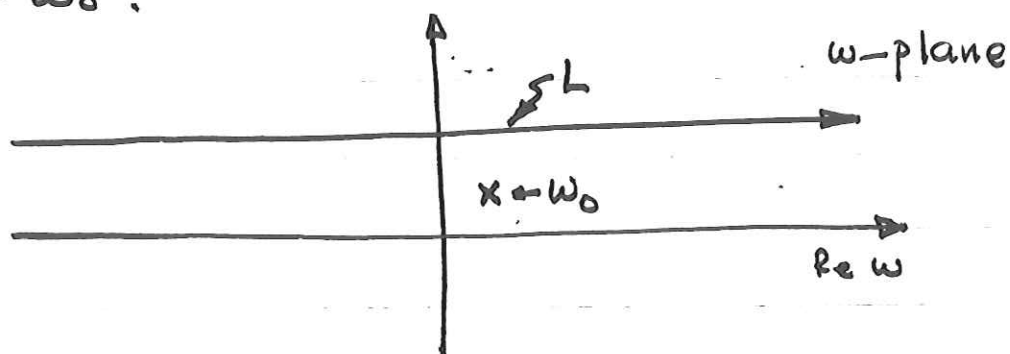
$$g(t) = \int_L \frac{d\omega}{2\pi} \bar{g}(\omega) \exp(-i\omega t) \quad (b)$$

INTEGRAL (a) IS DEFINED ONLY FOR

PARTICULAR VALUES OF ω SUCH THAT AS $t \rightarrow \infty$

$g(t) \exp(i\omega t) \rightarrow 0$ SUFFICIENTLY FAST. FOR

EXAMPLE, IF $g(t) = g_0 \exp(i\omega_0 t)$, WE
 MUST HAVE $I_m(\omega) > I_m(\omega_0)$ IN ORDER THAT
 THE INTEGRAL BE WELL DEFINED. IN
 GENERAL THIS WILL BE THE CASE IF
 $I_m(\omega)$ IS SUFFICIENTLY LARGE. INTEGRAL (b)
 IS CARRIED OUT ALONG THE CONTOUR
 L WHICH PASSES FROM $-\infty$ TO $+\infty$ THROUGH
 REGIONS OF THE ω -plane WHERE $\bar{g}(\omega)$ IS
 DEFINED. FOR THE CASE OF $g(t) = g_0 \exp(i\omega_0 t)$
 THE CONTOUR ~~is~~ MUST PASS ABOVE THE
 POINT $\omega = -\omega_0$.



LAPLACE TRANSFORM : EQUATIONS

$$\int_0^{\infty} dt \exp(i\omega t) \frac{\partial f_1}{\partial t} = -f_1(t=0) - i\omega \int_0^{\infty} dt \exp(i\omega t) f_1(t)$$

$$-i(\omega - \underline{k} \cdot \underline{v}) \bar{f}_1(\omega) = \frac{q}{m} \bar{\phi}_1(\omega) + i \underline{k} \cdot \frac{\partial}{\partial \underline{v}} f_0 + f_1(t=0)$$

$$k^2 \bar{\phi}_1(\omega) = 4\pi q \int d^3v \bar{f}_1(\omega)$$

Term $\rightarrow$ up to here (E.L.)

Assume $\omega \rightarrow \omega + i0$ limit;
Electrostatic, time average $\rightarrow \omega \rightarrow 0$ limit. $E = e^{i\mathbf{k} \cdot \mathbf{x}}$; Laplace transform + calculus.

SOLVING FOR $\bar{f}_1(\omega)$ AND SUBSTITUTING IN

POISSONS EQ. GIVES

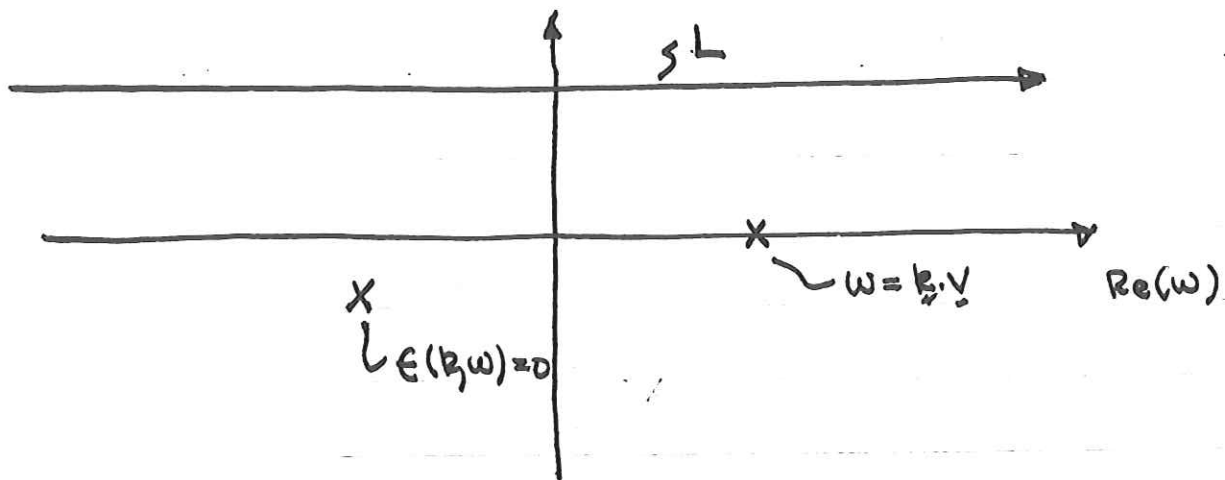
$$k^2 \epsilon(k, \omega) \bar{\phi}_1(\omega) = 4\pi q i \int d^3v \frac{f_1(t=0)}{\omega - \underline{k} \cdot \underline{v}}$$

WHERE:

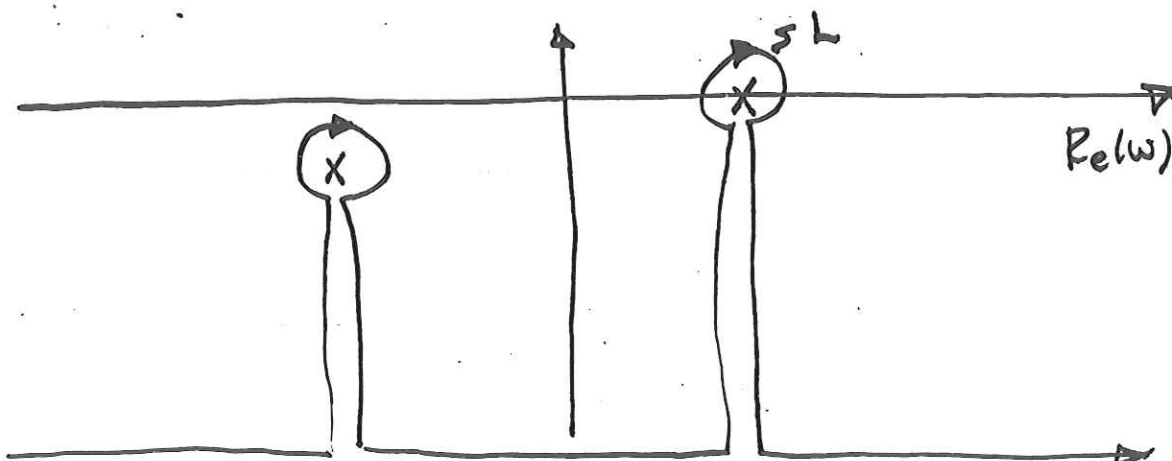
$$\epsilon(k, \omega) = 1 + \frac{4\pi q^2}{m k^2} \int d^3v \frac{\underline{k} \cdot \frac{\partial}{\partial \underline{v}} f_0}{\omega - \underline{k} \cdot \underline{v}}$$

INVERTING $\bar{\phi}(\omega)$

$$\phi_i(t) = \int_L \frac{d\omega}{2\pi} 4\pi q i \int \frac{d^3 v}{(\omega - \underline{k} \cdot \underline{v})} \frac{f(0) \exp(-i\omega t)}{k^2 \epsilon(\underline{k}, \omega)}$$



TO EVALUATE INTEGRAL DEFORM CONTOUR AND ANALYTICALLY CONTINUE INTEGRAND INTO LOWER HALF ω -plane.



ON LOWER PORTIONS OF CONTOUR $\exp(-i\omega t) \rightarrow 0$
 ($t > 0$). THEREFORE $\phi_1(t) = -2\pi i$ TIMES
 RESIDUES AT SINGULARITIES.

$$\epsilon(\underline{k}, \omega_0) = 0$$

$$\phi_1(t) = -i \left[\exp(-i\omega_0 t) 4\pi q_i \int \frac{d^3v f(v)}{(\omega_0 - \underline{k} \cdot \underline{v}) \frac{\partial \epsilon}{\partial \omega_0}} \right. \\
\left. + 4\pi q_i \int \frac{d^3v f(v) \exp(-i \underline{k} \cdot \underline{v} t)}{\epsilon(\underline{k}, \underline{k} \cdot \underline{v})} \right]$$

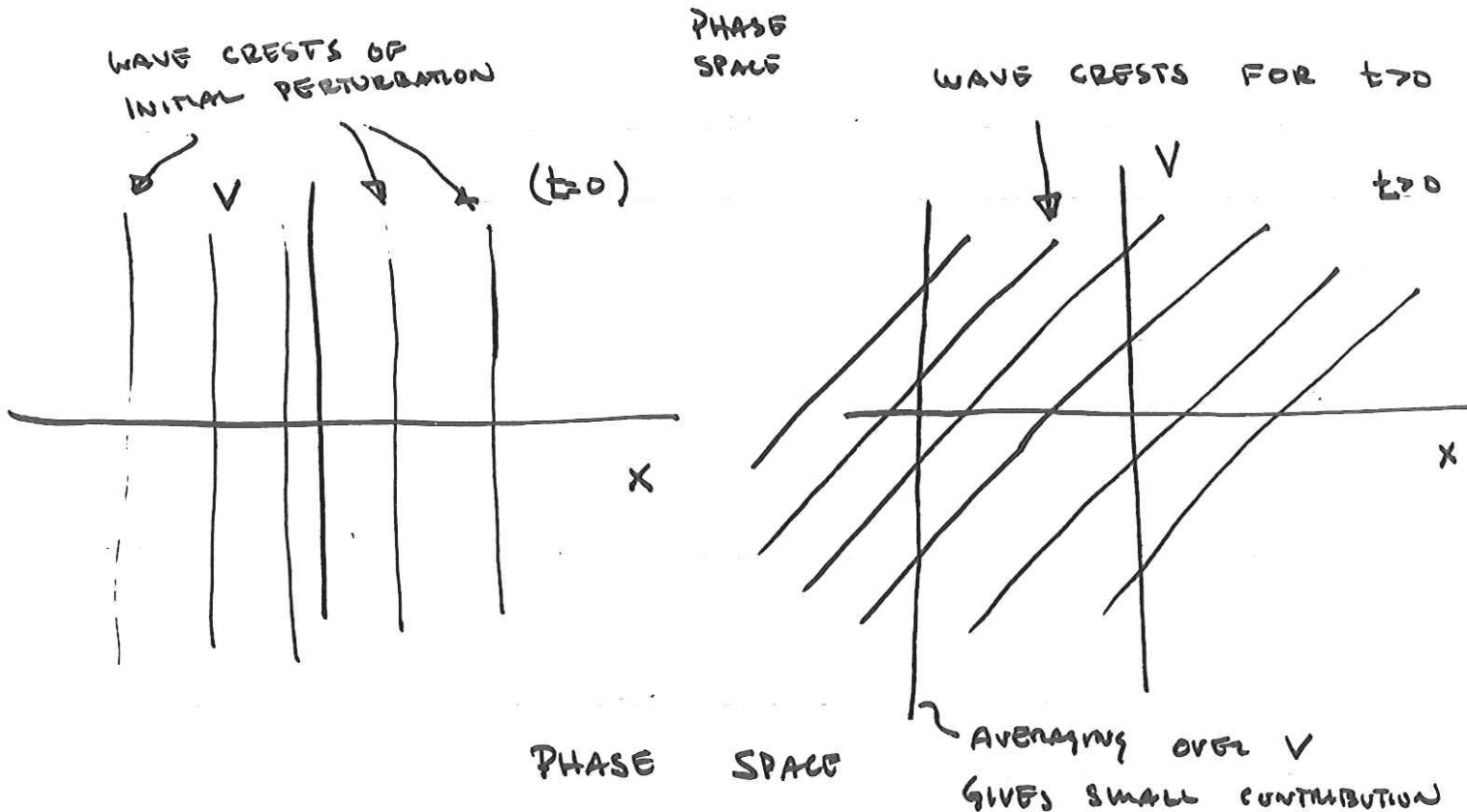
THE FIRST TERM OSCILLATES AT FREQUENCY
 ω_0 AND REPRESENTS THE EXCITATION OF
 A NATURAL MODE OF THE SYSTEM BY THE
 INITIAL CONDITIONS. THE SECOND TERM
 HAS A COMPLICATED TIME DEPENDENCE

AND REPRESENTS THE WAY IN WHICH
THE INITIAL DISBURANCE EVOLVES DUE
TO THE FREE STREAMING OF THE PARTICLES.

As t GETS LARGE, THE RAPID OSCILLATIONS
• IN V OF THE FACTOR $\exp(-ik \cdot v t)$

CAUSE THIS CONTRIBUTION TO BECOME

SMALL.



NATURAL MODE OF THE SYSTEM OCCURS
FOR $\epsilon(\underline{k}, \omega_0) = 0$

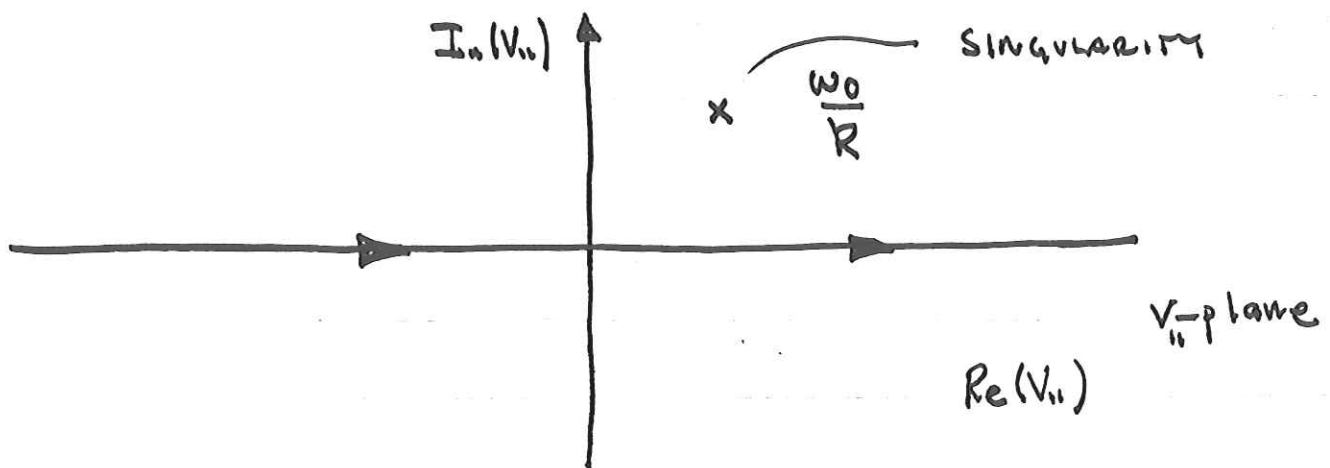
$$\epsilon(\underline{k}, \omega_0) = 1 + \frac{4\pi q^2}{m k^2} \int d^3 \underline{v} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{\omega_0 - \underline{k} \cdot \underline{v}} \quad \text{do } v_{\parallel} \text{ integral}$$

NOTE THAT IN THE INTEGRAL OVER VELOCITY

THERE IS A SINGULARITY AT $\omega_0 = \underline{k} \cdot \underline{v} = k v_{\parallel}$

($v_{\parallel}$ IS THE COMPONENT OF $\underline{v}$ PARALLEL TO $\underline{k}$)

ASSUME $k > 0$



IF $\text{Im}(\omega_0) > 0$ THEN THE SINGULARITY
LIES ABOVE THE INTEGRATION CONTOUR (IN V_+)
AND THE INTEGRAL IS WELL DEFINED.

IF WE ARE REQUIRED TO DETERMINE

$\epsilon(k, \omega_0)$ FOR $\text{Im}(\omega_0) < 0$ WE MUST

BE SURE THAT WHAT WE DETERMINING IS

THE ANALYTIC CONTINUATION OF $\epsilon(k, \omega_0)$

FOR $\omega_0 > 0$. (REMEMBER OUR METHOD

OF EVALUATING $\phi_1(t)$ RELIED ANALYTICALLY

CONTINUING THE INTEGRAND INTO THE LOWER

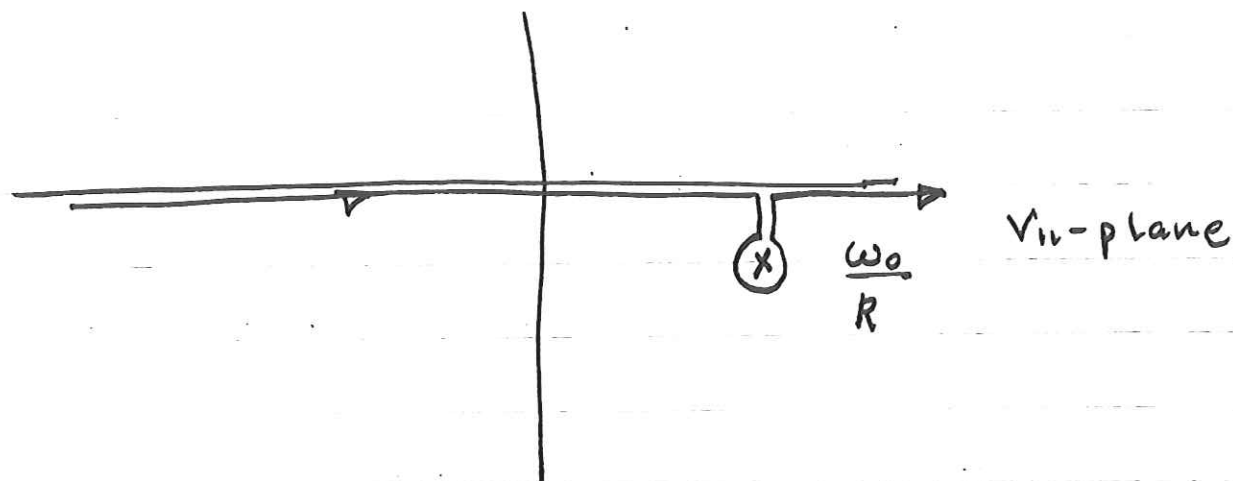
HALF ω -PLANE.) THE PROPER ANALYTIC

CONTINUATION OF $\epsilon(k, \omega_0)$ FOR $\text{Im}(\omega_0) < 0$

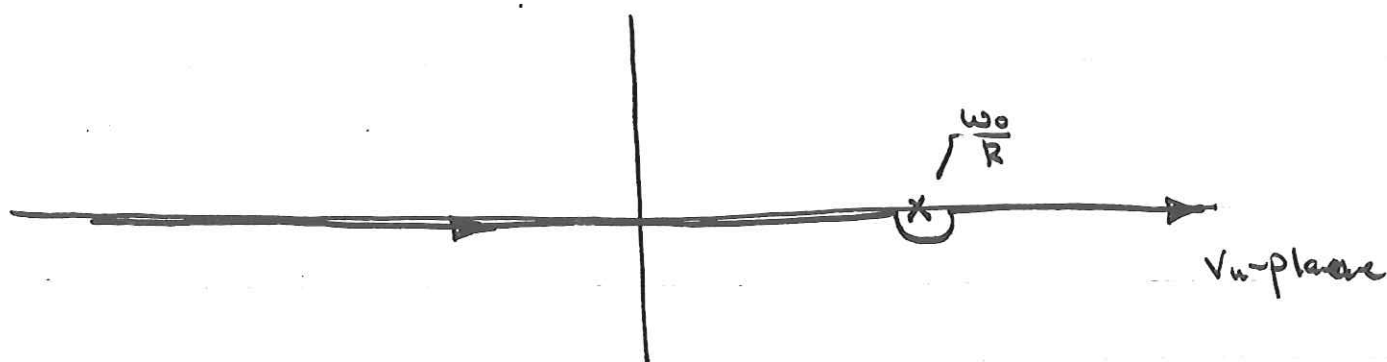
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IS OBTAINED BY DEFORMING THE
 INTEGRATION PATH IN V_{II} SO THAT IT
 REMAINS BELOW THE SINGULARITY.



IF ω_0 IS REAL THE CONTOUR ~~is~~ IS



THUS, FOR ω_0 - real

$$\epsilon(k, \omega_0) = 1 + \frac{4\pi q^2}{mk^2} \left\{ P \int d^3v \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{\omega_0 - \underline{k} \cdot \underline{v}} - \frac{i\pi}{|k|} k \frac{\partial}{\partial v_{||}} \int_{v_{||} = \frac{\omega_0}{k}} d^3v f_0 \right\}$$



LET US ASSUME THAT A SOLUTION EXISTS FOR $\epsilon(\omega_0) = 0$ WITH $\omega_0/k \gg v_{th}$

THEN

$$P \int d^3v \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{\omega_0 - \underline{k} \cdot \underline{v}} \cong - \frac{k^2}{\omega_0^2} \int d^3v f_0$$

AND

$$f(v_{||}) \Big|_{v_{||} = \frac{\omega_0}{k}} = \int d^3v_{\perp} f_0 \quad ; \text{ IS SMALL}$$

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} - \frac{i\pi \omega_p^2}{k^2} \frac{k}{|k|} \frac{\partial f(v_{||})}{\partial v_{||}} \Big|_{v_{||} = \frac{\omega_0}{k}} = \epsilon_R + i\epsilon_I = 0$$

$$\epsilon_I \ll \epsilon_R$$

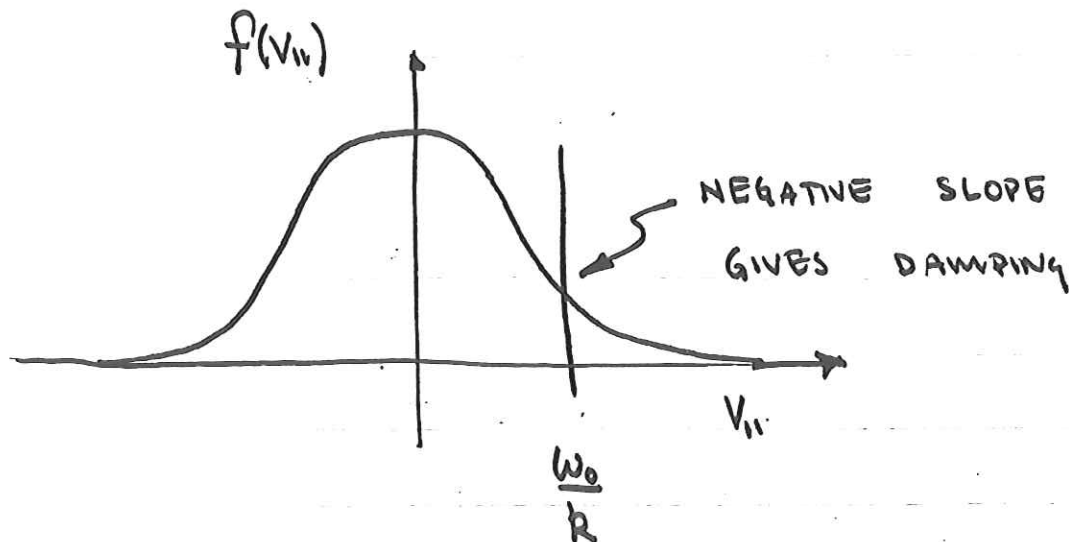
so $\omega_0 = \bar{\omega}_0 + \delta\omega_0$ WHERE $\epsilon_R(\bar{\omega}_0) = 0$

WITH $\delta\omega_0 \ll \bar{\omega}_0$ $1 - \frac{\omega_p^2}{\bar{\omega}_0^2} = 0$ $\bar{\omega}_0 = \pm \omega_p$

$$\frac{\partial \epsilon_R}{\partial \bar{\omega}_0} \delta\omega + i \epsilon_I \approx 0$$

$$\delta\omega = -i \epsilon_I / \frac{\partial \epsilon_R}{\partial \bar{\omega}_0} = \frac{+i \pi \omega_p^2 k}{k^2 |k|} \frac{\partial f}{\partial V_{||}} \left[\frac{2\omega_p}{\bar{\omega}_0^3} \right]^{-1}$$

THUS IF $\left. \frac{k}{\bar{\omega}_0} \frac{\partial f}{\partial V_{||}} \right|_{V_{||} = \frac{\omega_0}{k}} < 0$ THE MODE IS DAMPED



DAMPING OCCURS BECAUSE PARTICLES
WITH VELOCITY $V_{||}$ SUCH THAT $\omega \approx kV_{||}$
SEE A NEARLY TIME INDEPENDENT
FIELD. PARTICLES WHICH ARE SLIGHTLY
SLOWER THAN THE WAVE WILL BE ACCELERATED
AND GAIN ENERGY FROM THE WAVE.
PARTICLES WHICH ARE SLIGHTLY FASTER THAN
THE WAVE WILL SLOW DOWN AND GIVE
ENERGY TO THE WAVE. SINCE THERE
ARE MORE SLOWER PARTICLES THAN FASTER
ONES ($\partial f / \partial V_{||} < 0$) THE WAVE DAMPS.

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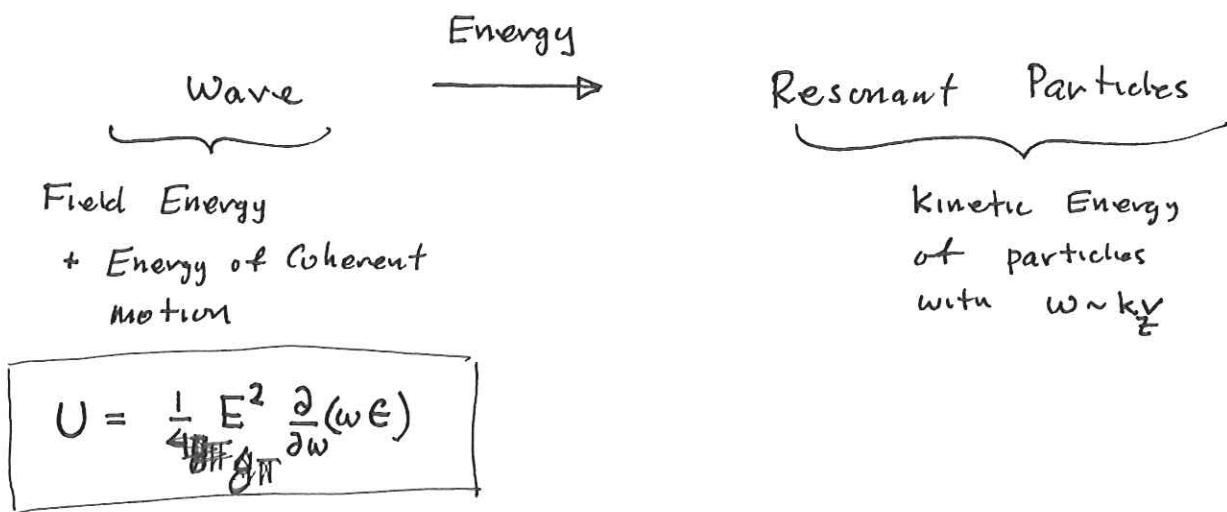
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Physical Interpretation of Landau damping

Total Energy of Plasma (particles + fields)
is conserved

- NO collisions dissipation
- NO sources

In damping process



Plasma Waves $\epsilon \approx 1 - \frac{\omega_p^2}{\omega^2}$

$U \approx \frac{\epsilon |\epsilon|^2}{8\pi}$ but $\epsilon = 0$?

$\frac{d}{dw} w\epsilon = \frac{d}{dw} \left(w - \frac{\omega_p^2}{\omega} \right) = 1 + \frac{\omega_p^2}{\omega^2}$

Energy stored in ϵ

Energy stored in u_z

Examine Resonant Particles

1D in z-direction

Electric field

$$E(z, t) = - \frac{\partial}{\partial z} \phi_0 \cos(k_z z - \omega_0 t)$$

Remember, damping is weak $\delta\omega \ll \omega_0$
treat ϕ_0 as constant

Transform to a frame moving with the wave

$$\left. \begin{aligned} z &= z' + \frac{\omega_0}{k_z} t' \\ v_z &= v_z' + \frac{\omega_0}{k_z} \end{aligned} \right\} \begin{array}{l} \text{Galilean} \\ \text{neglect relativity} \end{array}$$

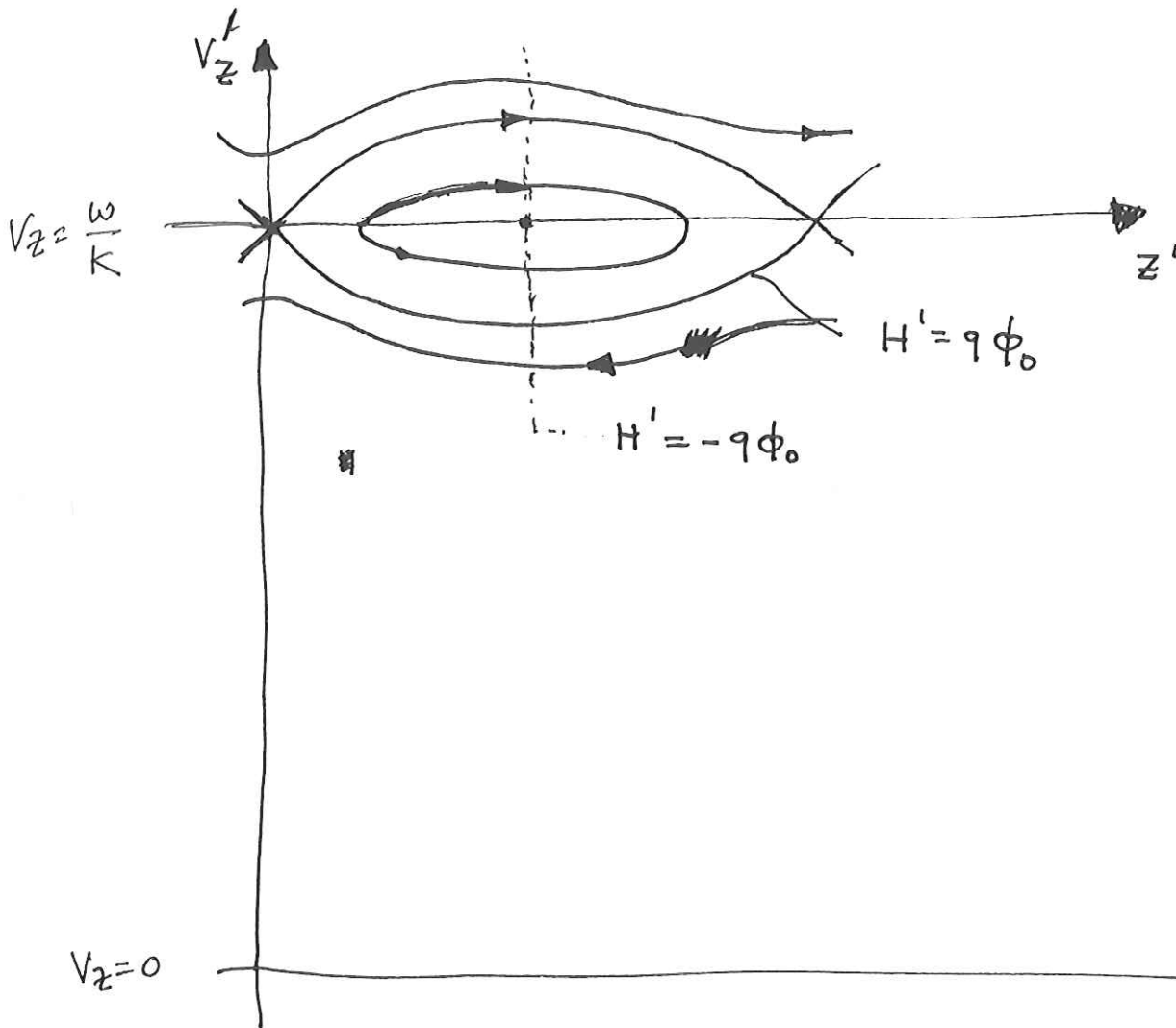
In the prime frame

$$E(z', t') = - \frac{\partial}{\partial z} \phi_0 \cos(k_z z')$$

no time dependence!

Hamiltonian in this frame is conserved

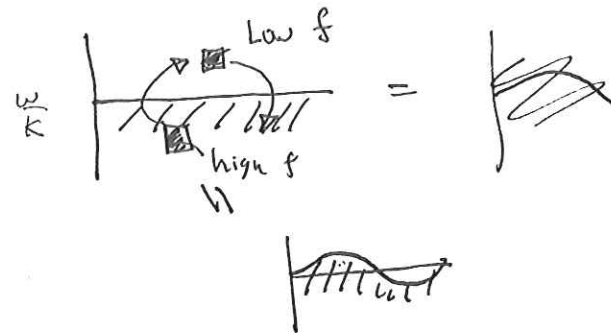
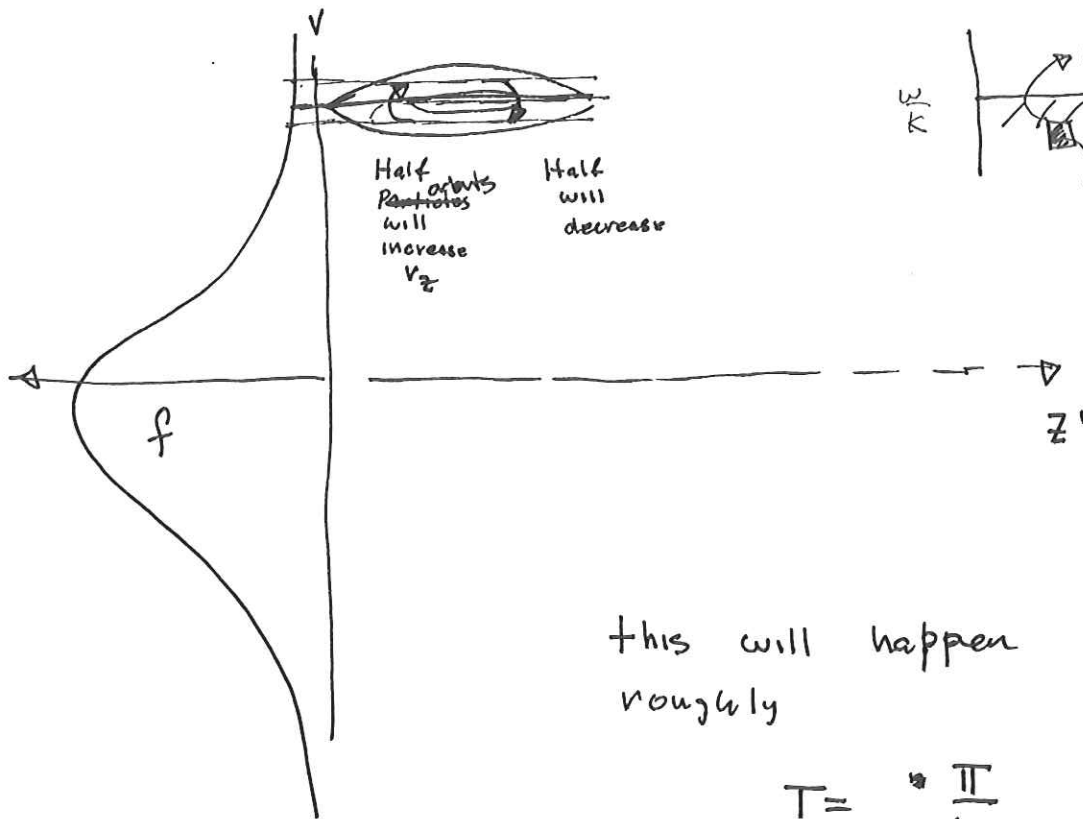
$$H' = \frac{1}{2} m V_z'^2 + q \phi_0 \cos k_z z' = \text{const for particles}$$



~~How~~ #

initial distribution $f_0(v_z)$

Particle energy increased



this will happen until
roughly

$$T = \frac{\pi}{\omega_B} \text{ — bounce time}$$

where T is the time for
a trapped particle to
complete one circuit in
the well

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Estimate this time by expanding around "0" point

$$\cos(k_z z) \approx -1 + \frac{1}{2}(k_z z' - \pi)^2$$

$$H' = \underbrace{\frac{1}{2} m v_z'^2 - q\phi_0 + q\phi_0 \frac{1}{2}(k_z z' - \pi)^2}_{\text{Harmonic Oscillator}}$$

$$\omega_B^2 = \frac{k_z^2 q \phi_0}{m}$$

$$T = \pi / \sqrt{\frac{k_z^2 q \phi_0}{m}}$$

for small amplitude wave $\phi_0 \rightarrow 0$
 $T \rightarrow \infty$

~~When~~ When does linear theory apply?

1) if damping rate γ

$$\gamma = -\text{Im}(s\omega)$$

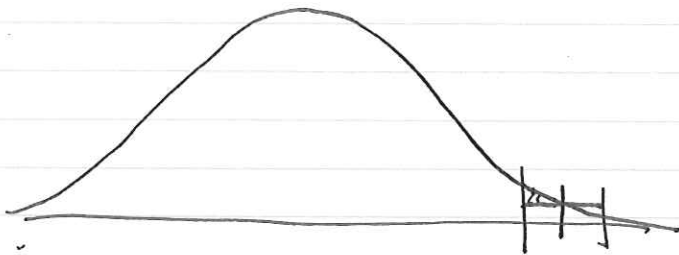
is large enough such that $\gamma T > 1$

wave damps before particles bounce

Linear theory o.k.

otherwise nonlinear theory applies

ENERGY REQUIRED TO FLUTTER f



$$\delta f = - \left(V_z - \frac{\omega}{k_z} \right) \frac{\partial f}{\partial V_z} \bigg|_{\frac{\omega}{k_z}}$$

$$\delta V \sim \sqrt{\frac{2\phi_0}{m}}$$

change in particle

$$\delta U = \int_{\frac{\omega}{k_z} - \delta V}^{\frac{\omega}{k_z} + \delta V} \frac{1}{2} m v^2 \delta f d^3 v$$

$$\delta U = \frac{\partial f}{\partial V_z} \bigg|_{\frac{\omega}{k_z}} \int_{\frac{\omega}{k_z} - \delta V}^{\frac{\omega}{k_z} + \delta V} dV_z \frac{1}{2} m V_z^2 \left(V_z - \frac{\omega}{k_z} \right)$$

$$\approx - \frac{\partial f}{\partial V_z} \bigg|_{\frac{\omega}{k_z}} m \left(\frac{\omega}{k_z} \right) \delta V^2$$

if $\delta V > \frac{E}{8\pi} \bigg|_{\text{initial}} \frac{\partial}{\partial \omega} \omega \epsilon$

linear
damping
occurs

The Plasma Dispersion Function

$$\epsilon(k, \omega) = 1 + \frac{4\pi q^2}{mk^2} \int d^3v \frac{k \cdot \frac{\partial f_0}{\partial v}}{\omega - k \cdot v}$$

D

$$\text{take } \underline{k} = k \hat{z}$$

$$f_0 = \frac{n_0}{(2\pi T/m)^{3/2}} \exp\left(-\frac{\frac{1}{2}m(v_{\perp}^2 + v_z^2)}{T}\right)$$

consider a Maxwellian Equilibrium Distribution function

$$k \cdot \frac{\partial f_0}{\partial v} = -\frac{k v_z m}{T} f_0$$

do $v_{\perp}$ integration

$$\epsilon(k, \omega) = 1 - \frac{4\pi q^2 n_0}{k^2 T} \int_{-\infty}^{\infty} \frac{dv_z}{(2\pi T/m)^{1/2}} \frac{k v_z}{\omega - k v_z} \exp\left(-\frac{1}{2} m v_z^2 / T\right)$$

$$= 1 + \frac{4\pi q^2 n_0}{k^2 T} \int_{-\infty}^{\infty} \frac{dv_z}{(2\pi T/m)^{1/2}} \left[1 + \frac{\omega}{k v_z - \omega} \right] \exp\left(-\frac{1}{2} m v_z^2 / T\right)$$

$$\epsilon(k, \omega) = 1 + \frac{4\pi q^2 n_0}{k^2 T} \left[1 + \int_{-\infty}^{\infty} \frac{dV_z}{\left(\frac{2\pi T}{m}\right)^{1/2}} \frac{\omega}{kV_z - \omega} \exp\left(-\frac{1}{2} m V_z^2 / T\right) \right]$$

NORMALIZE V_z to V_{th} $V_{th} = (2T/m)^{1/2}$
 $(\frac{1}{2} m V_{th}^2 = T)$

call $x = V_z / V_{th}$

call $\omega / kV_{th} = \xi$

$$\epsilon(k, \omega) = 1 + \frac{4\pi q^2 n_0}{k^2 T} \left[1 + \xi \int_{-\infty}^{\infty} \frac{dx}{\pi^{1/2}} \frac{1}{x - \xi} e^{-x^2} \right]$$

the integral

$$Z(\xi) \equiv \int_{-\infty}^{\infty} \frac{dx}{\pi^{1/2}} \frac{e^{-x^2}}{x - \xi}$$

is called the
plasma dispersion
function

for ξ

Related to
error function

A related function used by the some others is

$$W(\xi) = \int_{-\infty}^{\infty} \frac{dx}{\pi^{1/2}} \frac{x e^{-x^2}}{\xi - x}$$

$$1 + \xi Z(\xi) = -W(\xi)$$

For large argument $\xi \gg 1$

$$\frac{1}{x - \xi} = \frac{-1}{(\xi - x)} \approx -\frac{1}{\xi} \left[1 + \frac{x}{\xi} + \frac{x^2}{\xi^2} + \frac{x^3}{\xi^3} + \dots \right]$$

$$Z(\xi) = - \int_{-\infty}^{\infty} \frac{dx}{\pi^{1/2}} e^{-x^2} \frac{1}{\xi} \left[1 + \frac{x}{\xi} + \frac{x^2}{\xi^2} + \frac{x^3}{\xi^3} + \dots \right]$$

$$= - \frac{1}{\xi} \left[1 + \frac{0}{\xi} + \frac{1}{2\xi^2} + \frac{0}{\xi^3} + \frac{3}{4} \frac{1}{\xi^4} \right]$$

$$1 + \xi Z(\xi) = 1 - \left(1 + \frac{1}{2} \xi^2 + \frac{3}{4} \frac{1}{\xi^4} \right)$$

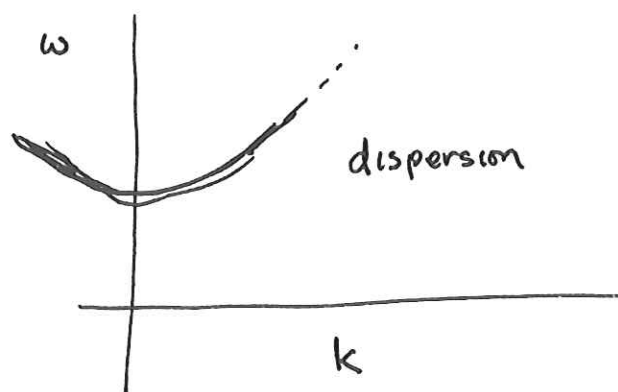
$$\epsilon = 1 - \frac{4\pi q^2 n_0}{k^2 T} \left[\frac{1}{2} \frac{k^2 V_t^2}{\omega^2} + \frac{3}{4} \frac{k^4 V_t^4}{\omega^4} \right]$$

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} \left[1 + \frac{3}{2} \frac{k^2 V_t^2}{\omega^2} \right] \quad \left(\frac{2T}{m} = V_t^2 \right)$$

↑ thermal
correct

$$\epsilon = 0$$

$$\omega^2 = \omega_p^2 \left[1 + 3 \frac{k^2 T_e}{m \omega^2} \right]$$



PLASMA DISPERSION FUNCTION

Tabulated
by
Fried
and Conte

Definition¹⁶ (first form valid only for $\text{Im } \zeta > 0$):

$$Z(\zeta) = \pi^{-1/2} \int_{-\infty}^{+\infty} \frac{dt \exp(-t^2)}{t - \zeta} = 2i \exp(-\zeta^2) \int_{-\infty}^{i\zeta} dt \exp(-t^2).$$

Physically, $\zeta = x + iy$ is the ratio of wave phase velocity to thermal velocity.

Differential equation:

$$\frac{dZ}{d\zeta} = -2(1 + \zeta Z), \quad Z(0) = i\pi^{1/2}; \quad \frac{d^2 Z}{d\zeta^2} + 2\zeta \frac{dZ}{d\zeta} + 2Z = 0.$$

Real argument ($y = 0$):

$$Z(x) = \exp(-x^2) \left(i\pi^{1/2} - 2 \int_0^x dt \exp(t^2) \right).$$

Imaginary argument ($x = 0$):

$$Z(iy) = i\pi^{1/2} \exp(y^2) [1 - \text{erf}(y)].$$

Power series (small argument):

$$Z(\zeta) = i\pi^{1/2} \exp(-\zeta^2) - 2\zeta \left(1 - 2\zeta^2/3 + 4\zeta^4/15 - 8\zeta^6/105 + \dots \right).$$

Asymptotic series, $|\zeta| \gg 1$ (Ref. 17):

$$Z(\zeta) = i\pi^{1/2} \sigma \exp(-\zeta^2) - \zeta^{-1} \left(1 + 1/2\zeta^2 + 3/4\zeta^4 + 15/8\zeta^6 + \dots \right),$$

where

$$\sigma = \begin{cases} 0 & y > |x|^{-1} \\ 1 & |y| < |x|^{-1} \\ 2 & y < -|x|^{-1} \end{cases}$$

Symmetry properties (the asterisk denotes complex conjugation):

$$Z(\zeta^*) = -[Z(-\zeta)]^*;$$

$$Z(\zeta^*) = [Z(\zeta)]^* + 2i\pi^{1/2} \exp[-(\zeta^*)^2] \quad (y > 0).$$

Two-pole approximations¹⁸ (good for ζ in upper half plane except when $y < \pi^{1/2}x^2 \exp(-x^2)$, $x \gg 1$):

$$Z(\zeta) \approx \frac{0.50 + 0.81i}{a - \zeta} - \frac{0.50 - 0.81i}{a^* + \zeta}, \quad a = 0.51 - 0.81i;$$

$$Z'(\zeta) \approx \frac{0.50 + 0.96i}{(b - \zeta)^2} + \frac{0.50 - 0.96i}{(b^* + \zeta)^2}, \quad b = 0.48 - 0.91i.$$

Imaginary Part of Z for real ξ

$$\text{Im} \{Z(\xi)\} = \pi^{1/2} e^{-\xi^2}$$

For small argument

$$Z(\xi) \simeq i\pi^{1/2} e^{-\xi^2} - 2\xi \left(1 - \frac{2\xi^2}{3} + \frac{4\xi^4}{15} - \dots\right)$$

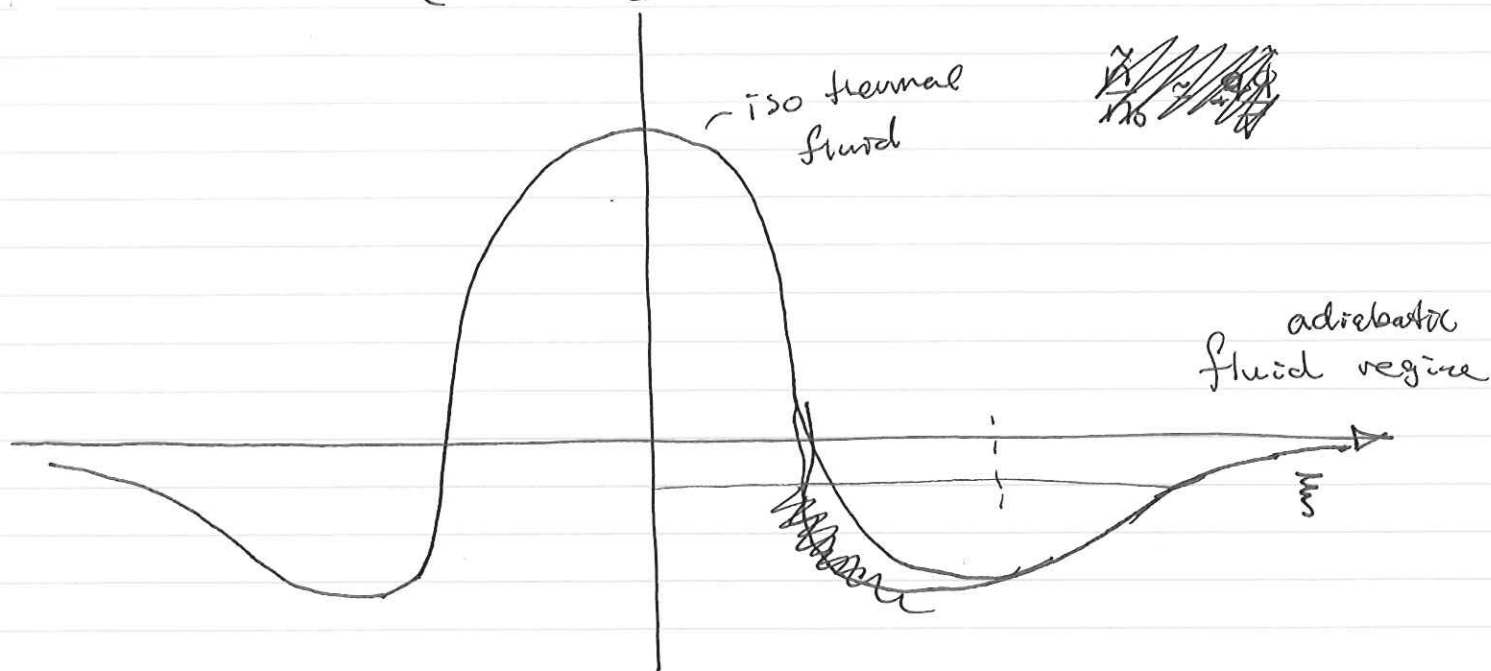
$$1 + \xi Z(\xi) \simeq \cancel{1 + i} \dots$$

$$1 - 2\xi^2 + i\pi^{1/2}\xi e^{-\xi^2}$$

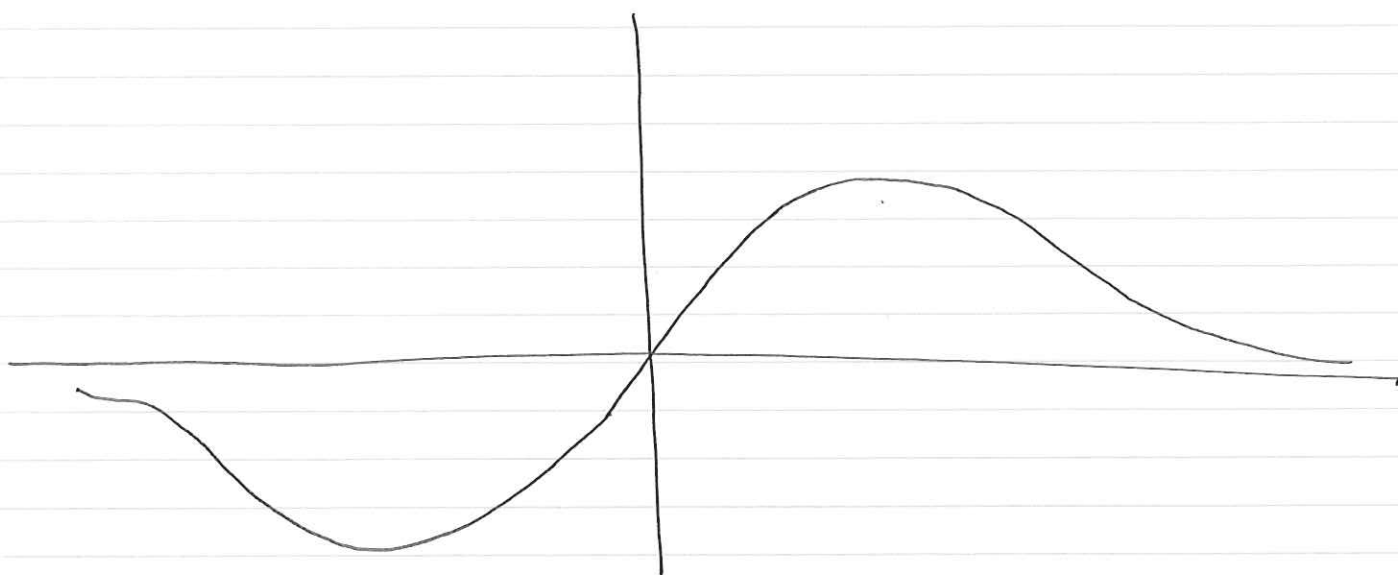
For large argument

$$1 + \xi Z(\xi) \simeq -\left(\frac{1}{2\xi^2} + \frac{3}{4\xi^4}\right) + i\pi^{1/2}\xi e^{-\xi^2}$$

$$\operatorname{Re}\{1 + \xi Z(\zeta)\}$$



$$\operatorname{Im} \xi Z(\zeta)$$

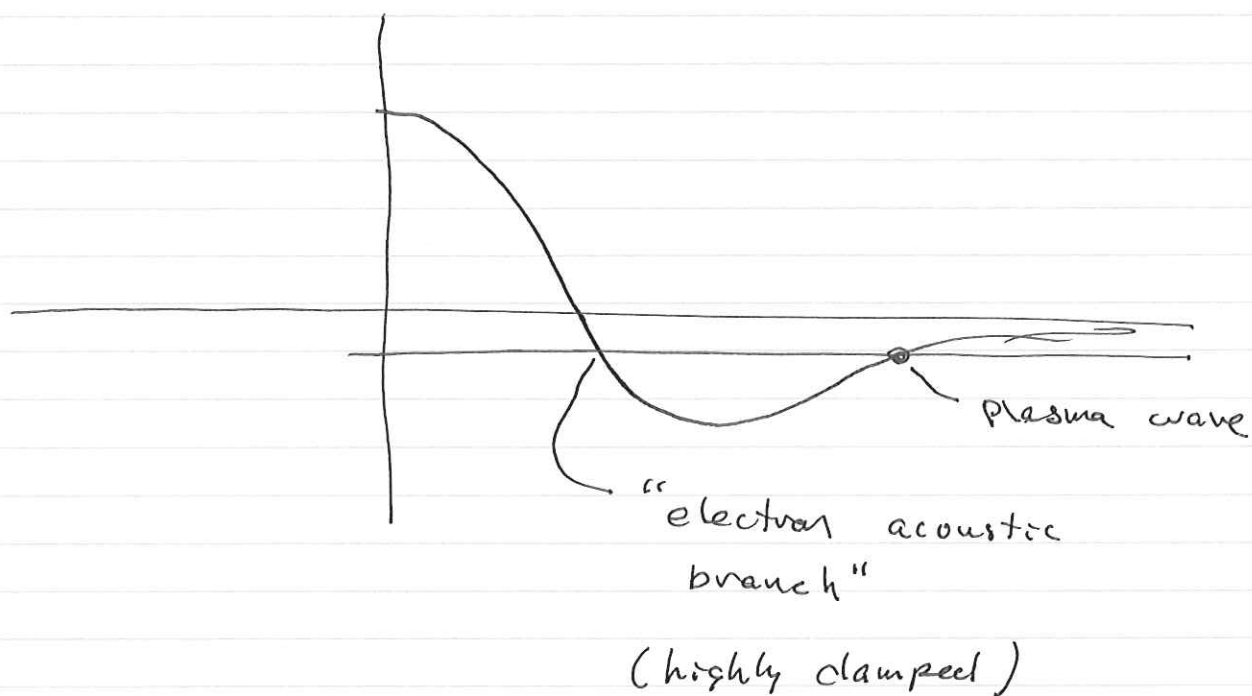


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$$\epsilon = 1 + \frac{4\pi q^2 n_0}{T} \left[1 + \frac{\omega}{k} Z\left(\frac{\omega}{k}\right) \right]$$

Suppose we didn't worry about $\text{Im}\{\epsilon\}$

$\epsilon_r = 0$ has two solutions



suppose $\omega \rightarrow 0$

$$\boxed{\underline{\delta n} = -n_0 \frac{q\phi}{T}}$$

"adiabatic"
isothermal