

Fitzpatrick

Kinetic.pdf

GAR

Ch 23

Chandhuri

Ch 12.4

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Electrostatic Waves in a warm Plasma

Recall for a cold plasma we found

$$\epsilon = 1 - \sum_{e,i} \frac{\omega_{pe,i}^2}{\omega^2}$$

where $\omega_{pe,i}^2 = \frac{4\pi n_{e,i} q_{e,i}^2}{m_{e,i}}$

$$\omega_{pe}^2 \gg \omega_{pi}^2$$

normal

electrostatic

mode

normal

$$\epsilon = 0$$

$$\omega \approx \pm \omega_{pe}$$

* We will investigate the case of
of nonzero Temperature

* How will we do this

* Solve Vlasov Equation

Step #1

find an equilibrium solution in which $f_{e,i}$ is independent of time

we will assume $\underline{E} = 0$ in equilibrium

$\$$

$$\sum_{e,i} q_{e,i} n_{e,i,0} = 0$$

CHARGE NEUTRALITY

STEP #2

We will assume a small electric field is present in the form of a wave

$$\underline{E} = -\nabla\phi$$

$$\phi = \text{Re} \{ \hat{\phi} e^{i(\underline{k} \cdot \underline{x} - \omega t)} \}$$

$\hat{\phi}$ = complex amplitude

$\underline{k}$ = vector wave number

ω = frequency

STEP #3

We will solve the linearized V.E. to

find the perturbed distribution function

(15)

$$f(\underline{x}, \underline{v}) = \overset{\text{equilibrium}}{f_0} + \underset{\text{perturbation}}{\text{Re}\{\hat{f} e^{i(\underline{k}\cdot\underline{x} - \omega t)}\}}$$

$$\text{assume } |\hat{f}| \ll |f_0|$$

Step #4 We will compute the perturbed charge density

$$\rho = \text{Re}\{\hat{\rho} e^{i(\underline{k}\cdot\underline{x} - \omega t)}\}$$

Step #5 we will solve the Poisson equation.

$$-\nabla^2 \text{Re}\{\hat{\phi} e^{i(\underline{k}\cdot\underline{x} - \omega t)}\} = 4\pi \text{Re}\{\hat{\rho} e^{i(\underline{k}\cdot\underline{x} - \omega t)}\}$$

From which we will extract $\epsilon(\omega, \underline{k})$

Step #1Find equilibrium solution
corresponding to $\underline{E} = 0$

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{q}{m} \underline{E} \cdot \frac{\partial f}{\partial \underline{v}} = 0$$

$$f = f_0 + \text{Re}\{\hat{f} e^{i(\underline{k} \cdot \underline{x} - \omega t)}\}$$

$$\underline{E} = -\nabla \phi \quad \phi = \text{Re}\{\hat{\phi} e^{i(\underline{k} \cdot \underline{x} - \omega t)}\}$$

Equilibrium

$$\left. \begin{array}{l} \frac{\partial f_0}{\partial t} = 0 \\ \underline{E} = 0 \end{array} \right\}$$

$$\underline{v} \cdot \frac{\partial f_0}{\partial \underline{x}} = 0$$

$$f_0 = f_0(\underline{v})$$

arbitrary function of
velocity

independent of space

$$n_0 = \int d^3v f_0$$

we require $\sum_{e,i} q_{e,i} \int d^3v f_{e,i,0} = 0$

Step #2

$$\underline{E} = -\nabla\phi$$

$$\phi = \text{Re}\{\hat{\phi} e^{i(\underline{k}\cdot\underline{x}-\omega t)}\}$$

$$\underline{E} = \text{Re}\{-i\underline{k}\hat{\phi} e^{i(\underline{k}\cdot\underline{x}-\omega t)}\}$$

Step #3

solve the linearized VE

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \nabla f + \frac{q}{m} \text{Re}\{-i\underline{k}\hat{\phi} e^{i(\underline{k}\cdot\underline{x}-\omega t)}\} \cdot \frac{\partial f}{\partial \underline{v}} = 0$$

$$f = f_0 + \text{perturbation} \quad \text{perturbation} \propto \phi$$

DROP SECOND ORDER IN ϕ TERM

$$\left(\frac{\partial}{\partial t} + \underline{v} \cdot \nabla\right) \text{Re}\{\hat{f} e^{i(\underline{k}\cdot\underline{x}-\omega t)}\} + \text{Re}\left\{-i\underline{k}\hat{\phi} \frac{q\hat{\phi}}{m}, \frac{\partial f_0}{\partial \underline{v}}\right\} = 0$$

$$\text{Re}\left\{-i(\omega - \underline{k} \cdot \underline{v}) \hat{f} e^{i(\underline{k}\cdot\underline{x}-\omega t)} - i\underline{k} \frac{q\hat{\phi}}{m}, \frac{\partial f_0}{\partial \underline{v}}\right\} = 0$$

$$-i(\omega - \vec{k} \cdot \vec{v}) \hat{f} - i \frac{q \hat{\phi}}{m} \vec{k} \cdot \frac{\partial \hat{f}_0}{\partial \vec{v}} = 0$$

so

$$\hat{f} = -\frac{q}{m} \frac{\hat{\phi}}{(\omega - \vec{k} \cdot \vec{v})} \vec{k} \cdot \frac{\partial \hat{f}_0}{\partial \vec{v}}$$

$\omega - \vec{k} \cdot \vec{v}$ = doppler shifted frequency
for particles with velocity $\vec{v}$

Step #4

$$\rho = \text{Re} \{ \hat{\rho} e^{i(\vec{k} \cdot \vec{r} - \omega t)} \}$$

$$\hat{\rho} = \sum_{ei} \int d^3v \, q_{ei} \hat{f}_{ei}$$

(17)

$$\hat{\rho} = - \sum_{e,i} \int d^3v \frac{q_{e,i}^2}{m_{e,i}} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})} \hat{\phi}$$

note: $\hat{\rho}$ is proportional to $\hat{\phi}$

Step #5 Poisson Equation

$$-\nabla^2 \text{Re}\{\hat{\phi} e^{i(\underline{k} \cdot \underline{x} - \omega t)}\} = 4\pi \text{Re}\{\hat{\rho} e^{i(\underline{k} \cdot \underline{x} - \omega t)}\}$$

$\longmapsto$

$$k^2 \hat{\phi} = 4\pi \hat{\rho} = - \sum_{e,i} \int d^3v \frac{4\pi q_{e,i}}{m_{e,i}} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})} \hat{\phi}$$

OR

$$k^2 \left(1 + \frac{1}{k^2} \sum_{e,i} \int d^3v \frac{4\pi q_{e,i}}{m_{e,i}} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})} \right) \hat{\phi} = 0$$

$$\underbrace{\left(1 + \frac{1}{k^2} \sum_{e,i} \int d^3v \frac{4\pi q_{e,i}}{m_{e,i}} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})} \right)}_{\epsilon(\omega, \underline{k})}$$

$$\boxed{\epsilon(\omega, \underline{k}) = 1 + \frac{1}{k^2} \sum_{e,i} \int d^3v \frac{4\pi q_{e,i}}{m_{e,i}} \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})}}$$

Reality check

FIRST DO velocity integral by parts

$$\epsilon(\omega, \underline{k}) = 1 - \sum_i \frac{1}{q_i k^2} \int d^3 v \frac{4\pi q_{e,i}^2}{m_{e,i}} f_0 \underline{k} \cdot \frac{\partial}{\partial \underline{v}} \frac{1}{(\omega - \underline{k} \cdot \underline{v})}$$

$$\underline{k} \cdot \frac{\partial}{\partial \underline{v}} \frac{1}{(\omega - \underline{k} \cdot \underline{v})} = - \frac{1}{(\omega - \underline{k} \cdot \underline{v})^2} \underline{k} \cdot \frac{\partial}{\partial \underline{v}} (-\underline{k} \cdot \underline{v})$$

$$\begin{aligned} \underline{k} \cdot \sum_{ij} k_i \frac{\partial}{\partial v_i} k_j v_j &= \sum_{ij} k_i k_j \frac{\partial v_j}{\partial v_i} \\ &= \delta_{ij} = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases} \\ &= \sum_i k_i^2 = k^2 \end{aligned}$$

$$\epsilon(\omega, \underline{k}) = 1 - \sum_i \int d^3 v \frac{4\pi q_{e,i}^2}{m_{e,i}} \frac{f_0(\underline{v})}{(\omega - \underline{k} \cdot \underline{v})^2}$$

Suppose plasma is cold,

$$f_0(\underline{v}) \rightarrow 0 \quad \text{when} \quad |\underline{v}| > v_{\text{typical}} \sim \sqrt{\frac{2T}{m}}$$

if $k v_{\text{typical}} \ll \omega$ then can neglect Doppler shift

$$\epsilon(\omega, \underline{k}) = 1 - \sum_{e,i} \frac{4\pi q_{e,i}^2}{m_{e,i} \omega^2} \underbrace{\int d^3v f_0(\underline{v})}_{n_{e,i,0}}$$

$$= 1 - \sum_{e,i} \frac{\omega_{pe,i}^2}{\omega^2} \quad \text{our old result}$$

OLD Result applies when $v_{typ} \ll \frac{\omega}{|k|}$

Doppler shift is small

Interpretation each group of electrons acts as a density $dn = d^3v f_0(\underline{v})$

has Doppler Shift $\omega - \underline{k} \cdot \underline{v}$

$$\epsilon(\omega, \underline{k}) = 1 - \sum_{e,i} \int \frac{4\pi q_{e,i}^2 dn_{e,i}}{m_{e,i} (\omega - \underline{k} \cdot \underline{v})^2}$$

$$d\omega_{pe,i}^2 = \frac{4\pi q_{e,i}^2 dn}{m_{e,i}}$$

Note, for electrostatic dielectric only velocities in direction of $\underline{k}$ are important

$$\epsilon(\omega, \underline{k}) = 1 - \sum_{ji} \frac{4\pi q_j^2}{m} \int \frac{d^3 v f(\underline{v})}{(\omega - \underline{k} \cdot \underline{v})^2}$$

Take $\underline{k}$ to be in x-direction

$$\epsilon(\omega, k) = 1 - \sum_{ji} \frac{4\pi q_j^2}{m} \int \frac{dv_x dv_y dv_z f(v_x, v_y, v_z)}{(\omega - k v_x)^2}$$

Do v_y & v_z integrals

$$\epsilon(\omega, k) = 1 - \sum_{ji} \frac{4\pi q_j^2}{m} \int \frac{dv_x f_{1D}(v_x)}{(\omega - k v_x)^2}$$

$$f_{1D}(v_x) = \int dv_y dv_z f(v_x, v_y, v_z)$$

NEXT STEP CONSIDER FIRST APPROXIMATION

$$\underline{k} \cdot \underline{v} \ll \omega$$

$$\underline{v} = \underbrace{\underline{u}}_{\text{average}} + \underline{sv}$$

$$\text{assume } |\underline{k} \cdot \underline{sv}| \ll \omega$$

$$\frac{1}{\omega - \underline{k} \cdot \underline{v}} = \frac{1}{\omega - \underbrace{\underline{k} \cdot \underline{u}}_{\text{doppler freq}} - \underline{k} \cdot \underline{sv}} = \frac{1}{\omega_d - \underline{k} \cdot \underline{sv}}$$

EXPAND DENOMINATOR

$$\frac{1}{(\omega_d - \underline{k} \cdot \underline{sv})^2} \approx \frac{1}{\omega_d^2} \left[1 + 2 \frac{\underline{k} \cdot \underline{sv}}{\omega_d} + \frac{3 (\underline{k} \cdot \underline{sv})^2}{\omega_d^2} + \dots \right]$$

$$\begin{aligned} \int \frac{d^3 v f_0(\underline{v})}{(\omega_d - \underline{k} \cdot \underline{sv})} &\approx \frac{1}{\omega_d^2} \int d^3 v f_0 \left(1 + \frac{2 \underline{k} \cdot \underline{sv}}{\omega_d} + \frac{3 (\underline{k} \cdot \underline{sv})^2}{\omega_d^2} + \dots \right) \\ &\approx \frac{1}{\omega_d^2} \left[n_0 + \frac{0}{\omega_d} + \frac{3}{\omega_d^2} \int d^3 v (\underline{k} \cdot \underline{sv})^2 f_0 \right] \end{aligned}$$

$$\int d^3 v (\underline{k} \cdot \underline{sv})^2 f_0 = \frac{1}{m} \underline{k} \cdot \underline{\pi} \cdot \underline{k} = \cancel{\frac{1}{m} \underline{k} \cdot \underline{\pi} \cdot \underline{k}} = \frac{k^2 p}{m}$$

for TE

USE $P = nT$

$$\epsilon \approx 1 - \frac{\omega_p^2}{\omega^2} \left(1 + 3 \frac{k^2 T}{m \omega^2} \right)$$

$\frac{T}{m}$ has units $\#$ of velocity²

$$\frac{T}{m} = v_{th}^2 \quad v_{th} = \text{typical thermal velocity}$$

small correction if $|k v_t| \ll \omega$

or $v_t \ll \left| \frac{\omega}{k} \right|$ thermal velocity much less than phase velocity of wave.

Lets solve for ω for a given k

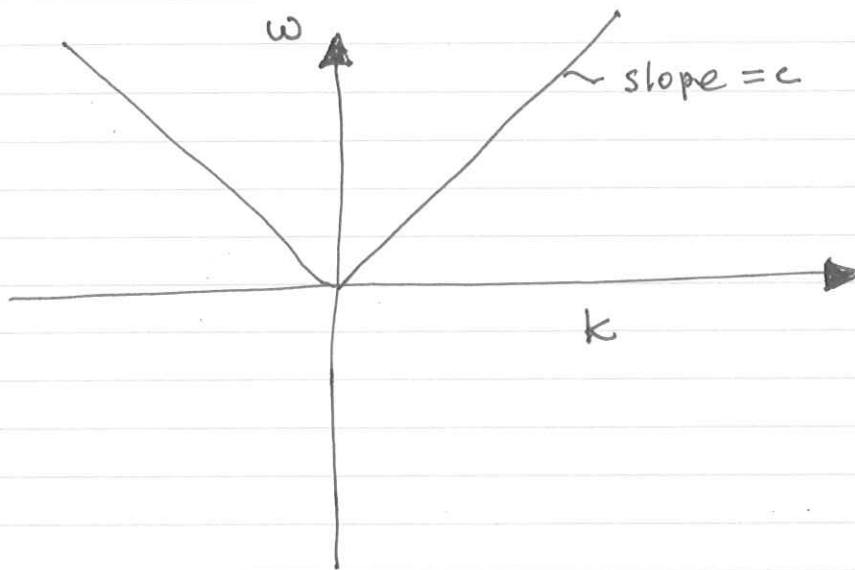
$$\epsilon(\omega, k) = 0 \Rightarrow \omega(k)$$

Normal mode frequency

This is known as a dispersion relation

For Light waves in vacuum

$$\omega^2 = k^2 c^2$$



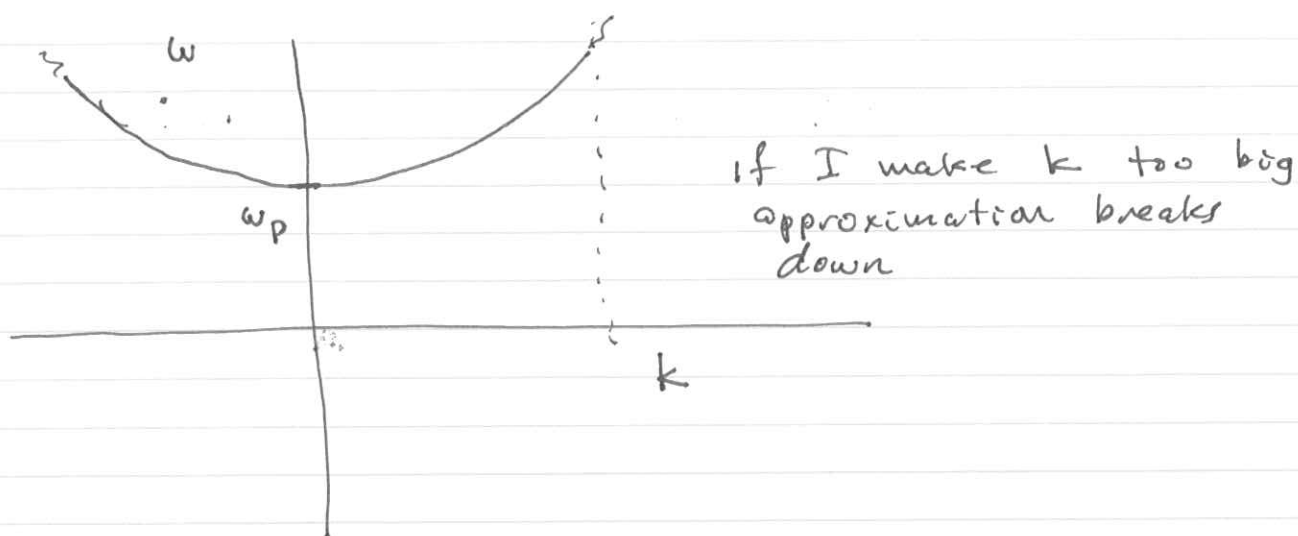
Solve $\epsilon \approx 0$

Appears to be a quadratic relation

$$\omega^2 \approx \omega_p^2 \left(1 + 3 \frac{k^2 T}{m \omega_p^2} \right) \approx \omega_p^2 + 3 \frac{k^2 T}{m}$$

~~$$\omega = \sqrt{\omega_p^2 + 3 \frac{k^2 T}{m}}$$~~

$$\omega = \sqrt{\omega_p^2 + 3 \frac{k^2 T}{m}} \approx \omega_p \left(1 + \frac{3}{2} \frac{k^2 T}{m \omega_p^2} \right)$$



Can we understand this based on fluid equations?

Ans: yes

$$\omega = \omega_p \left(1 + \frac{3}{2} k^2 \lambda_D^2 \right)$$

$$\lambda_D^2 = \frac{T}{4\pi n e^2} = \frac{T}{m \omega_p^2}$$

good as long as $k \lambda_D \ll 1$

wave lengths long compared with
Debye length

⊗ Apply same procedure to solution of fluid equations

continuity

$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x} n \underline{u} = 0$$

Momentum

$$(mn) \left[\frac{\partial \underline{u}}{\partial t} + \underline{u} \cdot \nabla \underline{u} \right] = -\nabla p + q \underline{E}^n$$

$$\underline{E} = -\nabla \phi$$

Internal energy

ratio of specific heats

$$\left(\frac{\partial p}{\partial t} + \underline{u} \cdot \nabla p \right) + \gamma_s p \nabla \cdot \underline{u} = 0$$

Equilibrium :

$$p = p_0 \quad n = n_0 \quad \text{— constants}$$

$$\underline{u}_0, \phi_0 = 0$$

— no flow no potential

Perturbation

$$p = p_0 + \text{Re} \{ \hat{p} e^{i\mathbf{k} \cdot \mathbf{x} - i\omega t} \}$$

$$n = n_0 + \text{Re} \{ \hat{n} e^{i\mathbf{k} \cdot \mathbf{x} - i\omega t} \}$$

$$\underline{u} = \text{Re} \{ \hat{\underline{u}} e^{i\mathbf{k} \cdot \mathbf{x} - i\omega t} \}$$

$$\phi = \text{Re} \{ \hat{\phi} e^{i\mathbf{k} \cdot \mathbf{x} - i\omega t} \}$$

continuity

drop $\hat{n}\hat{u}$ (non linear term)

$$-i\omega\hat{n} + i\vec{k}\cdot\hat{n}_0\hat{u} = 0$$

$$m n_0 (-i\omega\hat{u}) = -i\vec{k}\hat{p} - q n_0 i k \hat{\phi}$$

$$-i\omega\hat{p} + \gamma_s p_0 i\vec{k}\cdot\hat{u} = 0$$

eliminate $\hat{p}$ & $\hat{u}$ to find $\hat{n}$ in terms of $\hat{\phi}$

$$\frac{\hat{p}}{p_0} = \gamma_s \frac{\hat{n}}{n_0}$$

$$-i\omega m n_0 \hat{u} = -i\vec{k} \left(p_0 \gamma_s \frac{\hat{n}}{n_0} + q n_0 \hat{\phi} \right)$$

$$+i\omega\hat{n} = i n_0 \vec{k}\cdot\hat{u} \quad \frac{p_0}{n_0} = T$$

$$\omega^2 m \hat{n} = k^2 \left(\gamma_s \frac{p_0}{n_0} \frac{\hat{n}}{n_0} + q n_0 \hat{\phi} \right)$$

$$\hat{n} = \frac{k^2 q n_0 \hat{\phi}}{\omega^2 - \frac{k^2 \gamma_s T}{m}}$$

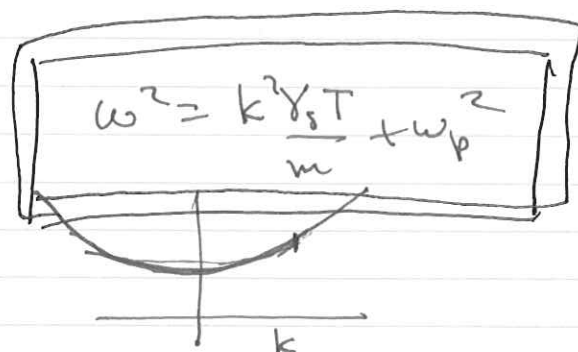
charge density

$$4\pi \hat{\rho} = 4\pi q \hat{n}$$

Poisson Equation

$$k^2 \hat{\phi} = 4\pi q \hat{n} = \frac{k^2 \omega_p^2}{\omega^2 - k^2 \gamma_s T/m}$$

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2 - k^2 \gamma_s T/m}$$



if we assume $k^2 \gamma_s T/m \ll \omega^2$ as before

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} \left[1 + \gamma_s \frac{k^2 T}{m \omega^2} + \dots \right]$$

compare with $\frac{\omega_p^2}{\omega^2}$ the same

$$\epsilon = 1 - \frac{\omega_p^2}{\omega^2} \left[1 + 3 \frac{k^2 T}{m \omega^2} + \dots \right]$$

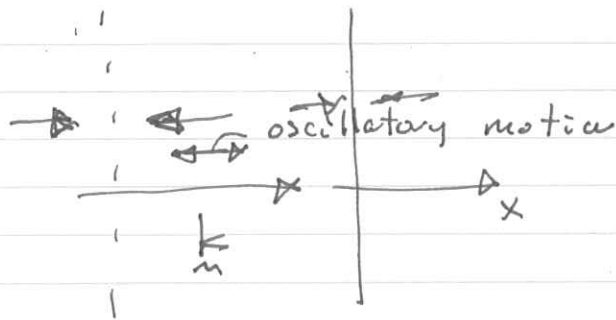
$$\gamma_s = \frac{n_f + 2}{n_f}$$

To get $\gamma_s = 3$ we must have $n_f = 1$
(not 3)

Explanation: ~~collisionless plasma~~

~~compression~~

Wave perturbation involves
a compression in 1D



This increases
internal
energy associated
with motion in
x direction

In a collisional fluid ~~beats~~ raises $\frac{1}{2} m s v_x^2$

collisions quickly redistribute
this energy to other degrees of freedom

$$n_f = 3 \quad \frac{1}{2} m s v_y^2 + \frac{1}{2} m s v_x^2$$

In collisionless plasma

energy remains
in $\frac{1}{2} m s v_x^2$

not shared

$$n_f = 1$$

Landau Damping

We have been avoiding the a big problem - the caus

$$\epsilon = 1 + \frac{4\pi q^2}{m k^2} \int d^3 v \frac{\underline{k} \cdot \frac{\partial f_0}{\partial \underline{v}}}{(\omega - \underline{k} \cdot \underline{v})}$$

OR

$$= 1 - \frac{4\pi q^2}{m} \int d^3 v \frac{f_0}{(\omega - \underline{k} \cdot \underline{v})^2}$$

what happens when $\underline{k} \cdot \underline{v} \approx \omega$

what happens when $\omega = \underline{k} \cdot \underline{v}$?

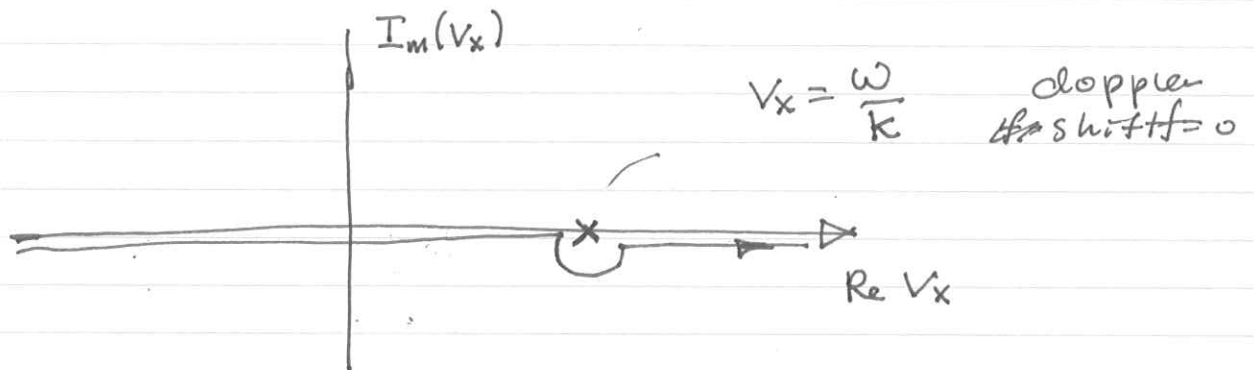
Integral is singular

~~we~~

Focus on 1D

$$\epsilon = 1 + \frac{4\pi q^2}{m k^2} \int dv_x \frac{k \partial f_0 / \partial v_x}{\omega - k v_x}$$

SHORT ANSWER MUST PERFORM
CONTOUR OF INTEGRATION



ϵ becomes complex

dissipation — waves are damped

Landau damping