

Date due **Oct. 22, 2020, 8:00pm.**

Please submit your work as a **single PDF file** to ELMS/Canvas under the Assignments tab

- Papers submitted as multiple pictures of individual pages are difficult for grading and **will not be accepted.**
- Justification of solutions is required.
- Each problem is worth 10 points. A subset of problems will be graded.

**Problem 1.** (In this problem you will learn a new method of proving results that rely on random choice from finite sets)

Below we use the notation  $V_w$  for the volume of the ball in the  $q$ -ary Hamming space  $Q^n$ ,  $V_w = \sum_{i=0}^w \binom{n}{i} (q-1)^i$ .

We are constructing a random code of size  $M$ . Let  $d$  be the target value of the code's distance. Our goal is to estimate  $M$  from below as a function of  $d$ . The GV bound suggests that there may exist codes of size  $M > q^n/V_{d-1}$  (we have proved this for linear codes over fields). Let us show that even if  $Q$  is not a field, and so our codes are not linear, it is still possible to prove the GV bound by random choice (up to a constant multiplier).

Call a point in the code *good* if its distance to any other code point is  $\geq d$ . Call an ordered set of  $M$  points in  $Q^n$  *good* if all of its points are good.

(a) Estimate from above the number of bad choices for the  $i$ th code point if all the other  $M-1$  points are fixed.

(b) Estimate from above the number of choices for the remaining  $M-1$  points, and derive an upper bound on the number of codes of size  $M$  in which the  $i$ th point is bad.

(c) Estimate from above the number of bad codes of size  $M$ . If this bound is less than the total number of codes of size  $M$ , i.e.,  $q^{nM}$ , there exists a good code. This gives a bound on  $M$  in terms of  $d$ , but the result is a far cry from GV (you should get for  $M$  the inequality  $M(M-1)V_{d-1} < q^n$ , bad.)

(d) Show that the average number of bad points in the code is  $\leq M(M-1)V_{d-1}/q^n$  and argue that there is a code, denote it  $A$ , with at most that many bad points. Choose  $M$  such that the average number of bad points  $\leq M/2$ , and discard them from the code  $A$ . The remaining code is good, and its size  $M > q^n/4V_{d-1}$ . This is where we wanted to be.

**Problem 2.** (Exercises for finite fields; please justify all answers)

(a) How many zeros does the polynomial  $x^4 + x^3 + 1$  have in  $\mathbb{F}_{16}$ ? The same question about  $x^4 + x^2 + x$ . Please justify your answers without substituting all the elements of  $\mathbb{F}_{16}$  into the polynomials.

(b) In the lectures we constructed  $\mathbb{F}_{16}$  using the powers  $\alpha^0, \alpha^1, \dots, \alpha^{14}$  of a root  $\alpha$  of the polynomial  $x^4 + x + 1$ . Now construct the finite field  $\mathbb{F}_{16}$  by adding to  $\mathbb{F}_2$  a root  $\xi$  of the polynomial  $x^4 + x^3 + 1$ , and express every nonzero element  $\alpha^j$ ,  $0 \leq j \leq 14$  as a power of  $\xi$ .

(c) Consider the polynomial  $f(x) = x^4 + x^3 + x^2 + x + 1$ . Is  $f(x)$  irreducible over  $\mathbb{F}_2$ ? Is  $f(x)$  primitive over  $\mathbb{F}_2$ ? Add to  $\mathbb{F}_2$  the roots of  $f(x)$  and prove that in this way we obtain the field  $\mathbb{F}_{16}$ .

Let  $\xi \in \mathbb{F}_{16}$  be a root of  $f(x)$ , i.e.,  $f(\xi) = 0$ . Show that  $1 + \xi$  is a primitive element in  $\mathbb{F}_{16}$  (the easiest is to express  $1 + \xi$  as some power of  $\alpha$  from part (b)).

(d) Prove that  $\mathbb{F}_{p^l}$  is a subfield of  $\mathbb{F}_{p^m}$  if and only if  $l$  divides  $m$ . Thus,  $\mathbb{F}_4$  is a subfield of  $\mathbb{F}_{16}$  and  $\mathbb{F}_8$  is not, but both  $\mathbb{F}_4$  and  $\mathbb{F}_8$  are subfields in  $\mathbb{F}_{64}$ . Take a primitive polynomial of degree 6 over  $\mathbb{F}_2$  (google for the tables, or construct yourself) and let  $\alpha$  be its root. Identify the elements of  $\mathbb{F}_4$  and  $\mathbb{F}_8$  in terms of the powers of  $\alpha$ . In particular, you will obtain that  $\mathbb{F}_4 = \{0, 1, \alpha^i, \alpha^j\}$  for some  $i, j$ . Show that  $\alpha^i + \alpha^j \in \mathbb{F}_4$ .

**Problem 3.** (Computers OK, but justification required. In each of (a),(b),(c) explain why your result is correct.)

(a) Is 2 is a primitive element of the field  $F := \mathbb{F}_{13}$ ?

(b) Write out a parity-check matrix of the  $[n = 12, k = 8]$  RS code  $C$  over  $F$  (explain how you obtained it).

(c) Suppose that a codeword  $c \in C$  was transmitted over the channel, and the received vector is

$$y = (2, 0, 10, 3, 10, 2, 4, 12, 0, 8, 9, 6).$$

Is  $y$  a codeword of the code  $C$ ? Perform the steps of the Berlekamp-Welch algorithm to recover the transmitted vector  $c$ . Once you have found  $c$ , explain why this is a correct answer.

You will use some software, I advise GAP ([www.gap-system.org](http://www.gap-system.org)). It knows quite a bit about finite fields and codes. Here is a little example:

```
gap> LoadPackage("guava", "2.1");
gap> x:=Indeterminate(GF(13), "x");;
gap> C:=ReedSolomonCode(12,5);
a cyclic [12,8,5]3..4 Reed-Solomon code over GF(13)
gap> GeneratorMat(C);
```

(answer not shown)

At this point GAP knows that  $C$  is a Reed-Solomon code and can compute a lot about it.

**Problem 4.** (Cyclic RS codes)

(a) Let  $F = \mathbb{F}_q$  be a finite field with primitive element  $\alpha$ . Let  $\Omega = (\alpha^i, i = 0, 1, \dots, q-2)$  be the set of nonzero elements of  $F$ . Define an  $[n = q-1, k]$  RS code  $C$  as a set of evaluations of the polynomials  $f(x) \in F[x]$  of degree  $\leq k-1$ . Prove directly that the code is cyclic, i.e., that if  $c = (c_0, c_1, \dots, c_{n-1}) \in C$  is a codeword, then any cyclic shift of  $c$ , e.g.,  $(c_{n-1}, c_0, c_1, \dots, c_{n-2})$  is also a codeword in  $C$ .

(b) (using the notation from part (a)). We will think of the codewords of an  $[n = q-1, k]$  code as polynomials of the form

$$c(x) = \sum_{i=0}^{n-1} c_i x^i, \quad \text{where } c_i \in F.$$

Consider the polynomial  $g(x) = (x - \alpha)(x - \alpha^2) \cdots (x - \alpha^{d-1})$ . Let us form a code

$$D = \{a(x)g(x) \bmod (x^n - 1), 0 \leq \deg(a(x)) \leq k-1\},$$

where  $a(x)$  runs over all the polynomials over  $F$  with degrees from 0 to  $k-1$ . What are the dimension and distance of the code  $D$ ? (If this looks difficult, read Roth's book [R] Sec. 8.1).

(c) Show that all the coefficients of the polynomial  $g(x)$  are nonzero (do *not* attempt to multiply out!).

(d) Now let  $F = \mathbb{F}_{16}$  and let  $d = 13$ . What are the parameters  $[n, k]$  of the code  $D$  constructed as in part (b)? Write out a generator matrix of the code.